

Jumbled String Matching: Online, offline, binary or not

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Jumbled String Matching

Parikh vectors:

Given string t over constant-size ordered alphabet Σ , with $|\Sigma| = \sigma$.

The **Parikh vector** $p(t)$ counts the multiplicity of characters in t .

Ex.: $p(aabcac) = (3, 1, 2)$.

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(a.k.a. jumbled string = compomer = composition = multiplicity vector = statistics = histogram)

Problem Statement

JUMBLLED STRING MATCHING

Given string s of length n , and query Parikh vector $q \in \mathbb{N}^\sigma$.

Find all occurrences of substrings t of s s.t. $p(t) = q$.

Ex.: $\Sigma = \{a, b, c\}$, query $q = (3, 1, 2)$

$b \ b \ a \ c \ a \ c \ c \ a \ b \ a \ b \ b \ a \ b \ c \ c \ a \ a \ a \ c$

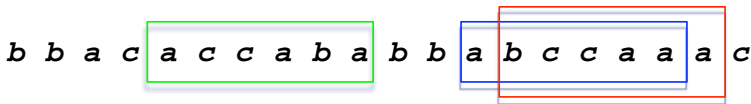
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The diagram shows the string `b b a c a c c a b a b b a b c c a a a c`. A green box highlights the substring `a c c a b a` starting at index 5. A blue box highlights the substring `a b c c a a` starting at index 13. A red box highlights the substring `a b c c a a a` starting at index 13. The overlapping region between the blue and red boxes is `a b c c a a`.

= any permutation of *abcac*

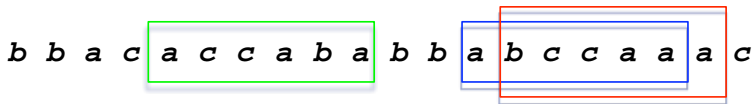
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= any permutation of $abcac$ = any jumble of $abcac$

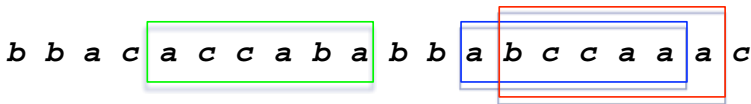
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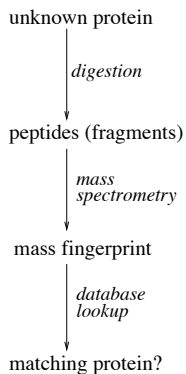
= any permutation of `abcac` = any **jumble** of `abcac`

a.k.a. permutation matching, Parikh vector matching, abelian matching, **JPM** = jumbled **pattern** matching, jumbled indexing - see later

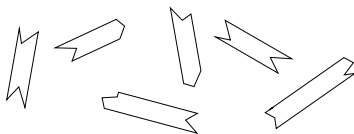
Motivations, Applications

- Mass spectrometry
- Gene clusters
- Motif search in graphs and trees
- Filter for exact pattern matching

Protein identification with mass spectrometry (here: PMF)



???



{154, 223, 317, 371, 748, 991}

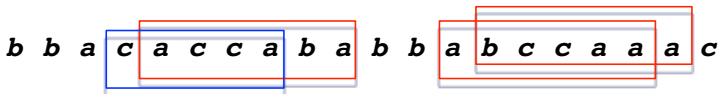
- EKS **DDEHLVVSP** WDI **UL** WDI^TYEUIDUE
- EIDGLSIRMCIWEFSDIWEFSFI
- **UL**ASERQU **DEHSDVLPV** ASFRUFRS **DFLR**
- REVSWKSDIWC **LDV** TUYRIVDKRTIE
- SIDLCOWLSOEODFJFFFUIEJFSUFWFHFD
- SDFLWEFKSDKWEFSDLFV **PEOVF**

Takes advantage of different molecular masses of “characters” (AAs, nucleotides, ...)

Modelling sample identification with MS

Every character has a mass: $\mu : \Sigma \rightarrow \mathbb{R}^+$ mass function, $\mu(t) = \sum_i \mu(t_i)$.

Ex: $\Sigma = \{a, b, c\}$ with $\mu(a) = 2, \mu(b) = 3, \mu(c) = 5$. Query $M = 19$



Actually we can also look for all Parikh vectors with query mass M !
 \implies Jumbled String Matching!

Application 2: Gene clusters

Given: k genomes

Find: maximal blocks consisting of same genes

1 2 **3 4 3 5 1 5 4** 7 2 5

5 3 1 4 4 7 1 7 2 2 1 3

1 2 6 7 **5 1 3 5 4** 2 2 5

gene cluster: $\{1, 3, 4, 5\}$

Application 2: Gene clusters

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1 2 **3 4 3 5 1 5 4** 7 2 5

5 3 1 4 4 7 1 7 2 2 1 3

1 2 6 7 **5 1 3 5 4** 2 2 5

gene cluster: $\{1, 3, 4, 5\}$

Caveat: Problem slightly different (so far).

1. Simple solutions

Window algorithm

Jumbled string matching query $q = (3, 1, 2)$

b b a c a c c a b a b b a b c c a a a c

- sliding window (of fixed-size $m = |q| = \sum_i q_i$)

Window algorithm

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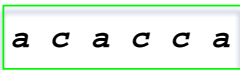
b **b a c a c c** a b a b b a b c c a a a c

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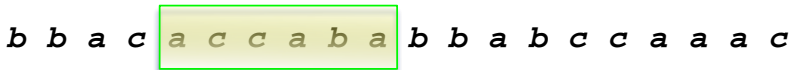


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- sliding window (of fixed-size $m = |q| = \sum_i q_i$)
- worst-case optimal for one query: $O(n)$ time, $O(\sigma)$ additional space.

Indexed jumbled string matching

What about many queries? \rightsquigarrow indexed version of problem

simple solutions (K queries):

1. no index: $O(Kn)$ query time
2. store all: $O(n^2)$ index size, $O(K \log n)$ query time.

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simple solutions (K queries):

1. no index: $O(Kn)$ query time
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For exact string matching, elaborate solutions exist:
suffix trees, suffix arrays, ...

- index has $O(n)$ size (size of text)
- construction time and space $O(n)$
- query time $O(m)$ (size of query) – so $O(Km)$ for K queries

2. General alphabets: Jumping Algorithm

Jumping Algorithm for Jumbled String Matching

Cicalese, Fici, L. (PSC 2009, FUN 2010)

Recall the window algorithm.

fixed size window \rightarrow variable size window

$q = (312)$

b b a c a c c a b a b b a b c c a a a c

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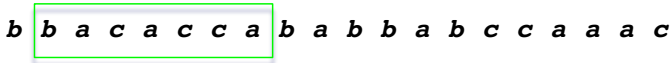
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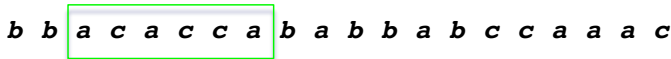
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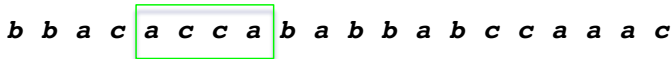
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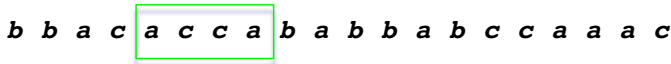
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b b a c a c c a b a b b a b c c a a a c



The Jumping Algorithm simulates these moves by jumps.

Jumping algorithm: update rules

$q = (312)$

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
L																					
	b	b	a	c	a	c	c	a	b	a	b	b	a	b	c	c	a	a	a	c	
a	0	0	1	1	2	2	2	3	3	4	4	4	5	5	5	5	6	7	8	8	
b	1	2	2	2	2	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6	
c	0	0	0	1	1	2	3	3	3	3	3	3	3	3	4	5	5	5	5	6	

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$q = (312)$

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
L	↓								↓												
		b	b	a	c	a	c	c	a	b	a	b	b	a	b	c	c	a	a	a	c
a	0	0	1	1	2	2	2	3	3	4	4	4	5	5	5	5	6	7	8	8	
b	1	2	2	2	2	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6	
c	0	0	0	1	1	2	3	3	3	3	3	3	3	3	4	5	5	5	5	6	

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	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
L	↓								↓												
		b	b	a	c	a	c	c	a	b	a	b	b	a	b	c	c	a	a	a	c
a	0	0	1	1	2	2	2	3	3	4	4	4	5	5	5	5	6	7	8	8	
b	1	2	2	2	2	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6	
c	0	0	0	1	1	2	3	3	3	3	3	3	3	3	4	5	5	5	5	6	

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$$q = (312)$$

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
L ↓										R ↓											
	b	b	a	c	a	c	c	a	b	a	b	b	a	b	c	c	a	a	a	c	
a	0	0	1	1	2	2	2	3	3	4	4	4	5	5	5	5	6	7	8	8	
b	1	2	2	2	2	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6	
c	0	0	0	1	1	2	3	3	3	3	3	3	3	3	4	5	5	5	5	6	

$$\text{update } R: R \leftarrow \max_{a \in \Sigma} \underbrace{\text{select}_a(\text{rank}_a(L) + q_a)}_{\text{necessary char's}}$$

Jumping algorithm: update rules

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	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
					$L \downarrow$				$R \downarrow$												
		b	b	a	c	a	c	c	a	b	a	b	b	a	b	c	c	a	a	a	c
a	0	0	1	1	2	2	2	2	3	3	4	4	4	5	5	5	5	6	7	8	8
b	1	2	2	2	2	2	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6
c	0	0	0	1	1	2	3	3	3	3	3	3	3	3	4	5	5	5	5	6	6

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	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
	b	b	a	c	a	c	c	a	b	a	b	b	a	b	c	c	a	a	a	c	
a	0	0	1	1	2	2	2	3	3	4	4	4	5	5	5	5	6	7	8	8	
b	1	2	2	2	2	2	2	2	3	3	4	5	5	6	6	6	6	6	6	6	
c	0	0	0	1	1	2	3	3	3	3	3	3	3	3	4	5	5	5	5	6	

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	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
					$L \downarrow$						$R \downarrow$										
		b	b	a	c	a	c	c	a	b	a	b	b	a	b	c	c	a	a	a	c
a	0	0	1	1	2	2	2	2	3	3	4	4	4	5	5	5	5	6	7	8	8
b	1	2	2	2	2	2	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6
c	0	0	0	1	1	2	3	3	3	3	3	3	3	3	3	4	5	5	5	5	6

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Jumping algorithm: update rules

$q = (312)$

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
	b	b	a	c	a	c	c	a	b	a	b	b	a	b	c	c	a	a	a	c	
a	0	0	1	1	2	2	2	3	3	4	4	4	5	5	5	5	6	7	8	8	
b	1	2	2	2	2	2	2	2	3	3	4	5	5	6	6	6	6	6	6	6	
c	0	0	0	1	1	2	3	3	3	3	3	3	3	3	4	5	5	5	5	6	

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$L \leftarrow L + 1$ (match).

Jumping algorithm: update rules

$q = (312)$

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
						L ↓								R ↓							
		b	b	a	c	a	c	c	a	b	a	b	b	a	b	c	c	a	a	a	c
a	0	0	1	1	2	2	2	3	3	4	4	4	5	5	5	5	6	7	8	8	
b	1	2	2	2	2	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6	
c	0	0	0	1	1	2	3	3	3	3	3	3	3	3	4	5	5	5	5	6	

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	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
												$L \downarrow$	$R \downarrow$								
		b	b	a	c	a	c	c	a	b	a	b	b	a	b	c	c	a	a	a	c
a	0	0	1	1	2	2	2	2	3	3	4	4	4	5	5	5	5	6	7	8	8
b	1	2	2	2	2	2	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6
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	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
												$L \downarrow$							$R \downarrow$		
		b	b	a	c	a	c	c	a	b	a	b	b	a	b	c	c	a	a	a	c
a	0	0	1	1	2	2	2	2	3	3	4	4	4	5	5	5	5	6	7	8	8
b	1	2	2	2	2	2	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6
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													L ↓						R ↓		
		b	b	a	c	a	c	c	a	b	a	b	b	a	b	c	c	a	a	a	c
a	0	0	1	1	2	2	2	2	3	3	4	4	4	5	5	5	5	6	7	8	8
b	1	2	2	2	2	2	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6
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														L ↓					R ↓		
		b	b	a	c	a	c	c	a	b	a	b	b	a	b	c	c	a	a	a	c
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b	1	2	2	2	2	2	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6
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	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
														L						R	
		b	b	a	c	a	c	c	a	b	a	b	b	a	b	c	c	a	a	a	c
a	0	0	1	1	2	2	2	2	3	3	4	4	4	5	5	5	5	6	7	8	8
b	1	2	2	2	2	2	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6
c	0	0	0	1	1	2	3	3	3	3	3	3	3	3	4	5	5	5	5	5	6

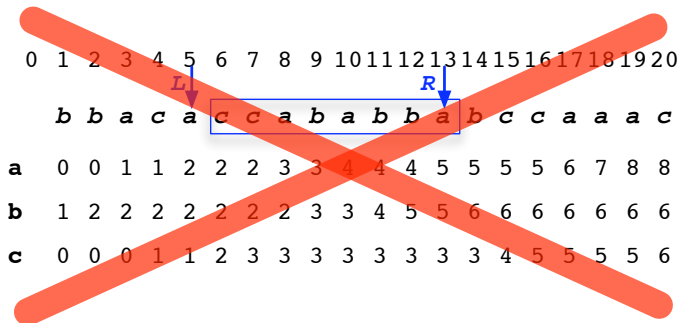
update R : $R \leftarrow \max_{a \in \Sigma} \underbrace{\text{select}_a(\text{rank}_a(L) + q_a)}_{\text{necessary char's}}$

update L : $L \leftarrow \max_{a \in \Sigma} \underbrace{\text{select}_a(\text{rank}_a(R) - q_a)}_{\text{unnecessary char's}}$ (no match),

$L \leftarrow L + 1$ (match).

Jumping algorithm: Analysis

Note that we do not need to store the prefix table, nor the string s .



Jumping algorithm: Analysis

b b a c a c c a b a d d a b c c a a a c



00010110001100110001



110001001000

00011000

Using a wavelet tree [Grossi et al., SODA 2003] as index, we have

- space $O(n)$ (for wavelet tree)
- construction time $O(n \log \sigma)$
- every update (jump) in $O(\sigma)$ time
- query time $O(J\sigma)$, where J = number of jumps (updates)
- **expected** running time: $O(n\sqrt{\frac{\sigma}{\log \sigma} \frac{1}{\sqrt{m}}})$, where $m = \sum_i q_i$.

Jumbled string matching on general alphabets

Current best result: Kociumaka, Radoszewski, Rytter (ESA 2013)

- for arbitrary constant size alphabet
- $o(n^2)$ index size
- $o(n)$ query time (worst-case)
- $O(n^2)$ construction time

(for any $\delta \in (0, 1)$ construct index of size $O(n^{2-\delta})$ with query time $O(m^{\delta(2\sigma-1)})$)

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- $O(n^2)$ construction time

(for any $\delta \in (0, 1)$ construct index of size $O(n^{2-\delta})$ with query time $O(m^{\delta(2\sigma-1)})$)

Compare to:

1. no index (online): $O(n)$ space, $O(n)$ query time
2. store all: $O(n^2)$ index size, $O(\log n)$ query time
3. Jumping algo: $O(n)$ index size, $o(n)$ query time **in expectation**

Jumbled string matching on general alphabets

Open problem was: Find something better.

But:

Amir, Chan, Lewenstein, Lewenstein (ICALP 2014) showed that the indexing problem is 3-sum-hard for $\sigma \geq 3$

More precisely, under different versions of 3-sum-hardness assumption,

- for **non-constant** σ , either preprocessing time is $\Omega(n^{2-\epsilon})$, or query time is $\Omega(n^{1-\delta})$, for all ϵ, δ
- for $\sigma \geq 3$, same except ϵ, δ depend on σ

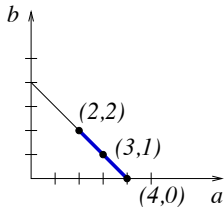
Authors also promised algorithms matching the bounds (forthcoming?)

3. Binary alphabets

Binary alphabets: Interval property

Lemma

If $(x, m - x)$ and $(y, m - y)$ both occur in s , then so does $(z, m - z)$ for any $x \leq z \leq y$.



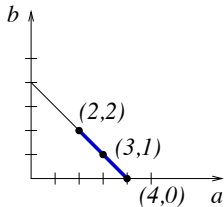
b a b a a b a a b b **a a a a** b a

$\text{amin}(4) = 2$ $\text{amax}(4) = 4$

Binary alphabets: Interval property

Lemma

If $(x, m - x)$ and $(y, m - y)$ both occur in s , then so does $(z, m - z)$ for any $x \leq z \leq y$.



b a b a

$$\mathit{amin}(4) = 2$$

a b a a b b

a a a a

$$\mathit{amax}(4) = 4$$

Corollary

All sub-Parikh vectors of s of length m build a set $\{(x, m - x) : \mathit{amin}(m) \leq x \leq \mathit{amax}(m)\}$.

Binary alphabets: Interval algorithm for **decision** queries

- **Index:** Table of $\text{amin}(m)$ and $\text{amax}(m)$, for $1 \leq m \leq n$
size $O(n)$
- **Query** (x, y) occurs in s iff $\text{amin}(x + y) \leq x \leq \text{amax}(x + y)$.
- Query time $O(1)$.

s = ababbaabaabbbaaabbab

m	amin	amax
...
3	0	3
4	1	3
5	2	4
6	2	4
...

query (3, 2) — YES

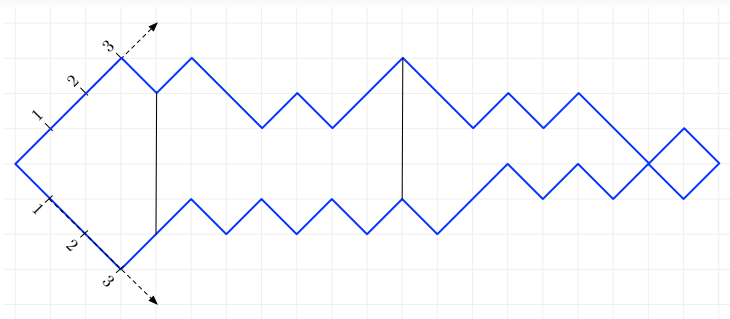
query (1, 4) — NO

Construction of index

Goal

Given a binary string of length n , find, for all $1 \leq m \leq n$, the minimum (maximum) number of a 's in a window of size m .

- $O(n^2)$ time—Cicalese, Fici, L. (PSC 2009)
- $O(n^2 / \log n)$ time
—Burcsi, Cicalese, Fici, L. (FUN 2010); Moosa, Rahman (IPL 2010)
- $O(n^2 / \log^2 n)$ time in word-RAM model
—Moosa, Rahman (JDA 2012)
- approximate index with one-sided error in $O(n^{1+\epsilon})$ time
—Cicalese, Laber, Weimann, Yuster (CPM 2012)
- Corner Index: construction time and index size depend on $r =$
runlength enc. of s
—Badkobeh, Fici, Kroon, L. (IPL 2013); Giaquinta, Grabowski (IPL 2013)
- $n^2 / 2^{\Omega(\log n / \log \log n)^{1/2}}$ —Hermelin, Landau, Rabinovich, Weimann (unpubl.)



m	amin	amax
...
3	0	3
4	1	3
5	2	4
6	2	4
...

The Parikh set of
 $s = ababbaabaabbbbaabbab$.
 Verticals are fixed length sub-
 Parikh vectors, for $m = 4, 11$

Construction of index

Goal

Given a binary string of length n , find, for all $1 \leq m \leq n$, the minimum (maximum) number of a 's in a window of size m .

- $O(n^2)$ time—Cicalese, Fici, L. (PSC 2009)
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Construction of index

Open problem: Faster construction of binary index?

4. Prefix normal words

Prefix normal words

Fici, L. (DLT 2011)

Definition

A word $s \in \{a, b\}^*$ is a **prefix normal word** (w.r.t. a) if $\forall 0 \leq m \leq |s|$ no substring of length m has more a 's than the prefix of s of length m .

Example

$s = ababbaabaabbbbaabbab$

$s' = aaababbabaabbababbab$

Prefix normal words

Fici, L. (DLT 2011)

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Example

$s = ababbaabaabbb**aa**bbab$ *NO*

$s' = aaababbabaabbababbab$ *YES*

Prefix normal forms

Recall $\text{amax}_a(s, m) =$ maximum number of a 's in a substring of s of length m .

$$s = ababbaabaabbbbaabbab$$

m	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
amax_a	0	1	2	3	3	4	4	4	5	5	6	7	7	7	8	8	9	9	9	10	10

Theorem

Let $s \in \{a, b\}^*$. Then there exists a unique prefix normal word s' s.t. for all $0 \leq m \leq |s|$, $\text{amax}_a(s, m) = \text{amax}_a(s', m)$, called its **prefix normal form w.r.t. a** , $\text{PNF}_a(s)$.

Prefix normal forms

$s = ababbaabaabbbbaabbab$

m	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
$amax_a$	0	1	2	3	3	4	4	4	5	5	6	7	7	7	8	8	9	9	9	10	10

Prefix normal forms

$$s = ababbaabaabbbaabbab$$

m	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
$amax_a$	0	1	2	3	3	4	4	4	5	5	6	7	7	7	8	8	9	9	9	10	10

Define the word s' by

$$s'_m = \begin{cases} a & \text{if } amax_a(s, m) = 1 + amax_a(s, m - 1) \\ b & \text{if } amax_a(s, m) = amax_a(s, m - 1) \end{cases}$$

Prefix normal forms

$$s = ababbaabaabbbaabbab$$

m	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
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$$s' = a$$

Prefix normal forms

$$s = ababbaabaabbbbaabbab$$

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$$s' = aa$$

Prefix normal forms

$$s = ababbaabaabbbbaabbab$$

m	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
$amax_a$	0	1	2	3	3	4	4	4	5	5	6	7	7	7	8	8	9	9	9	10	10

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$$s'_m = \begin{cases} a & \text{if } amax_a(s, m) = 1 + amax_a(s, m - 1) \\ b & \text{if } amax_a(s, m) = amax_a(s, m - 1) \end{cases}$$

$$s' = aa\mathbf{a}$$

Prefix normal forms

$$s = ababbaabaabbbbaabbab$$

m	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
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$$s' = aaab$$

Prefix normal forms

$$s = ababbaabaabbbbaabbab$$

m	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
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$$s' = aaababbabaabbababbab$$

BJPM with prefix normal forms

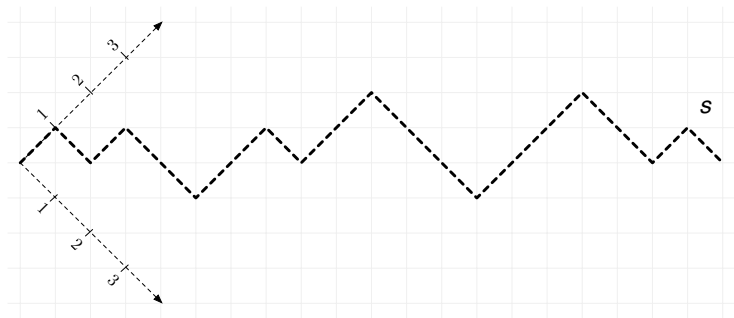
Theorem

Two strings $s, t \in \{a, b\}^*$ have the same Parikh set if and only if $\text{PNF}_a(s) = \text{PNF}_a(t)$ and $\text{PNF}_b(s) = \text{PNF}_b(t)$.

(**Parikh set** of a word s is the set of Parikh vectors of the substrings of s .)

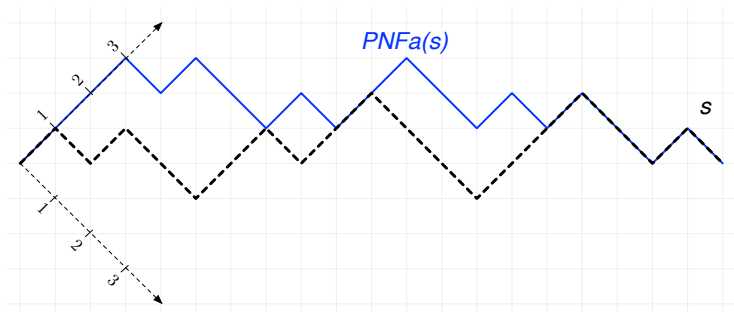
BJPM = binary jumbled pattern matching)

$s = ababbaabaabbbbaabbab$



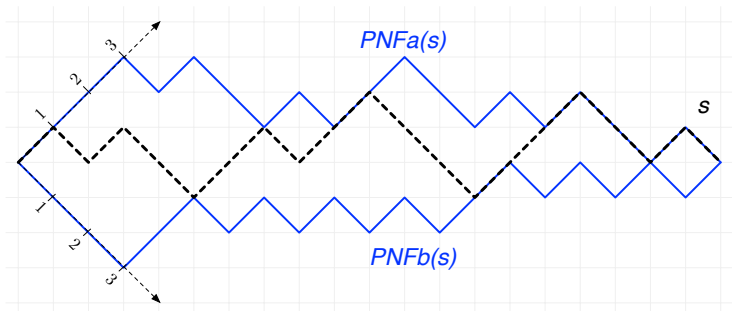
$/ = a, \backslash = b,$

$s = ababbaabaabbbbaabbab$



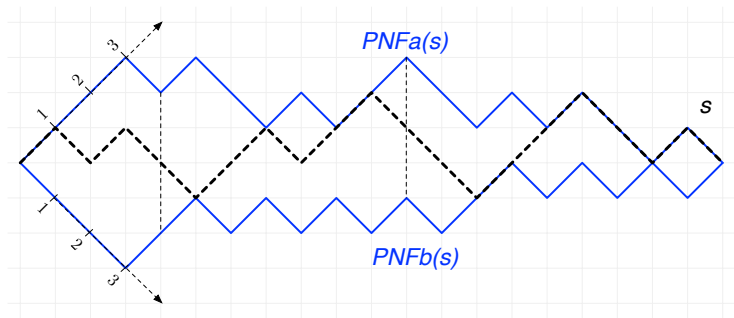
$/ = a, \backslash = b,$

$s = ababbaabaabbbbaabbab$



$/ = a, \backslash = b,$

$s = ababbaabaabbbaaabbab$



$/ = a, \backslash = b,$

BJPM with prefix normal forms

Does $\mathbf{s = ababbaabaabbbbaabbab}$ have a substring of length 11 containing exactly 5 a 's?

a 's in $\text{pref}(\text{PNF}_a(s), 11) = 7$

a 's in $\text{pref}(\text{PNF}_b(s), 11) = 5$

$$7 \geq 5 \geq 5 \rightsquigarrow \text{YES}$$

Thus, fast computation of PNFs yields fast solution to BJPM.

BJPM with prefix normal forms

Does $s = \mathbf{ababbaabaabbbbaaabbab}$ have a substring of length 11 containing exactly 5 a 's?

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Better understanding p.n. words might be useful for BJPM.

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$$7 \geq 5 \geq 5 \rightsquigarrow \text{YES}$$

Thus, fast computation of PNFs yields fast solution to BJPM.

Better understanding p.n. words might be useful for BJPM.

Or might just be fun.

Computation of PNFs

Open problem: Compute the PNFs fast. (Many other open problems on PNFs, e.g. testing.)

Lit:

- Fici, L. (DLT 2011)
- Burcsi, Fici, L., Ruskey, Sawada (CPM 2014): combinatorial generation of all p.n. words, based on them being a **bubble language**.
- Burcsi, Fici, L., Ruskey, Sawada (FUN 2014): partial results on enumeration of p.n. words
- OEIS seq. no. A194850: no. of p.n. words of length n
- OEIS seq. no. A238109: size of largest equivalence class for length n

Conclusion

We saw: JPM on

1. general alphabets (constant-size)
 - expected: jumping algorithm—sublinear expected time, linear space,
 - worst-case: Kocziumenta et al—just sublinear time and just subquadratic space (tradeoff)
2. binary alphabets (decision queries): linear index, main question is how to compute it
3. prefix normal words / prefix normal forms

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Take home message

1. Jumbled pattern matching is **interesting**.
2. It is definitely **hype**.
3. Prefix normal words are **cool**!

AHKNOTUY!

`zsuzsanna.liptak@univr.it`

APPENDIX

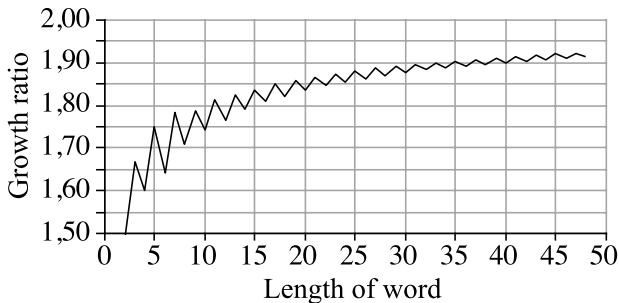
Other variants

- **approximate** jumbled string matching: e.g. find all occurrences between (12, 5, 7) and (8, 2, 4): variant of jumping algorithm expected sublinear (Burcsi, Cicalese, Fici, L., FUN 2010, ToCS 2012)
- (mostly binary) on **trees** and **graphs with bounded treewidth** (Gagie, Hermelin, Landau, Weimann, ESA 2013)
- (mostly binary) locating one occurrence in **strings, trees, graphs** (Cicalese, Gagie, Giaquinta, Laber, L., Rizzi, Tomescu, SPIRE 2013)
- Jumbled matching on **color point sets** in the plane (Gagie et al: CPM 2014)
- binary **locating** queries: included in (Gagie, Hermelin, Landau, Weimann, ESA 2013)

Enumerating p.n. words

OEIS sequence no. A194850

n	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
$pnw(n)$	2	3	5	8	14	23	41	70	125	218	395	697	1273	2279	4185	7568



The prefix normal form PNF_a induces an equivalence relation on Σ^* , namely $u \equiv_{\text{PNF}_a} v$ if and only if $\text{PNF}_a(u) = \text{PNF}_a(v)$.

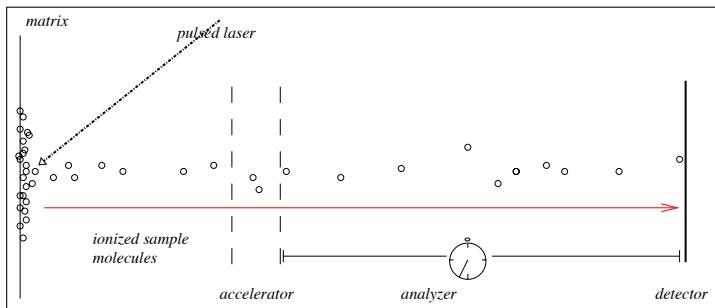
PNF_a	class	card.
<i>aaaa</i>	{ <i>aaaa</i> }	1
<i>aaab</i>	{ <i>aaab, baaa</i> }	2
<i>aaba</i>	{ <i>aaba, abaa</i> }	2
<i>aabb</i>	{ <i>aabb, baab, bbaa</i> }	3
<i>abab</i>	{ <i>abab, baba</i> }	2
<i>abba</i>	{ <i>abba</i> }	1
<i>abbb</i>	{ <i>abbb, babb, bbab, bbba</i> }	4
<i>bbbb</i>	{ <i>bbbb</i> }	1

Table : The classes of words of length 4 having the same prefix normal form.

PNF _a	card.	PNF _a	card.	PNF _a	card.	PNF _a	card.
<i>aaaaaaaa</i>	1	<i>aaabaabb</i>	6	<i>aabababa</i>	6	<i>abababba</i>	2
<i>aaaaaaab</i>	2	<i>aaababaa</i>	2	<i>aabababb</i>	9	<i>abababbb</i>	4
<i>aaaaaaba</i>	2	<i>aaababab</i>	6	<i>aababbba</i>	2	<i>ababbaba</i>	1
<i>aaaaaabb</i>	3	<i>aaababba</i>	4	<i>aababbab</i>	8	<i>ababbabb</i>	6
<i>aaaaabaa</i>	2	<i>aaababbb</i>	8	<i>aababbbb</i>	4	<i>ababbbab</i>	4
<i>aaaaabab</i>	4	<i>aaabbaaa</i>	1	<i>aababbbb</i>	10	<i>ababbbba</i>	2
<i>aaaaabba</i>	2	<i>aaabbaab</i>	4	<i>aabbaaabb</i>	3	<i>ababbbbb</i>	6
<i>aaaaabbb</i>	4	<i>aaabbaba</i>	2	<i>aabbabab</i>	4	<i>abbabbab</i>	2
<i>aaaabaaa</i>	2	<i>aaabbabb</i>	6	<i>aabbabba</i>	3	<i>abbabbba</i>	2
<i>aaaabaab</i>	4	<i>aaabbbba</i>	2	<i>aabbabbb</i>	8	<i>abbabbbb</i>	5
<i>aaaababa</i>	3	<i>aaabbbab</i>	4	<i>aabbbaab</i>	2	<i>abbbabbb</i>	4
<i>aaaababb</i>	6	<i>aaabbbba</i>	2	<i>aabbbbaba</i>	2	<i>abbbbabb</i>	3
<i>aaaabbaa</i>	2	<i>aaabbbbb</i>	6	<i>aabbbbabb</i>	6	<i>abbbbabb</i>	2
<i>aaaabbab</i>	4	<i>aabaabaa</i>	1	<i>aabbbbba</i>	1	<i>abbbbbaa</i>	1
<i>aaaabbbb</i>	2	<i>aabaabab</i>	4	<i>aabbbbba</i>	4	<i>abbbbbbb</i>	8
<i>aaaabbbb</i>	5	<i>aabaabba</i>	2	<i>aabbbbbba</i>	2	<i>bbbbbbbbb</i>	1
<i>aaabaaab</i>	2	<i>aabaabbb</i>	4	<i>aabbbbbbb</i>	7		
<i>aaabaaba</i>	4	<i>aababaab</i>	2	<i>abababab</i>	2		

Table : The cardinalities of the 70 classes of words of length 8 having the same prefix normal form.

What is mass spectrometry?



example: MALDI-TOF mass spectrometer

Reduction to min-plus-convolution

Min-plus-convolution

For real vectors $x = (x_0, \dots, x_n)$ and $y = (y_0, \dots, y_n)$, define $x \star y = z$ by

$$z_k = \min_i \{x_i + y_{k-i}\}, \quad k = 0, 1, \dots, 2n,$$

(replace *min* by *sum* and $+$ by \cdot to get classic convolution).

Reduction to min-plus-convolution

Min-plus-convolution

For real vectors $x = (x_0, \dots, x_n)$ and $y = (y_0, \dots, y_n)$, define $x \star y = z$ by

$$z_k = \min_i \{x_i + y_{k-i}\}, \quad k = 0, 1, \dots, 2n,$$

Reduction

Set $x_i := |\text{pref}_i(s)|_a$, the number of a 's in the prefix of s of length i .

Set $y_i := -x_{n-i}$, $i = 0, 1, \dots, n$. Then

$$\text{amin}(m) = \min_{i=0}^{n-m} (x_{i+m} - x_i) = \min_{i=0}^{n-m} (x_{i+m} + y_{n-i}) = z_{n+m}.$$

Jumping algo analysis: Estimating no. of jumps

We compute the expectation of J over a random string s (**uniform i.i.d.**) and **balanced** query $q = (\frac{m}{\sigma}, \dots, \frac{m}{\sigma})$ as

$$\mathbb{E}(J) = \frac{n}{\mathbb{E}(\text{length of jump})}, \text{ and}$$

$$\mathbb{E}(\text{length of jump}) = Pr(\text{match}) \cdot 1 + Pr(\text{no match}) \cdot (\mathbb{E}(R_{\text{new}} - L) - m).$$

Jumping algo analysis: Estimating no. of jumps

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- $\mathbb{E}(R_{\text{new}} - L) =$ length of wait before we have seen $\frac{m}{\sigma}$ many of all σ characters $\approx \sqrt{2m\sigma \ln \frac{\sigma}{\sqrt{2\pi}}} + m$
(R. May, Coupon collecting with quotas, Electr. J Comb., 2008)
- $\Pr(\text{match}) = \Pr(\text{random string of size } m \text{ has Parikh vector } q) = \frac{\binom{m}{\frac{m}{\sigma}, \dots, \frac{m}{\sigma}}}{m^\sigma} \approx \sqrt{\frac{\sigma^\sigma}{m^{\sigma-1}}}$ very small for $m \gg \sigma$

Jumping algo analysis: Estimating no. of jumps

We compute the expectation of J over a random string s (**uniform i.i.d.**) and **balanced** query $q = (\frac{m}{\sigma}, \dots, \frac{m}{\sigma})$ as

$$\mathbb{E}(J) = \frac{n}{\mathbb{E}(\text{length of jump})}, \text{ and}$$

$$\begin{aligned} \mathbb{E}(\text{length of jump}) &= Pr(\text{match}) \cdot 1 + Pr(\text{no match}) \cdot (\mathbb{E}(R_{\text{new}} - L) - m) \\ &\approx (\mathbb{E}(R_{\text{new}} - L) - m) \approx \sqrt{2m\sigma \ln \frac{\sigma}{\sqrt{2\pi}}} \end{aligned}$$

So,

$$\mathbb{E}(J) = O\left(\frac{n}{\sqrt{m}\sqrt{\sigma \log \sigma}}\right).$$

Jumping algo analysis: Average case

Altogether, we get

$$\mathbb{E}(\text{runtime}) = O(J\sigma) = O\left(\frac{n\sigma}{\sqrt{m}\sqrt{\sigma \log \sigma}}\right) = O\left(n\sqrt{\frac{\sigma}{\log \sigma}} \frac{1}{\sqrt{m}}\right).$$

Recall: We assume a **random string** s (**uniform i.i.d.**) and **balanced query** $q = (\frac{m}{\sigma}, \dots, \frac{m}{\sigma})$.

These are worst-case, both acc. to our model and our simulations:

- on non-random strings (DNA) J decreases
- on non-balanced Parikh vectors J decreases