

# Search Strategies: Lookahead

# Summary

Search  
Strategies:  
Lookahead

Search for  
Constraint  
Propagation

Backtracking

- Introduction and Consistency Levels
- Backtracking
- Look-Ahead

# Approximate Inference and Search

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## Need to take chances

- Complete inference (e.g., strong  $n$ -consistency) ensures no dead-end in extending partial solutions to complete solutions
- However, strong  $i$ -consistency is exponential (in the number of variables)  $\rightarrow$  not practical
- Approximate Inference is polynomial but we still need to **search** for a solution
- **search**: proceed by trial and errors

## Search for CP

- State: partial variable assignment
- Goal State: consistent complete allocation
- Move: assign one (or more) variable(s)
- Good Moves: assignments that go closer to the Goal

# Backtracking Search

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## Backtrack

- Decide whether a state is closer to the goal is very hard
- Try promising moves
- Dead ends: backtrack changing previous assignments
- Halt: when a solution is found or all possible solution where searched
- Worst case: exponential in the number of variables

# Improving Backtrack

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## Improvements

- Reducing size of **explored** search space
- **before** the search, preprocess the problem
  - variable orderings
  - forcing consistency (e.g., arc or path consistency)
- **during** the search, search strategies
  - look-ahead, which is the best next move
  - look-back, where to backtrack

## Basic Concept

- A set  $S$  of states
  - consistent partial variable instantiations
- A set  $O$  of operators,  $O : S \rightarrow S$ 
  - extension of partial instantiation to another variable
- An initial state  $s_0$ 
  - the empty assignment
- A set of goal states  $S_g \subseteq S$ 
  - a complete consistent assignment
- A terminal state is a state from which we can not reach any other state
  - any complete assignment

# State Space and Orderings: Example

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## Example (Dividing Integer Example)

- Consider the following network  $\mathcal{R}$
- Variables:  $x, y, l, z,$
- Domains:  
 $D_x = D_y = \{2, 3, 4\}, D_l = \{2, 5, 6\}, D_z = \{2, 3, 5\}$
- Constraints:  $z$  divides evenly  $x, y, l$

Compute search space for assigning variable with different orderings:

- $d_1 = \{z, x, y, l\}$
- $d_2 = \{x, y, l, z\}$



# Variable Ordering and Search Space

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## Effect on Search Space Size

- $d_1 \rightarrow 20$  legal states
- $d_2 \rightarrow 48$  legal states
- Search space includes all solutions
- The less dead end the better

# State Space and Consistency: Example

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## Example (Dividing Integer Example)

- Force arc consistency
- Draw search space for  $d_1$
- Force path consistency
- Draw search space for  $d_2$

# Consistency Level and Search Space

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## Good effects on Search Space Size

- Tighter constraints  $\rightarrow$  smaller search space
- Given two equivalent network  $\mathcal{R}$  and  $\mathcal{R}'$
- if  $\mathcal{R}' \subseteq \mathcal{R}$  then any solution path appearing in the search space of  $\mathcal{R}'$  also appears in the search space of  $\mathcal{R}$ , for any ordering  $d$ .
- Higher level of consistency reduce the search space

# Consistency Level and Search process

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## Negative effects on Searching

- Adding constraints requires more computation
- Each time a new variable is assigned need to check many more constraints
- If only binary constraints we never have more than  $O(n)$  checks
- If  $r$ -ary constraints then we could have  $O(n^{r-1})$  checks

# Backtrack Free Search

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## Backtrack Free Network

- A network  $\mathcal{R}$  is backtrack free if every leaf is a goal state
- A DFS on a backtrack free network ensure a complete consistent assignment
- E.g.  $\mathcal{R} + \text{arc consistency} + d_1 \rightarrow \text{backtrack free network}$

# Backtracking

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## Basic Ideas

- Traverses the search space with a DFS
- Two phases:
  - **Forward** phase: extend partial solutions by assigning a consistent value if one exists
  - **Backward** phase: if no further extension is possible return to the previous variable assigned

# Backtracking Example

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## Example (Graph colouring)

- Variables:  $x_1, x_2, x_3$
- Domains:  $D_1 = D_2 = \{R, B\}$   $D_3 = \{R, B, Y\}$
- Fixed Ordering:  $\{x_3, x_1, x_2\}$
- Find one solution
- Find all solutions

# Backtracking Procedure

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## Algorithm

### Algorithm 1 Backtracking

**Require:** A constraint network  $\mathcal{R}$

**Ensure:** A solution or notification that the network is inconsistent

```
 $i \leftarrow 1$   
 $D'_i \leftarrow D_i$   
while  $1 \leq i \leq n$  do  
   $x_i \leftarrow \text{SelectValue}$   
  if  $x_i$  is null then  
     $i \leftarrow i - 1$   
  else  
     $i \leftarrow i + 1$   
     $D'_i \leftarrow D_i$   
  end if  
end while  
if  $i$  is 0 then  
  return inconsistent  
else  
  return instantiated value for  $\{x_1, \dots, x_n\}$   
end if
```



# Select Values Procedure

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## Select Value Algorithm

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### Algorithm 2 SelectValue

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**Require:** A partial assignment  $\bar{a}_{i-1}$

**Ensure:** A value in  $D'_i$  consistent with  $\bar{a}_{i-1}$  or null

**while**  $D'_i \neq \{\}$  **do**

$v$  a value in  $D'_i$

$D'_i \leftarrow D'_i \setminus v$

**if**  $\langle \bar{a}_{i-1}, x_i = v \rangle$  is consistent **then**

**return**  $v$

**end if**

**end while**

**return** null

---

# Complexity of Backtracking

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## Complexity

- Complexity of extending a partial solution:
  - Complexity of consistent  $O(elogt)$
  - Complexity of SelectValue  $O(eklogt)$
- $t$  bounds tuple,  $e$  constraints,  $k$  values

# Improvements for Backtracking

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## Improving Backtracking

- Before Search
  - Forcing Consistency
  - Fixing variable ordering
- During Search
  - Look Ahead (Forward phase)
    - Value Ordering
    - Variable Ordering
  - Look Back (Backward phase)
    - Backjumping
    - Constraint Recording

## Look-Ahead Schemes

- Given approximate inference (arc consistency, path-consistency)
- Foresee impact of next move (which variable, which value)
- Impact: how next move restricts future assignment
- Which Variable:
  - if order not pre-defined
  - instantiate variable that constraint the most the search space
  - e.g., most constrained variable with least possible assignments
- Which Value
  - value that maximises possible future assignments

# Look-Ahead Strategies

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## Strategies

- Forward Checking
  - check unassigned variables separately
- Maintaining arc consistency
  - propagate arc consistency
- Full look ahead
  - one pass of arc consistency

# Look-ahead: Discussion

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## Discussion

- Incur extra cost for assigning values
  - need to propagate constraints
- Can restrict search space significantly
  - e.g., discover that a value makes a sub-problem inconsistent
  - remove values from future variables' domains
- Usually no changes on worst case performance: trade-off between cost and benefit

# Generalised Look-ahead

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## Algorithm

### Algorithm 3 Generalised Look-ahead

**Require:** A constraint network  $\mathcal{R}$

**Ensure:** A solution or notification that the network is inconsistent

```
 $i \leftarrow 1$   
 $D'_i \leftarrow D_i$   
while  $1 \leq i \leq n$  do  
   $x_i \leftarrow \text{SelectValueX}$   
  if  $x_i$  is null then  
     $i \leftarrow i - 1$   
    Reset  $D'_k$  for each  $k > i$  to its value before  $i$  was last instantiated  
  else  
     $i \leftarrow i + 1$   
  end if  
end while  
if  $i$  is 0 then  
  return inconsistent  
else  
  return instantiated value for  $\{x_1, \dots, x_n\}$   
end if
```

# Forward Checking

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## Forward Checking

- most limited form of constraint propagation
- propagates the effect of a selected value to future variables *separately*
- if domains of one of future variables becomes empty, try next value for current variable.



# Select Value Forward Checking

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## Algorithm

### Algorithm 4 SelectValueForwardChecking

```
a ← D'_i select an arbitrary value
while D'_i ≠ {} do
  for all k, i < k ≤ n do
    for all b, b ∈ D'_k do
      if < ā_{i-1}, x_i = a, x_k = b > is not consistent then
        D'_k ← D'_k \ {b}
      end if
    end for
    if D'_k = {} then
      emptyDomain ← true
    end if
  end for
  if emptyDomain then
    reset each D'_k to its value before assigning a
  else
    return a
  end if
end while

return null
```

# Forward Checking: Example

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## Example (Graph Colouring Example)

- Variables:  $x_1, x_2, x_3, x_4, x_5, x_6, x_7$ ,
- Domains:  $D_{x_1} = \{R, B, G\}$ ,  $D_{x_2} = D_{x_5} = \{B, G\}$ ,  $D_{x_3} = D_{x_4} = D_{x_7} = \{R, B\}$ ,  $D_{x_6} = \{R, G, Y\}$
- Constraints:  $x_1! = x_2$ ,  $x_1! = x_3$ ,  $x_1! = x_4$ ,  $x_1! = x_7$ ,  $x_2! = x_6$ ,  $x_3! = x_7$ ,  $x_4! = x_5$ ,  $x_4! = x_7$ ,  $x_5! = x_6$ ,  $x_5! = x_7$
- $x_1 = \textit{red}$  reduces domains of  $x_3, x_4, x_7$
- $x_2 = \textit{blue}$  no effects
- $x_3 = \textit{blue}$  (only available) makes  $x_7$  empty  $\rightarrow x_3$  dead-end

# Complexity of Forward Checking

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## Complexity of Forward Checking

- $O(ek^2)$  for each node
- $e_u$  consistency check for each value of each future variable  $x_u$
- $k$  value for each future variables  $O(e_u k)$
- $\sum_u e_u = e$  then  $O(ek)$
- $k$  value for the current variable

# Arc Consistency Look-Ahead

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## Arc Consistency Look ahead

- force **full** arc consistency on all remaining variables
- select a value for current variable  $x_i = a$
- apply AC - 1 on all variable  $k > i$  with  $x_i = a$
- If a variable domain becomes empty reject current assignment
- can use AC - 3 or AC - 4 instead

# Arc Consistency Look-Ahead Complexity

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## Arc Consistency Look Ahead

- Best algorithm for AC is AC – 4 complexity  $O(ek^2)$
- worst case for Select Arc Consistency look-ahead is  $O(eK^3)$

# Maintaining Arc Consistency

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## MAC - variant of Arc Consistency Look-Ahead

- Apply Full Arc Consistency each time a value is rejected
- if empty domain  $\rightarrow$  no solutions
- otherwise continue with backtracking

## Example

- Given a network  $\mathcal{R}$
- Consider variable  $x_1$  with  $D_1 = 1, 2, 3, 4$
- Apply Backtracking with AC look ahead
- Suppose value 1 is rejected: apply full AC with  $D_1 = 2, 3, 4$

# Full Look Ahead

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## Approximation of Arc Consistency Look Ahead

- Same as Arc Consistency Look ahead
- Perform only one pass of AC (no repeat until)
- More work than forward checking less than Arc Consistency Look-ahead

# Exploiting problem structure in Look ahead

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## Definition (Cycle Cutset)

Given an undirected graph, a subset of nodes in the graph is a **cycle cutset** iff its removal result in an acyclic graph

## Exploiting problem structure

- Once a variable is assigned it can be removed from the graph
- If we remove a cycle-cutset the rest of the problem is a tree
- Can use arc consistency to solve that sub-problem
- We need to check all possible assignment of cycle-cutset variables and do arc propagation
- Complexity is still exponential **but** in the size of the cycle-cutset!



# Look Ahead for SAT

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## DPLL

- Backtracking can be applied to SAT for CNF
- DPLL is a **specific** backtracking algorithm for SAT
- Uses a CNF-specific look-ahead method: **unit propagation**
- Plus heuristics to choose next variable to expand

# Boolean Constraint Propagation: Example

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## Example (Boolean constraint propagation)

- $\phi = A \vee \neg B, B, \mathcal{R}_\phi$  network representing  $\phi$
- force arc consistency to  $\mathcal{R}_\phi$
- $\psi = A \vee \neg B, B \vee C, \mathcal{R}_\psi$  network representing  $\psi$
- force path consistency to  $\mathcal{R}_\psi$

# Boolean Constraint Propagation

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## Constraint Propagation for CNF

- Domain restriction = unit clause
- Arc-consistency = to unit resolution
- Path consistency = to resolution between clauses of length 2

# Unit Propagation

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## Algorithm

### Algorithm 5 UnitPropagate( $\phi$ )

**Require:** A CNF formula  $\phi$

**Ensure:** An equivalent formula with unit clause removed

```
Q  $\leftarrow$  all unit clauses in  $\phi$ 
while Q  $\neq$  { } do
  T  $\leftarrow$  one unit clause in Q
  for all clause  $\beta$  in  $\phi$  containing T or  $\neg T$  do
    if T  $\in$   $\beta$  then
      delete  $\beta$ 
    else
       $\gamma \leftarrow$  Resolve( $\beta, T$ )
      if  $\gamma$  is the empty clause then
        return theory unsatisfiable
      else
        add  $\gamma$  to  $\phi$  and delete  $\beta$ 
        if  $\gamma$  is a unit clause then
          add  $\gamma$  to Q
        end if
      end if
    end if
  end for
end while
```

# Unit Propagation: discussion

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## Characteristics

- force arc consistency
- arc consistency for general constraints (not-only binary)
- perform arc consistency in linear time:
  - each step we either eliminate a clause or eliminate a literal
  - number of unit resolution is at most the length of the CNF formula

# DPLL as backtracking for CNF

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## DPLL algorithm

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### Algorithm 6 DPLL( $\phi$ )

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**Require:** A CNF formula  $\phi$

**Ensure:** A decision on whether  $\phi$  is satisfiable

UnitPropagate( $\phi$ )

**if** empty clause is generated **then**

**return** false

**else**

**if** All variables are assigned **then**

**return** true

**else**

$Q \leftarrow$  one unassigned variable

**return** (DPLL( $\phi \wedge Q$ )  $\vee$  DPLL( $\phi \wedge \neg Q$ ))

**end if**

**end if**

---

## DPLL as backtrack for DCSP

- backtracking with arc consistency
- unit propagation forces arc consistency at each node
- we can force higher level of consistency
  - path consistency by applying resolution to clauses of length two
- heuristics to choose next variables
  - choose the one that causes the most unit clauses to appear
  - approximated by the number of 2-literals clauses in which the variable appears

# Example: DPLL

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## Example (CNF with DPLL)

Consider the formula

$$\phi = \{(\neg A \vee B), (\neg C \vee A), (A \vee B \vee D), (C)\}$$