

**Algorithmic Graph Theory**  
**Part III**  
**Perfect Graphs and Their Subclasses**

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# What we'll do

- 1 THE BASICS.
- 2 PERFECT GRAPHS.
- 3 COGRAPHS.
- 4 CHORDAL GRAPHS.
- 5 SPLIT GRAPHS.
- 6 THRESHOLD GRAPHS.
- 7 INTERVAL GRAPHS.

# THE BASICS.

# Induced Subgraphs

Recall:

## Definition

Given two graphs  $G = (V, E)$  and  $G' = (V', E')$ , we say that  $G$  is an **induced subgraph** of  $G'$  if

$$V \subseteq V'$$

and  $E = \{uv \in E' : u, v \in V\}$ .

Equivalently:  $G$  can be obtained from  $G'$  by deleting vertices.

Notation:  $G < G'$

# Hereditary Graph Properties

Hereditary graph property (hereditary graph class)

= a class of graphs closed under deletion of vertices

= a class of graphs closed under taking induced subgraphs

Formally:

a set of graphs  $X$  such that

$$G \in X \text{ and } H < G \Rightarrow H \in X$$

.

# Hereditary Graph Properties

## Hereditary graph property (Hereditary graph class)

= a class of graphs closed under deletion of vertices

= a class of graphs closed under taking induced subgraphs

## Examples:

- forests
- complete graphs
- line graphs
- bipartite graphs
- planar graphs
- graphs of degree at most  $\Delta$
- triangle-free graphs
- perfect graphs

# Hereditary Graph Properties

Why hereditary graph classes?

- Vertex deletions are very useful for developing algorithms for various graph optimization problems.
- Every hereditary graph property can be described in terms of *forbidden induced subgraphs*.

# Hereditary Graph Properties

**$H$ -free graph** = a graph that does not contain  $H$  as an induced subgraph

**$Free(H)$**  = the class of  $H$ -free graphs

**$Free(\mathcal{M})$**  :=  $\bigcap_{H \in \mathcal{M}} Free(H)$

**$\mathcal{M}$ -free graph** = a graph in  $Free(\mathcal{M})$

## Proposition

$X$  hereditary  $\iff X = Free(\mathcal{M})$  for some  $\mathcal{M}$

- $\mathcal{M} = \{\text{all (minimal) graphs not in } X\}$

The set  $\mathcal{M}$  is the set of **forbidden induced subgraphs** for  $X$ .



# Examples

$\mathcal{M}$  can be **finite**:

- cographs  
=  $P_4$ -free graphs
- line graphs
- claw-free graphs =  $K_{1,3}$ -free graphs
- triangle-free graphs =  $K_3$ -free graphs
- graphs of degree at most  $\Delta$

... or **infinite**:

- forests = {cycles}-free graphs
- bipartite graphs = {odd cycles}-free graphs
- chordal graphs = {cycles of order  $\geq 4$ }-free graphs
- perfect graphs
- planar graphs

# Comparing Hereditary Graph Classes

## Proposition

For every two sets  $\mathcal{M}_1$  and  $\mathcal{M}_2$  of graphs, it holds that:

$$\text{Free}(\mathcal{M}_1) \subseteq \text{Free}(\mathcal{M}_2)$$

if and only if

$$(\forall G_2 \in \mathcal{M}_2)(\exists G_1 \in \mathcal{M}_1)(G_1 < G_2).$$

## Exercise

Prove the above equivalence.

### Example:

$$\mathcal{M}_1 = \{P_4, C_4\},$$

$$\mathcal{M}_2 = \{C_4, C_5, C_6, \dots\}.$$

# Recognition Problems

For a given graph class  $X$  we can define the following problem:

## RECOGNITION OF GRAPHS IN $X$

**Input:** A graph  $G$ .

**Question:** Is  $G \in X$ ?

### Examples:

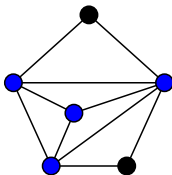
- If  $X$  = the class of all 3-colorable graphs, the recognition problem is NP-complete.
- If  $X$  = the class of graphs  $G$  such that  $\chi(G) = \max_{H \subseteq G} \delta(H) + 1$ , the recognition problem is NP-complete.
- If  $X = \text{Free}(\mathcal{M})$  where  $\mathcal{M}$  is finite then the recognition problem is in P. (Why?)

# PERFECT GRAPHS.

Recall:

$\omega(G)$ : clique number of  $G$  = the maximum size of a clique in  $G$ .

**clique** = a subset of pairwise adjacent vertices



$\alpha(G)$ : max size of an independent set in  $G$

- $C$  is a clique in  $G \Leftrightarrow C$  is independent in  $\overline{G}$ :
- $\omega(G) = \alpha(\overline{G})$

# Perfect Graphs

## Example:

$$\omega(K_n) = n,$$

$$\omega(C_n) = \begin{cases} 3, & \text{if } n = 3; \\ 2, & \text{otherwise.} \end{cases}$$

Recall the inequality:

$$\chi(G) \geq \omega(G).$$

## Definition

A graph  $G$  is **perfect**, if

$$\chi(H) = \omega(H)$$

holds for every induced subgraph  $H$  of  $G$ .

Clearly, the class of perfect graphs is hereditary.

## Theorem (Lovász 1972, Perfect Graph Theorem)

*A graph  $G$  is perfect if and only if its complement  $\overline{G}$  is perfect.*

Examples of non-perfect graphs:

- odd cycles of order at least 5:  $C_5, C_7, C_9, \dots$

$$\chi(C_{2k+1}) = 3$$

$$\omega(C_{2k+1}) = 2.$$

- their complements:  $\overline{C_5}, \overline{C_7}, \overline{C_9}, \dots$

$\chi(\overline{C_{2k+1}})$  = smallest number of pairwise disjoint cliques covering all vertices of  $C_{2k+1} = k + 1$

$$\omega(\overline{C_{2k+1}}) = \alpha(C_{2k+1}) = k$$

# Berge Graphs

**Berge graph:** a  $\{C_5, C_7, \overline{C_7}, C_9, \overline{C_9}, \dots\}$ -free graph.



Claude Berge, 1926–2002, a French mathematician

He was also a sculptor,  
collector and expert on primitive art,  
founding member of the literary group **Oulipo**,  
a Hex and chess player.



# The Strong Perfect Graph Theorem

**Berge graph:** a  $\{C_5, C_7, \overline{C_7}, C_9, \overline{C_9}, \dots\}$ -free graph.

Clearly, every perfect graph is Berge.

## Conjecture (Berge 1963)

*A graph  $G$  is perfect if and only if it is Berge.*

## Strong Perfect Graph Theorem (Chudnovsky, Robertson, Seymour, Thomas 2002)

*A graph  $G$  is perfect if and only if it is Berge.*

Total length of the proof  $\approx$  150 pages.

# The Strong Perfect Graph Theorem

## Theorem

*Let  $G$  be a Berge graph. Then either:*

- *$G$  belongs to a basic class; that is, either:*
  - *$G$  or  $\overline{G}$  is bipartite, or*
  - *$G$  or  $\overline{G}$  is the line graph of a bipartite graph, or*
  - *$G$  is a double split graph,*

*or  $G$  admits one of the following:*

- *a 2-join,*
- *a complement of 2-join,*
- *a balanced skew partition.*

# The Strong Perfect Graph Theorem

Why does SPGT follow from the decomposition theorem?

Suppose the SPGC is false.

There is a smallest counterexample  $G$ .

$G$  is not in any of the basic classes, since those graphs are perfect.

$G$  does not admit any of the four types of decomposition since each of these decompositions preserves perfectness.

Contradiction. □

# Algorithmic Aspects of Perfect Graphs

Some important NP-complete graph algorithmic problems are solvable in polynomial time for perfect graphs:

- COLORABILITY,
- INDEPENDENT SET,
- CLIQUE.

These results are due to Grötschel-Lovász-Schrijver (1984) and are not combinatorial.

- They are based on semidefinite programming and the ellipsoid method.

Existence of combinatorial algorithms is an open problem.

# Recognizing Perfect Graphs

**Theorem (Chudnovsky, Cornuéjols, Liu, Seymour, Vuković 2005)**

*There is a polynomial-time algorithm for recognizing Berge graphs.*

- $O(|V|^9)$
- 36 pages
- independent of the proof of SPGT

# Graphs Without Odd Holes

*Does the input graph contain an odd cycle?*

Solvable in P.

**hole:** a cycle of order at least 4

*Does the input graph contain an odd hole?*

Open!

## Theorem (Bienstock 1991)

*Testing whether a graph contains an odd hole through a given vertex is NP-complete.*

# Classes of Perfect Graphs

## Some classes of perfect graphs:

- bipartite graphs and their complements
- line graphs of bipartite graphs (and their complements)
- cographs
- chordal graphs
- split graphs
- threshold graphs
- interval graphs

# COGRAPHS.



## Definition

### Cographs:

- $K_1$  is a cograph
- If  $G$  and  $H$  are cographs, then so is their disjoint union.
- If  $G$  and  $H$  are cographs, then so is their join.
- There are no further cographs.

## Exercise

Prove that the class of cographs is hereditary.

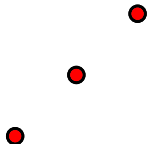
**Example:**



**Example:**



Example:

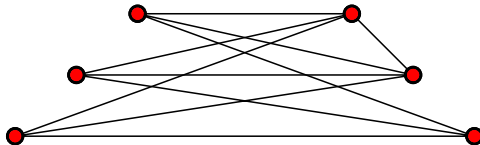


Example:



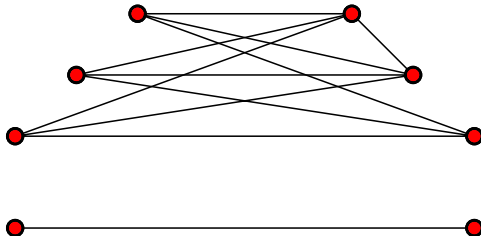
# Cographs

Example:



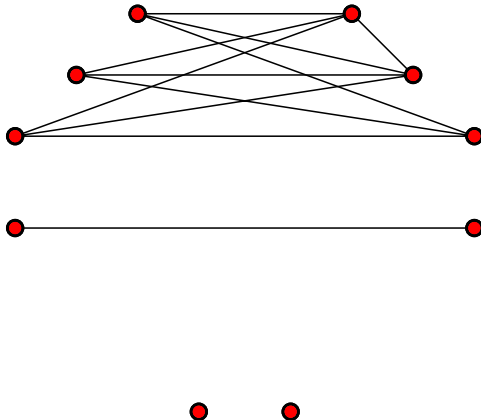
# Cographs

Example:



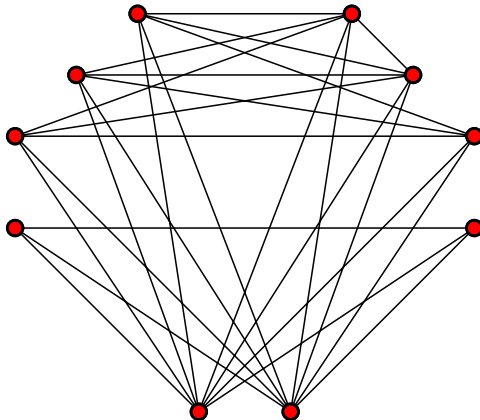
# Cographs

Example:





Example:



# Properties of Cographs

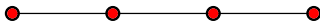
For every cograph  $G \neq K_1$ , either  $G$  or  $\overline{G}$  is disconnected.

## Exercise

Show that every cograph is perfect, using only the definitions of the two classes.

# Properties of Cographs

The following graph is not a cograph:



**Figure:**  $P_4$ : a self-complementary connected graph

## Theorem

*$G$  is a cograph if and only if  $G$  is  $P_4$ -free.*

## Corollary

*Recognition of cographs is in P.*

# Properties of Cographs

## Theorem (Corneil, Perl, and Stewart 1985)

*Cographs can be recognized in linear time.*

The recognition algorithm uses modular decomposition.

## Theorem

*$G$  is a cograph if and only if  $G$  is  $P_4$ -free.*

It can be proved by induction on the number of vertices that *every cograph is  $P_4$ -free*.

We prove that every  $P_4$ -free graph is a cograph.

For a contradiction, let  $G = (V, E)$  be a **minimal counterexample**.

( $G$  is a  $P_4$ -free graph on  $n$  vertices that is not a cograph, while every  $P_4$ -free graph on less than  $n$  vertices is a cograph.)

Both  $G$  and  $\overline{G}$  are connected.

Let  $x \in V(G)$ . Then  $G - x$  is a cograph.

Since  $n > 2$ , we may assume that  $G - x$  is disconnected (else replace  $G$  with its complement).

Since  $\overline{G}$  is connected, there exists a vertex  $y$  not adjacent to  $x$ .

Let  $C$  be the component of  $G - x$  containing  $y$ .

Since  $G$  is connected,  $x$  has a neighbor  $z$  in  $C$ .

We can then find two adjacent vertices  $u$  and  $v$  in  $C$  such that  $ux \in E$  and  $vx \notin E$ .

Let  $D$  be a component of  $G - x$  different from  $C$ .

Let  $w$  be a neighbor of  $x$  in  $D$ .

$G$  contains an induced  $P_4$  on the vertices  $(v, u, x, w)$ .

Contradiction.

# Two Exercises

## Exercise 1:

What are the  $P_3$ -free graphs?

## Exercise 2:

What are the bipartite  $P_4$ -free graphs?

## Proposition

*The following problems are polynomially solvable for cographs:*

- (a) INDEPENDENT SET,
- (b) CLIQUE,
- (c) DOMINATING SET.
- (d) COLORABILITY.

For example,  $\alpha(G)$  can be computed recursively as follows:

- $\alpha(K_1) = 1$
- If  $K$  is the disjoint union of  $G$  and  $H$  then

$$\alpha(K) = \alpha(G) + \alpha(H).$$

- If  $K$  is the join of  $G$  and  $H$  then

$$\alpha(K) = \max\{\alpha(G), \alpha(H)\}.$$



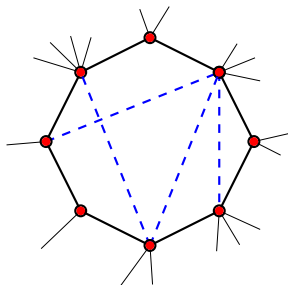
# CHORDAL GRAPHS.

# Chordal Graphs

## Definition

A graph is **chordal** if every cycle on at least 4 vertices contains a chord.

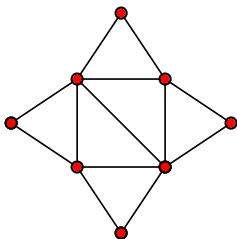
**chord**: an edge connecting two non-consecutive vertices of the cycle.



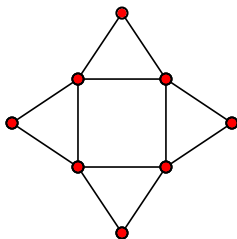
**Figure:** A cycle with four chords.

# Chordal Graphs

Example:



chordal



not chordal

# Perfectness of Chordal Graphs

A graph is chordal if and only if it is  $\{C_4, C_5, \dots\}$ -free.

## Proposition

*Every chordal graph is perfect.*

Proof: We apply the SPGT.

If a chordal graph  $G$  is not perfect then

$G \notin \text{Free}(\{C_5, C_7, \overline{C_7}, C_9, \overline{C_9}, \dots\})$ .

$\Rightarrow \overline{C_{2k+1}} < G$  for some  $k \geq 3$ .

Since  $C_4 < \overline{C_{2k+1}}$ , it follows that  $C_4 < G$ . Contradiction.



# Chordal Graphs: the Intersection Model

## Theorem (Gavril, 1974)

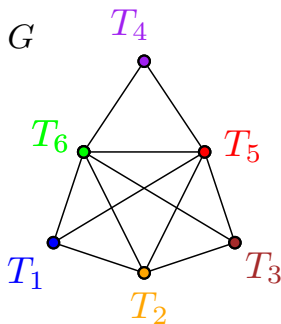
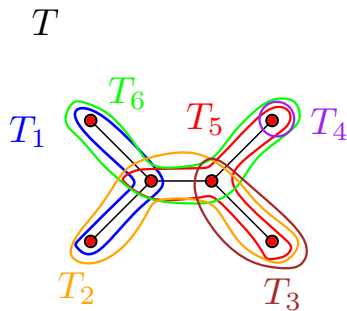
*Chordal graphs are precisely the vertex-intersection graphs of subtrees in a tree.*

# Chordal Graphs: the Intersection Model

## Theorem (Gavril, 1974)

*Chordal graphs are precisely the vertex-intersection graphs of subtrees in a tree.*

### Example:



# Chordal Graphs: Structural Properties

A **cutset**: a set of vertices  $X \subseteq V$  such that the graph  $G - X$  is disconnected.

## Theorem (Dirac, 1961)

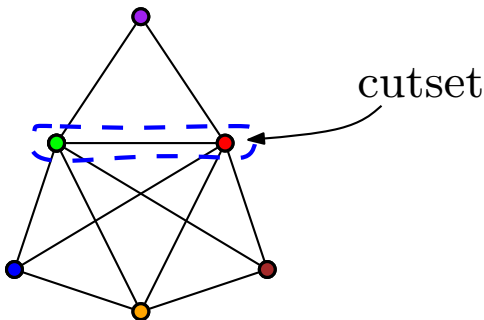
*Every minimal cutset in a chordal graph is a clique.*

# Chordal Graphs: Structural Properties

A **cutset**: a set of vertices  $X \subseteq V$  such that the graph  $G - X$  is disconnected.

## Theorem (Dirac, 1961)

*Every minimal cutset in a chordal graph is a clique.*





By contradiction. Suppose  $X$  is a minimal cutset in  $G$  containing two non-adjacent vertices  $x$  and  $y$ .

Choose two components  $C$  and  $D$  of the (disconnected) graph  $G - X$ .

By the minimality of  $X$ , every vertex of  $X$  has a neighbor in every component of  $G - X$ .

Let  $P$  be a shortest  $x$ - $y$  path all of whose internal vertices belong to  $C$ .

Let  $Q$  be a shortest  $x$ - $y$  path all of whose internal vertices belong to  $D$ .

Then  $P \cup Q$  is a chordless cycle on at least 4 vertices.

Contradiction.



# Chordal Graphs: Structural Properties

A vertex is **simplicial** if its neighborhood is a clique.

## Corollary

*Let  $G$  be a chordal graph. Then,*

- (i)  $G$  is either complete or it contains a pair of non-adjacent simplicial vertices.*
- (ii)  $G$  contains a simplicial vertex.*

## Theorem (Fulkerson and Gross, 1965)

*A graph is chordal if and only if it has a perfect elimination ordering.*

A permutation  $(v_1, \dots, v_n)$  of the vertices of a graph  $G$  is a **perfect elimination ordering** if each  $v_i$  is a simplicial vertex of  $G[v_i, \dots, v_n]$ .

# Chordal Graphs: Algorithmic Aspects

## Theorem

*Every chordal graph contains a simplicial vertex.*

If  $G$  is chordal and  $v \in V(G)$  then  $G - v$  is chordal.

With iterative deleting of simplicial vertices, it is easy to develop polynomial time algorithms for the following problems on chordal graphs:

- CLIQUE,
- COLORABILITY,
- INDEPENDENT SET.

# Chordal Graphs: Algorithmic Aspects

Suppose  $v$  is a simplicial vertex in a chordal graph  $G$ .

- CLIQUE:

$$\omega(G) = \max\{d(v) + 1, \omega(G - v)\}.$$

- COLORABILITY:

$$\chi(G) = \max\{d(v) + 1, \chi(G - v)\}.$$

Apply the greedy coloring algorithm to the vertices in the reverse of a perfect elimination ordering.

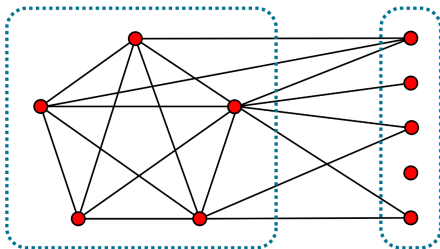
- INDEPENDENT SET:

$$\alpha(G) = 1 + \alpha(G - N[v]).$$

## SPLIT GRAPHS.

## Definition

A graph is **split** if there exists a partition of its vertex set into a clique and an independent set.

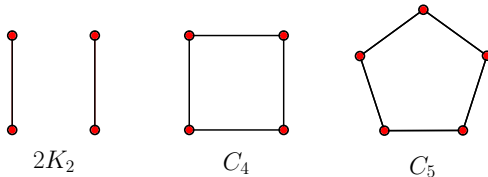


Source: [http://en.wikipedia.org/wiki/Split\\_graph](http://en.wikipedia.org/wiki/Split_graph)

# Forbidden Induced Subgraphs

## Theorem (Földes and Hammer, 1977)

*A graph is split if and only if it is  $\{2K_2, C_4, C_5\}$ -free.*



## Exercise

Prove the *if* part of the theorem.

# Other Properties

## Corollary

*A graph is split if and only if its complement is a split graph.  
A graph  $G$  is a split graph if and only if both  $G$  and  $\overline{G}$  are chordal.*

## Theorem

*Split graphs are precisely the vertex-intersection graphs of  
subtrees of a star.*

## Theorem

*Let  $d_1 \geq d_2 \geq \dots \geq d_n$  be the degree sequence of a graph  $G$ . Also, let  $m = \max\{i : d_i \geq i - 1\}$ . Then,  $G$  is a split graph if and only if  $\sum_{i=1}^m d_i = m(m - 1) + \sum_{i=m+1}^n d_i$ .*



Split graphs can be recognized in linear time.

Other algorithmic problems on split graphs:

- COLORABILITY? In P.
- CLIQUE? In P.
- INDEPENDENT SET? In P.
- DOMINATING SET? NP-complete.

**Open Problem.** Give the forbidden induced subgraph characterization of graphs that can be partitioned into a clique and a graph of maximum degree at most 1.

# THRESHOLD GRAPHS.

## Definition

A graph  $G = (V, E)$  is **threshold** if there exist positive real vertex weights  $w(v)$  for all  $v \in V$  and a threshold  $t \in \mathbb{R}$  such that for every vertex set  $X \subseteq V$ ,

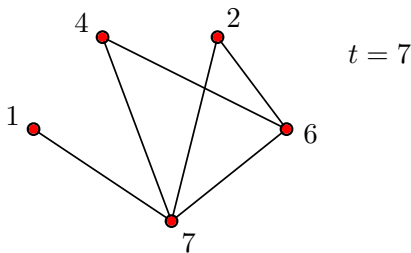
$$X \text{ is independent} \quad \text{if and only if} \quad \sum_{v \in X} w(v) \leq t.$$

# Definition

## Definition

A graph  $G = (V, E)$  is **threshold** if there exist positive real vertex weights  $w(v)$  for all  $v \in V$  and a threshold  $t \in \mathbb{R}$  such that for every vertex set  $X \subseteq V$ ,

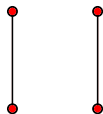
$X$  is independent if and only if  $\sum_{v \in X} w(v) \leq t$ .



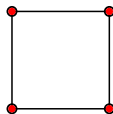
# Forbidden Induced Subgraphs

## Theorem (Chvátal, Hammer 1977)

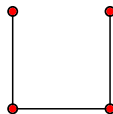
*A graph is threshold if and only if it is  $\{2K_2, C_4, P_4\}$ -free.*



$2K_2$



$C_4$



$P_4$

## Theorem

*The following properties are equivalent for a graph  $G$ :*

- 1  *$G$  is threshold.*
- 2  *$G$  is a split cograph.*
- 3 *There exist positive real vertex weights  $w(v)$  for all  $v \in V$  and a threshold  $t \in \mathbb{R}$  such that for every two distinct vertices  $u, v \in V$ ,*

$$uv \in E \quad \text{if and only if} \quad w(u) + w(v) \geq t.$$

- 4  *$G$  can be constructed from the one-vertex graph by repeated applications of the following two operations:*
  - *Addition of a single isolated vertex to the graph.*
  - *Addition of a single dominating vertex to the graph.*

Threshold graphs can be recognized in linear time.

Other algorithmic problems on threshold graphs:

- COLORABILITY? In P.
- CLIQUE? In P.
- INDEPENDENT SET? In P.
- DOMINATING SET? In P.

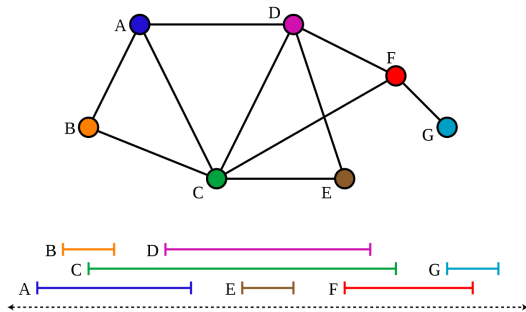


## INTERVAL GRAPHS.

# Definition

## Definition

A graph is an **interval graph** if its vertices can be put into one-to-one correspondence with a set of intervals on the real line such that two vertices are connected by an edge if and only if their corresponding intervals have nonempty intersection.



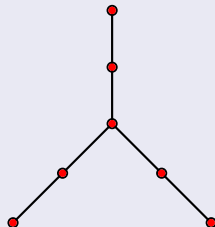
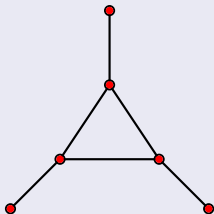
# Two Exercises

## Exercise 1

Prove that interval graphs are chordal.

## Exercise 2

Prove that the following two graphs are not interval:



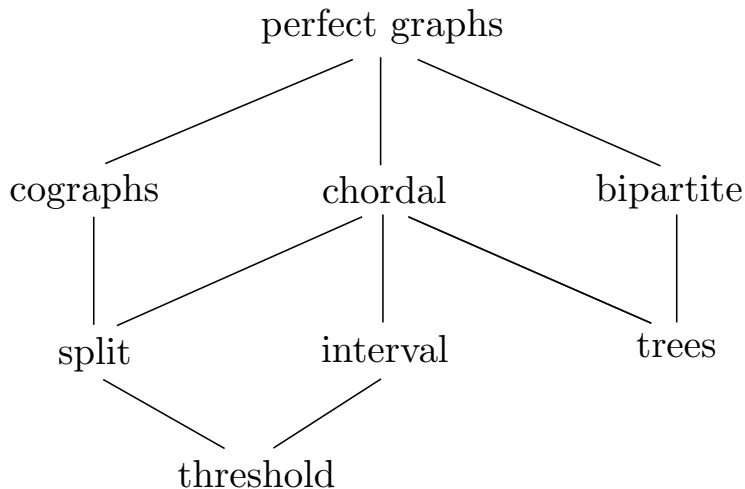
## Theorem (Booth and Lueker 1976)

*Interval graphs can be recognized in linear time.*

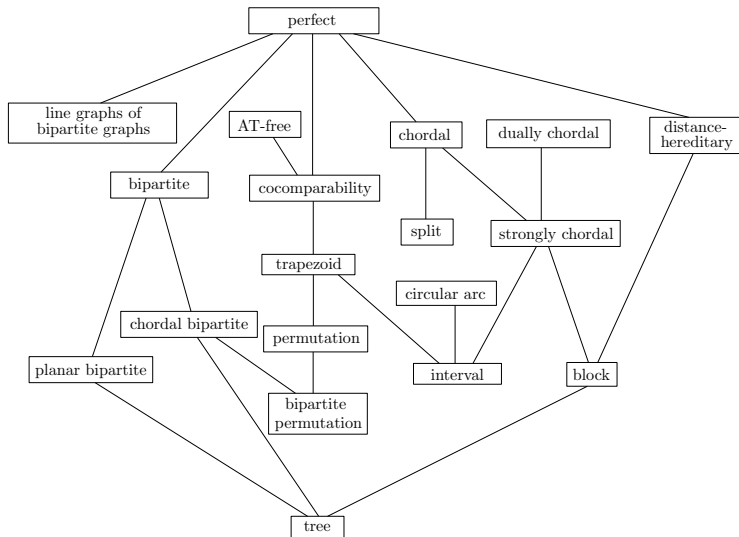
Other algorithmic problems on interval graphs:

- COLORABILITY? **In P.**
- CLIQUE? **In P.**
- INDEPENDENT SET? **In P.**
- DOMINATING SET? **In P.**

# Hasse Diagram of Some Classes of Perfect Graphs



# Hasse Diagram of Some Classes of Perfect Graphs



# What we'll do – Week 1

- 1 Tue March 5: Review of basic notions in graph theory, algorithms and complexity ✓
- 2 Wed March 6: Graph colorings ✓
- 3 Thu March 7–8: Perfect graphs and their subclasses ✓

# What we'll do – Week 2

- 1 Tue March 19: Further examples of tractable problems, part 1
- 2 Wed March 20:  
Further examples of tractable problems, part 2  
Approximation algorithms for graph problems
- 3 Thu March 21: Lectio Magistralis lecture, “*Graph classes: interrelations, structure, and algorithmic issues*”