A Flexible Heuristic to Schedule Distributed Analytic Applications in Compute Clusters

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Abstract—This work addresses the problem of scheduling user-defined analytic applications, which we define as high-level compositions of frameworks, their components, and the logic necessary to carry out work. The key idea in our application definition, is to distinguish classes of components, including core and elastic types: the first being required for an application to make progress, the latter contributing to reduced execution times. We show that the problem of scheduling such applications poses new challenges, which existing approaches address inefficiently.

Thus, we present the design and evaluation of a novel, flexible heuristic to schedule analytic applications, that aims at high system responsiveness, by allocating resources efficiently. Our algorithm is evaluated using trace-driven simulations and with large-scale real system traces: our flexible scheduler outperforms current alternatives across a variety of metrics, including application turnaround times, and resource allocation efficiency.

We also present the design and evaluation of a full-fledged system, which we have called Zoe, that incorporates the ideas presented in this paper, and report concrete improvements in terms of efficiency and performance, with respect to prior generations of our system.

Index Terms—scheduling, distributed applications, distributed systems

1 INTRODUCTION

The last decade has witnessed the proliferation of numerous distributed frameworks to address a variety of large-scale data analytics and processing tasks. First, MapReduce [1] has been introduced to facilitate the processing of bulk data. Subsequently, more flexible tools, such as Dryad [2], Spark [3], Flink [4] and Naiad [5], to name a few, have been conceived to address the limitations and rigidity of the MapReduce programming model. Similarly, specialized libraries such as MLLib [6] and systems like TensorFlow [7] have seen the light to cope with large-scale machine learning problems. In addition to a fast growing ecosystem, individual frameworks are driven by a fast-pace development model, with new releases every few months, introducing substantial performance improvements. Since each framework addresses specific needs, users are left with a wide choice of tools and combination thereof, to address the various stages of their data analytics projects.

The context depicted above has driven a lot of research [8], [9], [10], [11], [12], [13], [14], [15], [16], [17], [18], [19], [20], [21] (see Section 7 for a detailed discussion) in the area of resource allocation and scheduling, both from academia and the industry. These efforts materialize in cluster management systems that offer simple mechanisms for users to request the deployment of the framework they need. The general underlying idea is that of sharing cluster resources among a heterogeneous set of frameworks, as a response to static partitioning, which has been dismissed for it entails low resource allocation [8], [9], [10]. Existing systems divide the resources at different levels. Some of them, e.g. Mesos and YARN, target low-level orchestration of distributed computing frameworks: to this aim, they require non-trivial modifications of such frameworks to operate correctly. Others, e.g. Kubernetes [22] and Docker Swarm [23], focus on provisioning and deployment of containers, and are thus oblivious to the characteristics of the frameworks running in such containers. To the best of our knowledge, no existing tool currently addresses the problem of scheduling analytic applications as a whole, leveraging the intrinsic properties of the frameworks such applications use.

The endeavor of this paper is to fill the gap that exists in current approaches, and raise the level of abstraction at which scheduling works. We introduce a general and flexible definition of applications, how they are composed, and how to execute them. For example, a user application addressing the training of a statistical model involves: a user-defined program implementing a learning algorithm, a framework (e.g., Spark) to execute such a program together with information about its resource requirements, the location for input and output data and possibly parameters exposed as application arguments. Users should be able to express, in a simple way, how such an application must be packaged and executed, submit it, and expect results as soon as possible.

We show that scheduling such applications represents a departure from what has been studied in the scheduling literature, and we present the design of a new algorithm to address the problem. A key insight of our approach is to exploit application properties and distinguish their components according to classes, core and elastic, the first being required to produce work, and the latter contributing to reduced execution times. Our heuristic focuses cluster resources to few applications, and uses the class of application components to pack them efficiently. Our scheduler
aims at high cluster allocation and a responsive system. It can easily accommodate a variety of scheduling policies, beyond the traditional “first-come-first-served” or “processor sharing” strategies, that are currently used by most existing approaches. We study the performance of our scheduler using realistic, large-scale workload traces from Google [24], [25], and show it consistently outperforms the existing baseline approach which ignores component classes: application turnaround times [26] are more than halved, and queuing times are drastically reduced. This induces fewer applications waiting to be served, and increases resource allocation up to 20% more than the baseline.

Finally, we present a full-fledged system, called Zoe, that schedules analytic applications according to our original algorithm and that can use sophisticated policies to determine application priorities. Our system exposes a simple and extensible configuration language that allows application definition. We validate our system with real-life experiments, and report conspicuous improvements when compared to a baseline scheduler, when using a representative workload: median turnaround times are reduced by up to 37% and median resource allocation is 20% higher.

In summary, the contributions of our work are:

- We define, for the first time, a high-level construct to represent analytic applications, focusing on their heterogeneity, and their end-to-end life-cycle;
- We establish a new scheduling problem, and propose a flexible heuristic capable of handling heterogeneous requests, as well as a variety of scheduling policies, with the ultimate objective of improving system responsiveness under heavy loads;
- We evaluate our scheduling policy using realistic, large-scale workload traces and show it consistently outperforms the baseline approach;
- We build a full-fledged system which materializes the ideas of analytic applications and their scheduling. Our system has been in use for over two years, serving a variety of analytic application workloads. Using our new heuristic, we were able to achieve substantial improvements in terms of system responsiveness and cluster allocation.

This article extends the work presented in [27] in several respects: (i) we implement a malleable scheduler, and compared the results with our solution; (ii) we study different definitions of job size and discuss their impact on the performance indexes; (iii) we implement and evaluate other scheduling policies that fall in the category of SMART policies, such as SRPT (Shortest-Remaining-Processing-Time) and HRRN (Higher-Response-Ratio Next).

2 Definitions and Problem Statement

2.1 Definitions

We define a data analytics framework as a set of one or more software components (executable binaries) to accomplish some data processing tasks. Distributed frameworks are generally composed by a controller, a master and a number of worker components. Examples of distributed frameworks are Apache Spark [28], Google TensorFlow [29] and MPI [30]. Another example of a simple data analytics framework we consider is an interactive Notebook [31].

Distributed frameworks require a scheduler to orchestrate their work: they execute jobs, each of which consists of one or more tasks that run in parallel in the same program. Such schedulers operate at the task level: they assign tasks to workers, and they are highly specialized to take into account the peculiarities of each framework.

Framework schedulers such as Mesos [8] and Yarn [21] introduce an additional scheduling component to share cluster resources among concurrent frameworks: sharing policies are based on simple variations of Processor Sharing. Similarly, cluster management systems such as Docker Swarm [23] and Kubernetes [22] use a scheduler that assigns resources to generic frameworks. The problem to solve is the efficient allocation of resources by placing framework components and their tasks on cluster machines that satisfy a set of constraints.

We are now ready to define analytics applications, which are the elements we schedule in our work. Our main objective is to raise the level of abstraction by manipulating an abstract entity encompassing one or more analytics frameworks, their components and the necessary logic for them to cooperate toward producing useful work by running user-defined jobs. What sets apart our work from the state of the art is that our scheduler takes into account the notion of component classes, which allows modeling the specificity of each framework. We have found two distinct component classes to be sufficient to model existing analytic frameworks: thus, framework components either belong to a core or to an elastic class. Core components are compulsory for a framework to produce useful work; elastic components, instead, can contribute to a job, e.g. by decreasing its runtime.\footnote{Elastic components are not guaranteed to always reduce job runtimes; indeed, the presence of “stragglers” tasks, might induce a given job to enjoy little improvement from additional resources. This is especially true if such “stragglers” are systematically assigned to an elastic component.}

Consider, for example, Spark. To produce work, it needs some core components: a controller (the spark client running the DAG scheduler), a master (in a standalone deployment), and one worker (running executors). We treat additional workers as elastic components. An alternative example is an application using TensorFlow, which only works with core components: one or more parameter servers and a number of workers. These two frameworks have different runtime behavior: Spark is an elastic framework that can dynamically integrate workers to dispatch tasks. TensorFlow is rigid, and uses only core components to make progress.

To summarize, the nature of an application is that of raising the level of abstraction and an application is considered as being a collection of frameworks and their heterogeneous components as a single entity to schedule and allocate in a cluster of computers.
2.2 Problem Statement

We now treat the applications defined above as abstract entities that we call requests: they include one or more components, which belong to a given class, either core or elastic. The scheduler takes a “reservation-centric” approach, whereby a resource request expresses the worst-case requirements for an application to complete. So, even if resource usage varies over time, the scheduler only reasons about resource reservation.

In the literature, the classical problem of scheduling generic requests to be served by a distributed system has been extensively studied [33], [34], [35]. Requests composed solely by core components are usually referred to as rigid, while requests composed solely by elastic components are referred to as malleable (if the assigned resources are decided when the request is served and they do not change for the whole execution) or malleable (if the resources can vary during the execution). A key difference with respect to the previous works, such as the literature on the divisible load scheduling [37], is that we consider heterogeneous requests, composed by both core and elastic components.

For simplicity of exposition, we assume system resources that can be measured in units, and that there are $R$ available units overall to satisfy the requests. Each request $i$ specifies the amount of units for its core and elastic components, labeled $C_i$ and $E_i$ respectively. A given amount of work $W_i$ is associated to each request: we assume that such amount of work can be done by the elastic components, as well as by the core components, except two. Indeed, if we consider as an example frameworks such as Apache Spark, two core components are dedicated to the Spark client and the Spark master: therefore, they do not produce actual work, but only coordinate the application. The service time can be computed as $T_i = \frac{W_i}{C_i + x_i(t)}$, where $C_i + x_i(t)$ is the amount of allocated resources (two core components do not produce work) and $0 \leq x_i(t) \leq E_i$. This simple approach to compute the service times has been adopted in the literature [37] and allows updating $T_i$ when a scheduling decision modifies $x_i(t)$, by measuring the amount of work accomplished so far, and by computing the remaining amount of work to be done. While more complex computation of $T_i$ can be conceived, for example taking into account the multi-dimensional nature of system resources or different types of scalability, the scheduling algorithm we present in this work does not use, nor attempt to compute service times $T_i$: such a computation or any approximation thereof serves the sole purpose of giving the context of a general scheduling problem.

Essentially, the problem of scheduling the execution of an incoming workload of requests amounts to: i) sorting requests to decide in which order to serve them; ii) allocating distributed resources to requests selected for service. The sorting phase can be solved using naive approaches, e.g., FIFO ordering, or more sophisticated policies, that use request size information. Even more generally, requests can be placed into “pools” and be assigned priorities, to mimic the hierarchical organization of the users, for example. The allocation phase is more tricky: in the abstract, it is a “packing” problem that determines how to shape requests being served. Even if we consider the offline, static problem – i.e., if we assume that both service times and the sequence of jobs to be known a-priori (e.g., $T_i$ is given as an input, as well as the arrival times of each job) – it is simple to show that such a problem is NP-hard [34]. Therefore, we need to find a suitable heuristic to approximate a solution to the scheduling optimization problem. In our case, this amounts to minimizing the application turnaround times, which is the interval of time between request $i$ submission and its completion. In the context we consider, optimizing the average turnaround time represents a meaningful performance metric [26], as it caters system responsiveness.

Our scheduling problem does not directly take care of data locality constraints. As we saw in [38], recently cloud providers tend to disaggregate compute and storage layer at different levels: a compute and data node can reside on the same host, on different hosts or even on different data centers. Next, we motivate our problem with a simple illustrative example.

**Illustrative example.** We consider a system with 10 available resource units, and four requests waiting to be served, as shown in Figure 1. Each request needs 3 units for the core components, and different units for the elastic components. For each request, we also specify $W_i$, the amount of work to be done. In this example we focus on the allocation phase only and we use the FIFO policy to sort the pending requests.

Given these requests, a traditional, rigid approach to...
scheduling – which does not make the distinction between component classes – assigns all required resources to each request. Since all requests need at least 5 units \((C_i + E_i \geq 5)\), and since any pair of requests have an aggregated need that exceeds 10 units, the scheduler serves one request at a time (Figure 1, top): the average turnaround time is 25s. Note that, in this case, backfilling is not possible, i.e., even by changing the order in which requests are served the situation does not change.

Another scheduling approach comes from the literature of malleable job scheduling. The scheduler assigns all resources to the first request in the waiting line, then assigns the remaining resources (if any) to the next request, and so on, until no more free resources are available. This heuristic has been shown to be close to optimal [34]. Figure 1, middle, illustrates the idea: request B can be served along with request A. When request A has completed, the scheduler first assigns more resources to request B, and then tries to serve the next request. Similarly, when request B has completed, the scheduler first assigns more resources to request C, then attempts at serving request D. However, since request D needs at least \(C_i = 3\) units, the scheduler is blocked (note that request C uses 8 units), so request D needs to wait, and some system resources remain unused. The average turnaround time is 21.875s.

In this work we advocate the need for a new approach to scheduling, which distinguishes component classes. The idea is to exploit the flexibility of elastic components and use system resources more efficiently. Intuitively, a solution to the problems of existing heuristics is to reclaim some resources assigned to elastic components of a running request and assign them to a pending request. This is shown in the bottom of Figure 1: the scheduler reclaims just one unit from request C so that it can provide 3 units to request D, which are sufficient for its core components and produce useful work. With this approach, the average turnaround is the same, but the overall completion time decreases, freeing the resources for any additional request.

While the above solution seems simple, it poses many challenges: how many units assigned to elastic components can be sacrificed for serving the next request? How many requests should be served concurrently? Should the scheduler focus on core components alone, to make sure many requests are served concurrently? How can scheduling take into account the priorities assigned by the sorting phase?

The last point introduces an additional challenge, related to preemptive scheduling policies. If a high priority request arrives, since it is not possible to interrupt core components, for this would kill the request, how can we select and preempt elastic components to accommodate the new request?

Given heterogeneous, composite requests, which are neither rigid, nor malleable (but both), available scheduling heuristics in the literature fall short in addressing the sorting and allocation problems: a new approach is thus truly desirable.

### 3 A Flexible Scheduling Algorithm

#### 3.1 Design guidelines

We characterize a request by its arrival time, its priority (to decide the order in which the requests should be served), the resources it asks for (core and elastic) and the execution time (in isolation, i.e., when all required resources are granted to the application). Given an incoming workload, our goal is to optimize the sum of the turnaround times \(\tau_i\), that is:

\[
\min \sum_i \tau_i \Rightarrow \min \sum_i (\text{queuing}_i + \text{execution}_i)
\]

The actual execution time depends on the amount of resources assigned over time to the request. Now, recall that the scheduling problem can be broken into sorting and allocation phases. Sorting determines when a request is served, thus it has an impact on its queuing time. The allocation phase contributes both to queuing and actual execution times. Depending on allocation granularity [9], a request might need to wait for a number of resources to be available before occupying them, thus increasing – albeit indirectly – the queuing time. The execution time is directly related to the allocation algorithm and to the workload characteristics.

In this work we decouple request sorting from allocation: our scheduler maintains the request ordering, as imposed by an external component, and only focuses on resource allocation. Sorting can be simply based on arrival times (which amounts to implement a FIFO queuing discipline), or can use additional information, such as request size (thus implementing a variety of size-based disciplines).

Overall, we optimize request turnaround times through careful resource allocation, and design an algorithm that strives at allocating all available cluster resources, by serving the least number of requests at a time. Intuitively, by “focusing” resources to few requests, we expect their execution times to be small. Consequently, queued requests also enjoy smaller wait times, because resources are freed more quickly.

#### 3.2 Algorithm Details

Although we support preemptive scheduling policies, to simplify exposition, we first consider the case with no preemption: resources assigned to a request can only increase, and a new request can be placed, at most, at the head of the waiting line, depending on the sorting component. We stress that the output of our scheduling algorithm is a virtual assignment, i.e., the mechanism to physically allocate resources according to the computed assignment (core and elastic components for running applications) is separate from the scheduling logic, and considered as an implementation detail.

Our resource allocation procedure is called Rebalance, and it is triggered by two events: request arrivals and departures – see Algorithm 1. When a new request arrives (procedure onRequestArrival), the resource assignment is done only if such a request is placed at the head of the waiting line and there are unused resources that are sufficient for running its core components. When a request is completed (procedure onRequestDeparture), the released resources are always reassigned.

The scheduler maintains two ordered sets: the requests waiting to be served (\(E\)) and the requests in service (\(S\)). Each request \(\text{req}\) needs \(\text{req.C}\) core components and \(\text{req.E}\) elastic components; depending on the allocation, request

4. This approach is similar to the one used in SLURM [39], where the order of the pending jobs is given by an external pluggable component, and the scheduler processes the jobs in that order.
show through our experimental results in Sect. 4. Although
simple, our heuristic provides significant gains, as will we
allocate elastic components.

We present in Algorithm 1 can be amended with a simple
siders both CPU and RAM resources. The greedy heuristic
dimensional packing problem, but our implementation con-
possibility, we allocate RAM resources of core components.
possible, we allocate CPU resources of core components; then, if
we allocate CPU resources of core components. The
Greedy heuristic

Algorithm 1: Resource assignment procedures (no preemption)

1 procedure ONREQUESTARRIVAL(req)
2 \hspace{1em} INSERT(req, \mathcal{L})
3 \hspace{1em} if req =\ \mathcal{L}.head \text{ and } req.C \leq \text{avail} then
4 \hspace{2em} REBALANCE()
5 procedure ONREQUESTDEPARTURE()
6 \hspace{1em} REBALANCE()
7 procedure REBALANCE()
8 \hspace{1em} while \sum_{j \in \mathcal{S}} (req.C + req.E) < \text{total and (} \mathcal{L} \text{ not } \emptyset) \hspace{1em}
9 \hspace{2em} do
10 \hspace{3em} req = \mathcal{L}.head
11 \hspace{3em} if req.C + \sum_{j \in \mathcal{S}} req.C < \text{total} then
12 \hspace{4em} INSERT(POPC(\mathcal{L}), \mathcal{S})
13 \hspace{3em} else
14 \hspace{4em} break
15 \hspace{2em} avail = \text{total} - \sum_{j \in \mathcal{S}} \text{req}.C
16 \hspace{2em} forall \text{ req} \in \mathcal{S} \hspace{1em}
17 \hspace{3em} \text{req}.G = 0
18 \hspace{3em} req = \mathcal{S}.head
19 \hspace{3em} while \text{avail} > 0 \text{ and (} \text{req not NULL) do}
20 \hspace{4em} \text{req}.G = \text{min}(\text{req}.E, \text{avail})
21 \hspace{4em} \text{avail} = \text{avail} - \text{req}.G
22 \hspace{4em} \text{req} = \text{req}.next

\text{req} \text{ is granted } 0 \leq \text{req}.G \leq \text{req}.E \text{ elastic components. The}
core of the procedure REBALANCE (lines 18-21) operates as
follows: each request \text{req} in the serving set \mathcal{S} has always
at least \text{req}.C resources assigned. Excess resources are as-
signed to the requests in \mathcal{S} following the request order. The
scheduler assigns as many elastic components as possible to
the first request, then to the second, and so on, in cascade.

Following the design guidelines, the set \mathcal{S} should only
contain the requests that are strictly necessary to use all the
available resources. This is accomplished by the first part of
the procedure REBALANCE (lines 8-13): a request is added to
\mathcal{S} if the current requests in \mathcal{S} are not able to saturate the
total resources (\text{total}, line 8). Note that we add a request to
\mathcal{S} only if there is room to allocate all of its core components.

Our exposition glosses over the details of a multi-
dimensional packing problem, but our implementation con-
siders both CPU and RAM resources. The greedy heuristic
we present in Algorithm 1 can be amended with a simple
rationale. First, if possible given the current system state,
we allocate CPU resources of core components; then, if
possible, we allocate RAM resources of core components.
Only once both CPU and RAM resources are allocated to
core components, our algorithm proceeds similarly to
allocate elastic components.

This is different from a joint optimization of both types
of resources, and therefore it may be suboptimal. Although
simple, our heuristic provides significant gains, as will we
show through our experimental results in Sect. 4.

Algorithm 2: Resource assignment procedures
(with preemption)

1 procedure ONREQUESTARRIVAL(req)
2 \hspace{1em} if req.P > \mathcal{S}.tail.P then
3 \hspace{2em} if req.C \leq \sum_{j \in \mathcal{S}} \text{req}.E then
4 \hspace{3em} INSERT(req, \mathcal{S})
5 \hspace{3em} REBALANCE()
6 \hspace{2em} else
7 \hspace{3em} INSERT(req, \mathcal{W})
8 \hspace{2em} else
9 \hspace{3em} INSERT(req, \mathcal{L})
10 \hspace{2em} if req =\ \mathcal{L}.head \text{ and } req.C \leq \text{avail} then
11 \hspace{3em} REBALANCE()
12 \hspace{1em} while \mathcal{W}.head.C + \sum_{j \in \mathcal{S}} \text{req}.C < \text{total and (} \mathcal{W} \text{ not } \emptyset) \hspace{1em}
13 \hspace{2em} do
14 \hspace{3em} INSERT(POPC(\mathcal{W}), \mathcal{S})
15 \hspace{2em} REBALANCE()

3.3 Preemptive policies

We now consider preemptive policies: request arrivals can
trigger (partial) preemption of running requests, e.g. if new
requests have higher priority than that of the last request
in service. In this case, the tuple describing a request also
stores its priority, \text{req}.P. It is important to note that, in
this work, the preemption mechanism only operates on
elastic components of running applications, whereas core
components (that are vital for an application) cannot be
preempted.

Algorithm 2 shows the modifications to the procedures
ONREQUESTARRIVAL and ONREQUESTDEPARTURE to sup-
port preemption. When a new request arrives, if its priority
is higher than the requests in service, we check if its core
components can be allocated using the resources occupied
by the elastic components of currently running requests. If
so, we insert the request into the set \mathcal{S} and call REBALANCE
(defined in Algorithm 1). Otherwise, we insert the request
into an auxiliary waiting line \mathcal{W}, which is given priority
when resources become available. Indeed, procedure ON-
REQUESTDEPARTURE indicates that we first consider the
waiting requests in \mathcal{W}, and we add to the set \mathcal{S} as many of
them as possible, considering solely the core components.
In other words, requests in \mathcal{W} have higher priority than those
in \mathcal{L}. Finally, the call of REBALANCE assigns the remaining
resources to the elastic components of high priority requests.

4 NUMERICAL EVALUATION

4.1 Methodology

We evaluate our algorithm using an event-based, trace-
driven discrete simulator developed to study the scheduler
Omega [9], which we extended\footnote{https://github.com/DistributedSystemsGroup/
ccluster-scheduler-simulator} in order to make it work
with applications, instead of low-level jobs and to use the
concept of component classes. Our scheduler implementa-
tion supports a variety of policies, from the basic FIFO (First

ccluster-scheduler-simulator
In, First Out), to the size-based disciplines in the family of SMART policies [40]. In case of size-based policies, we assume application size information to be provided by an external component (such as a job size estimator), which is not part of the design of our solution: recent studies have highlighted that a size based scheduler may tolerate estimation errors with minimal impact on the scheduling performance [41].

Our implementation first obtains a “virtual assignment” with Algorithm 1, then fulfills it by allocating resources accordingly, which happens instantaneously. Additionally, we have implemented a baseline, consisting of a rigid scheduler that does not distinguish component classes, which is representative of current cluster management systems. In our simulations, we consider two-dimensional resources, including definitions of CPU and RAM requirements. We would like to stress that the “virtual assignment” can take into consideration other constraints as well (e.g., GPU).

Our scheduler currently accepts application workloads of two kinds. The first is batch applications, that take from a few seconds to a few days to complete: these are delay-tolerant applications, with a very simple life-cycle. Core components must first start to produce useful work, by executing user-defined jobs that are “passed” to the application; elastic components may contribute to the application progress. Once the user programs are concluded, the application finishes, releasing resources occupied by its frameworks and components. The second is interactive applications, which involve a “human in the loop”: these are latency-sensitive applications, with a life-cycle triggered by human activity. In this case, core components must start as soon as possible, to allow user interaction with the application (e.g., a Notebook).

For our performance evaluation, we use publicly available traces [24], [25], [42], [43], and generate a workload by sampling the empirical distributions we compute from such traces. First, we focus on batch applications alone, and simulate both rigid (e.g., TensorFlow) and elastic (e.g., Spark) variants: the label B-R represents rigid applications with only core components; the label B-E stands for elastic applications, with both core and elastic components. Then, we evaluate the benefit of preemption by adding a set of (simulated) interactive applications.

Figure 2 shows the characteristics of the workload, and in particular the CDFs of the main metrics. The application inter-arrival times exhibit a bi-modal distribution with fast-paced bursts, as well as longer intervals between application submissions. The application runtime ranges from a few dozen seconds to several weeks (of simulated time). Looking more in details within jobs, it is possible to see that resource requirements range from few CPU millicores to 6 CPU cores (Fig. 2 central left) and range from few MB to a few dozens GB of memory (Fig. 2 central right). Finally, application components can be divided into core components and elastic components: batch applications consist of few to tens of components, up to thousands of components, while interactive applications are smaller, and use up to hundreds of elastic components. The division into core and elastic components is done by following Google traces [24], [25], [42], [43]: in particular the core components are extracted with respect of the analytics frameworks widely used at the time of writing (e.g.; Spark [36], Hadoop MapReduce, Tensorflow [7]) while the elastics follow the distribution of tasks launched per application.

The workload used in our simulations consists of 80,000 applications, with 80% batch and 20% interactive applications. Batch applications include 80% elastic and 20% rigid components. We simulate a cluster with 100 machines, each with 32 cores and 128GB of memory. All results shown here include 10 simulation runs, for a total of roughly 3 months of simulation time for each run.

Finally, the metrics we use to analyze the results include: application turnaround and queuing time, the latter being an important factor contributing to the turnaround time. Additionally, we measure the queue sizes and the number of running applications, along with the resource allocation, measured as the percentage of CPU and memory the scheduler allocates to each application.

4.2 Comparison with the baseline

We now perform a comparative analysis between our flexible scheduler and the baseline. In this series of experiments we omit interactive applications, and thus disable preemption. In order to show the benefits of our scheduler, we present results for a size-agnostic policy – FIFO – and for a size-aware policy – Shortest Job First (SJF).

Figure 3 (left) illustrates the most important percentiles (in a box-plot) of the distribution of turnaround times. The benefits of our approach are noticeable, irrespectively of
and the effective application runtime obtained with the simulation. Values above one indicate that applications run slower in a system absorbing a given workload when compared to applications running in an empty system. Overall, these results show that our scheduling approach does not impose a high toll on application runtime, while globally contributing to improved turnaround times.

Next, we support the general results discussed above with additional details. Figure 4 shows the box-plots of the distribution of queue sizes, for both the pending and the running queues. Our approach induces a smaller number of applications waiting to be served, as well as a larger number of applications running in the system, compared to the baseline and across different policies. Indeed, our flexible scheduler achieves a better packing of applications, which means they can start sooner. Additionally, the benefits of a size-based discipline are clear: the number of applications waiting is almost one order of magnitude smaller compared to a FIFO policy, while the number of running applications is similar.

Figure 5 shows metrics from the cluster perspective: our approach (for both disciplines) induces a far better resource allocation compared to the baseline, achieving more than 20% gains in both CPU and RAM allocation.\(^6\)

### 4.3 Comparison with a malleable scheduler

The illustrative example depicted in Figure 1 shows that a rigid scheduler may not be able to exploit all the resources, therefore the results presented in the previous section are simple to understand and explain. One may wonder if a completely malleable scheduler may be able to actually use most of the resources, without requiring a more complicated scheduler. It is worth mentioning that currently no solution supports a malleable scheduler as we presented it in Section 2.2. In other words, we have implemented a malleable scheduler in order to compare its performance with our flexible scheduler. The malleable scheduler uses a first-fit approach, adding as much elastic components as possible. If the remaining resources are not sufficient to schedule at least

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6. Allocation is different from utilization: the simulator does not account for real executions, so we cannot report utilization figures.
the rigid components of the next application, the scheduler waits for free resources before scheduling that application.

As we noted with the illustrative example (Figure 1), the average turnaround time with a malleable approach is similar to the one obtained by our flexible approach: the key advantage of our approach is in exploiting more efficiently the resources. This is confirmed by the experiments with our simulator.

In Table 1 we compare average turnaround and the average cluster allocation when using the malleable scheduler and our flexible scheduler, in case of FIFO and SJF. Our flexible scheduler is able to improve the turnaround time by 10% and 16% for FIFO and SJF respectively since it is using more efficiently the available resources. This is confirmed by the CPU and memory allocation: our scheduler consistently uses more CPU and memory than the malleable scheduler.

### 4.4 Comparison between different definitions of size

When dealing with monolithic applications, the definition of job size is simple: it may be computed as the time necessary to complete the job when it runs in isolation, i.e., without any interference caused by other jobs. This is actually the definition we have adopted in the previous section when using a size-based scheduler.

The above definition, nevertheless, may not capture the complexity of a distributed application. For instance, let us consider two applications with the same runtime, but different number of parallel tasks to perform: do they have the same size? Intuitively, the application with fewer tasks should be smaller than the application with a larger number of tasks.

This example suggests that it is possible to define the size of an application in different ways. In general, we may consider the total amount of work that the application needs to do. Therefore, similarly to [44], a possible definition of size may be the product of the runtime and the number of components. Another option, if we consider all resources required by an application, is to compute the size as the product of the runtime, the number of CPUs and the memory of all the components. Table 2 summarizes the different definitions of job size for the SJF policy. Although in this section we focus on the SJF policy, we found that the same definitions can be applied to other policies as well.² The definitions of job size that we take into consideration incrementally add information. We start by the classic definition that considers only the runtime of the application. Next, since that definition is one dimensional, we try to move to a two-dimensional definition by adding also the number of components that the application is using. Finally, since every component might request different resources, we add this information as well, and turn the definition from a two to a three dimension.

Note that no definition is better than the other: it depends on the metric used to evaluate the system performance. If the focus is to minimize the turnaround time, then the basic definition of size (as runtime) may be sufficient. The following example shows why. Consider two applications, A₁ and A₂, whose requests arrive at the same moment. The core components of the two applications need C₁ = 10 units and C₂ = 6 units respectively, while they both have no elastic components. The run times are T₁ = 2 and T₂ = 3 seconds respectively. The system has 10 available resource units, therefore the two applications can not be scheduled in parallel. If we use the runtime as job size, we schedule application A₁ first then A₂, with an average turnaround time equal to 3.5 seconds. If we use as size the product of the runtime and the number of components, then we schedule the application A₂ and then A₁, with an average turnaround time equal to 4 seconds.

In the following, we compare the results obtained with different definition of size. We are not interested in the absolute values of the performance metrics: instead, given a definition of size, we compare the baseline scheduler with our flexible scheduler, in order to show the improvements we are able to obtain. The experimental settings are the same used in Section 4.2.

Figure 6 shows the application turnaround, queuing time and slowdown. Focusing on the application turnaround, we notice that our flexible scheduler consistently improves over the baseline scheduler in case of batch elastic applications. For the batch rigid applications instead, while the “-3D” variant shows an improvement over the baseline scheduler, the two schedulers obtain similar results with the “-2D” variant. This is reflected on the application queue, which is similar for the two schedulers with the “-2D” variant. Nevertheless, the overall mix of the applications is able to exploit the resources more efficiently. In fact, as shown in Figure 7, right hand side, there are more application running, and, as shown in Figure 8, these applications use almost fully the CPU and the memory. In addition, by comparing the results from Fig. 6 to Fig. 3, we notice that, when considering our flexible approach, different and more fine grained definitions of application size lead to an improvement in the average turnaround time; the average turnaround time of SJF-3D is smaller than SJF-2D, which is smaller than SJF. Instead, for the baseline approach this is not always true; the SJF-3D performs worst compared to SJF-2D.

In conclusion, with these experiments we show that

### Table 1

Comparison of average turnaround, CPU and Memory allocation for FIFO and SJF policies, between our flexible and a malleable scheduler.

<table>
<thead>
<tr>
<th>Policy</th>
<th>Scheduler</th>
<th>Turnaround</th>
<th>CPU</th>
<th>Memory</th>
</tr>
</thead>
<tbody>
<tr>
<td>FIFO</td>
<td>Flexible</td>
<td>3.72×10⁶s</td>
<td>75%</td>
<td>74%</td>
</tr>
<tr>
<td>FIFO</td>
<td>Malleable</td>
<td>3.36×10⁶s</td>
<td>77%</td>
<td>76%</td>
</tr>
<tr>
<td>SJF</td>
<td>Malleable</td>
<td>6.14×10⁴s</td>
<td>74%</td>
<td>72%</td>
</tr>
<tr>
<td>SJF</td>
<td>Flexible</td>
<td>5.13×10⁴s</td>
<td>80%</td>
<td>78%</td>
</tr>
</tbody>
</table>

### Table 2

Definition of size used in the evaluation

<table>
<thead>
<tr>
<th>Name</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>SJF</td>
<td>runTime</td>
</tr>
<tr>
<td>SJF-2D</td>
<td>runTime * #components</td>
</tr>
<tr>
<td>SJF-3D</td>
<td>runTime * (\sum_{i=1}^{#components} CPU_i \times RAM_i)</td>
</tr>
</tbody>
</table>

² More information and experiments can be found in [45]
more information embedded in the definition of application size might translate in tangible benefits in terms of turnaround times, provided that the scheduling algorithm, like ours, can use such information. This is not always true when considering a baseline approach, because the constraints imposed by it, like scheduling all components or nothing, void the benefits of a fine grained size definition.

### 4.5 Preemption

We turn now our attention to the full workload we defined in Section 4.1, including interactive applications. Preemption is used when a high-priority, interactive application requires resources: this applies both to manually set priorities (e.g., in a FIFO policy) and to size-based priorities. In this section we focus on sized-based policies: in particular, we report results for the SRPT policy, which is a preemptive policy, and we use the runtime as definition of size.

Figure 9 shows the most relevant percentiles of the distribution of application queuing times, grouped by application type (both cases of batch and interactive applications), with and without our preemption mechanism. Globally, preemption does not subvert the perceived system responsiveness. However, interactive applications under preemptive scheduling enjoy roughly two orders of magnitude less queuing times. Users do not wait for few dozens minutes but only few seconds, for their interactive application to start. As a consequence, elastic batch applications pay with more variability (but stable for the median case) in queuing times.

Since our simulator does not account for real work done by applications, the preemption mechanism does not have any effect on the work that has been done by preempted components. In practice, our current preemption mechanism would instead suppress work done by elastic services, if preempted. Studying new preemption primitives, e.g. by suspending Linux containers, is part of our research agenda: this is the main reason why our current prototype implementation lacks support for preemption.
4.6 Additional considerations

In the previous sections we have shown the results for a size-agnostic policy, namely FIFO, and a size-aware policy, SJF. Additionally, when presenting the results in case of preemption, we have considered the SPRT policy. SJF and SRPT are two examples of SMART policies [40], which are a set of policies whose aim is to minimize the average turnaround time. Another example of a smart policy is Higher-Response-Ration Next (HRRN): HRRN aims at avoiding the starvation of long running applications – starvation that may appear when using SJF or SRPT – by using the concept of virtual size.

We have tested our scheduler with all the policies mentioned above (SJF, SRPT, HRRN), in all the different scenarios: comparison with the rigid, as well as malleable schedule, when no interactive applications are present; comparison with the different definitions of size; comparison when preemption is enabled, comparison with different workloads. We do not report here all the set of results since they yield the same information of the results presented in the previous sections – the interested reader can find them in our Technical Report [45]. In summary, our flexible scheduler is able to reduce the turnaround time, while improving resources allocation, in all the different policies.

5 Implementation: The Zoe system

Next, we describe Zoe8, the system we have built to materialize the concepts developed earlier.

Zoe allows defining analytics applications and schedule them in a cluster of machines. It is designed to run on top of an existing low-level cluster management system, which is used as a back-end to provision resources to applications. Raising the level of abstraction to manipulate analytics application is beneficial for users and ultimately to the system design itself: application scheduling decisions can be taken with a small amount of state information, and do not happen at the same (extremely fast) pace as low-level task scheduling. Next we overview Zoe’s design, and provide relevant details for the subject of this work.

Zoe applications. In Zoe, the concepts introduced in Section 2 take the form of simple JSON description files that follow a high-level configuration language (CL) to specify applications, frameworks and components with their classes (core or elastic), resource reservations and constraints. The CL is simple and extensible: it aims at conciseness and, with framework templates, can be used by “casual” and “power” users [10].

The key aspect that determines the application type (batch, interactive, or any new type) is the way application life-cycle is managed. This is determined by a flexible attribute, reminiscent of a “command line”, which allows passing runtime configuration options, user-defined arguments and environment variables, as well as setup and cleanup procedures. For application design, the “command line” attribute requires minimal knowledge of the frameworks that constitute an application.

As an example of the simplicity and effectiveness of the Zoe CL, building a batch application for the distributed version of TensorFlow [29] only requires tens of lines of CL. In this case, the most important attribute is the “command line”, which is required to run a TensorFlow program, i.e., python $TF_PROGRAM $PS_HOSTS $WK_HOSTS program-args. Environment variables are appropriately handled by Zoe, including information unknown at scheduling time (e.g., host names).

A note on application failures is required. Any failure of an elastic component is practically harmless, whereas core component failures imply application failure. An area of future work is to exploit failure tolerance mechanisms available from some back-ends (e.g., Kubernetes) to steer application-level failure tolerance modes.

Zoe back-ends. The main design idea of our system is to hide the complexities of low-level resource provisioning from application scheduling and exploit an existing cluster management system, for which many alternatives exists. Currently, Zoe builds on top of Docker Swarm [23], and uses it to achieve a series of objectives we list below:

- Orchestration: Zoe interacts with all the machines in a cluster using the Docker orchestration API (known as Swarm [23]), which governs the behavior of the Docker engine [47] deployed in each machine. Thus, Zoe manages to distribute the necessary binaries for the components of an application that is scheduled for execution, their configuration, life-cycle, and provisioning.

- Dependency management: Zoe applications materialize as a series of Docker images, which contain all dependencies and external libraries required for an application to run. Zoe applications can be built from community-provided or custom Docker images of existing frameworks.

- Resource isolation: framework components specified in an application run in Linux containers, which are managed by a Docker engine. We also use the Docker engine to achieve memory allocation, whereas CPU partitioning is left to the machine OS. This means, we have a one dimensional packing problem.

- Resource matching: application descriptions include resource constraints. When an application is scheduled for execution, Zoe instructs the back-end to adhere to component constraints when provisioning the relevant Docker images with framework binaries, as determined by the virtual assignment obtained by Algorithm 1.

- Naming and networking: the services for application components to cooperate in producing useful work, and to interact with the outside world are an important aspect to consider when choosing an appropriate back-end for Zoe. We use Docker networking, but we also have developed our own service discovery mechanism to allow a more flexible application configuration and deployment.

Zoe architecture. Although Zoe is separated in several modules, it does not require any cluster-wide installation, because it uses its back-end to interact with the cluster.

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8. Zoe, https://zoe-analytics.eu/, was conceived in August 2015, named after the biggest container boat in the world, which touched sea [46] in the same time period. In this work, we omit some implementation details that stem from our continuous effort to extend Zoe.
The Zoe master polls a high-fidelity view of the cluster state through its back-end, whenever the scheduler is triggered, and stores it into a state store, backed by a PostgreSQL database. The state store also holds applications state, which is modeled as a simple state-machine. Because Zoe handles high-level objects (applications), the strain on the system is minimal: the rate of scheduling decisions scales well even with heavy workloads. The virtual assignment procedure avoids application interference by construction because it considers requests in sequence, according to their priority. The virtual assignment is ported on the back-end, using its API.

The Zoe client API handles REST calls that mutate the system state, or that can be used to monitor the system behavior. Command-line and web interfaces allow users and administrators to interact with the system.

The Zoe scheduler implements the algorithm described in Section 3. When an application is submitted, the Zoe master creates an entry in the application state store, and adds it to a pending queue. Our system allows plugging several scheduling policies to manage the pending queue, ranging from simple to sophisticated size-based policies. Such policies determine which application is granted “access” to cluster resources: to this end, the scheduler uses the cluster state store to simulate possible deployments before accepting an application. Framework components underlying an application are scheduled according to their type. The scheduler strives at making sure the application selected for execution can make progress as soon as resources are allocated to it. The Zoe monitoring module uses the Docker event stream to update the state of each application component running in the system.

Currently, the Zoe system supports a naive preemption mechanism: entire applications can be killed upon a command. The finer strategy described in Section 3 and Section 4 is currently under development.

Finally, although Zoe supports many data sources and sinks, we report experiments using a HDFS cluster to store input data to applications, and CEPH volumes to store application-specific logs.

6 Experiments with Zoe

Our goal now is to perform a comparative analysis of two generations of Zoe: the first, implementing a rigid scheduler, as for the baseline, the second with the flexible scheduler we present here. In our experiments, we replay the exact same workload trace for both generations. Each trace takes about 3 hours from the first submission to the last. During our experiments, no other user was allowed to submit jobs.

Workload. We use two representative batch application templates, including: 1) an elastic application using the Spark framework; 2) a rigid application using the TensorFlow framework. Following the statistical distribution of our historical traces, we set our workload to include 80% of elastic and 20% of rigid applications, for a total of 100 applications.

Application inter-arrival times follow a Gaussian distribution with parameters \( \mu = 60 \text{ sec} \), and \( \sigma = 40 \text{ sec} \), which is compatible with our historical data. More specifically, using the elastic application templates, we run two use cases. First, an application to induce a random-forest regression model to predict flight delays, using publicly available data from the US DoT. Second, a music recommender system based on alternating least squares algorithm, using publicly available data from Last.fm. Both applications have two different requirements (flavors) in term of memory for each elastic component. The random-forest regression model has 3 core components and 32 elastic components of 16GB or 8GB RAM each (depending on the flavor); every elastic component uses 1 CPU. The music recommender system has 3 core components and then 24 elastic components of 16GB RAM or 8GB each (depending on the flavor); every elastic component uses 6 CPU. Instead, using the rigid application template, we train a deep Gaussian Process model [48], and use both a single-node and a distributed TensorFlow program, requiring 1 and 10 workers (and 5 parameter servers) each with 16GB of RAM.

Experimental setup. We run our experiment on a platform with ten servers, each with two 16-core Intel E5-2630 CPU running at 2.40GHz (total of 32 cores with hyper-threading enabled), 128GB of memory, 1Gbps Ethernet network fabric and ten 1TB hard drives. No GPU-enabled machines are available in our platform, at the moment. The servers use Ubuntu 14.04, Docker 1.11 and the standalone Swarm manager. Docker images for the applications are preloaded on each machine to prevent container startup delays and network congestion.

Summary of results. Using the FIFO scheduling policy, we compare the two generations of Zoe according to the distributions of application turnaround times, as shown in Figure 10 (left). The behavior of the two systems indicate a clear advantage for our approach: the median turnaround times are 37% and 22% lower, for elastic and rigid applications respectively. Note also that the tails of the distributions are in favor of our approach.

Overall, the new generation of Zoe that implements the flexible scheduler is more efficient, with a 20% improvement, in allocating and packing applications, as illustrated in Figure 10 (right), where we show the ratio of the distribution of allocated over available resources.

Finally, we present results concerning a low-level metric that measures the application ramp-up time, i.e., the time it takes for applications scheduled for running, to receive their allocations and start producing work. Zoe achieves a container startup time, including placement decisions, of

0.90s ± 0.25ms. Full-fledged applications, made by several containers, only take few seconds to start, which is a compelling property, especially when compared to existing solutions such as Amazon EMR.

7 Related Work

While we cannot do justice to the richness of the scheduling literature, in this section we organize related work in three groups. The “competitors” are existing, mature systems that could be considered sufficient to address our problem statement, at a first sight. The second group includes recent works in the systems research literature, while the third covers works on scheduling at the task level.

Many “competitor” systems have been designed to cope with the problem of sharing cluster resources across a heterogeneous set of applications, some of which can be tweaked to achieve the goals we set in this work. For example, Yarn [21] and Mesos [8] have been among the first to enable multiple frameworks to coexist in the same cluster: usage of these “two-level” schedulers yield a big improvement as compared with monolithic approaches to resource scheduling. Originally designed for analytic frameworks, such systems deal with the scheduling of low-level processing tasks. Recently, more general approaches address the problem of cluster-wide resource management: Omega [9], then Borg [10] (and Kubernetes [22]) reason at the “container” level, and are optimized to achieve efficient placement and allocation of cluster resources, when absorbing a very heterogeneous workload. This latter includes a majority of long-running services, which power Web-scale, latency-sensitive applications. Additionally, container orchestration frameworks, such as Docker Swarm [23], also provide efficient and scalable solutions to the problem of scheduling (that is, placing and provisioning) containers in a cluster. Our work relies on many of the above systems, and can use them as a back-end to support scheduling of high-level applications rather than provisioning low-level containers. Existing auxiliary deployment tools such as Aurora [49] and Docker Compose [50], do not address scheduling problems.

In the systems research literature, we find several inspiring system designs, with ideas that can be “borrowed” to further extend our system prototype and research scope. For example, Koala-f [14] tackles dynamic resource allocation problems, which manifest in our case with “idle” interactive applications. Similarly to HCloud [13], we believe important to break the reservation paradigm, and allow users to express performance requirements rather than machine-level resource counts. This can be easily included in an application description, but requires developing additional components to infer statistical models of application properties based on systems observations, as done for example in Tarcil [19], Paragon [15] and Quasar [16]. Overall, by focusing on a higher-level of abstraction, the focus of our work is to address a rather abstract scheduling problem: our implementation indicates that our ideas work in practice and also bring tangible benefits. The work in [51] proposes a scheduler for Map-Reduce clusters. While the problem is similar, in a scenario with high demand, where many requests for MR-clusters arrives, the physical cluster may end up with many MR-clusters, each of them with their minimum share, unable to grow or shrink, i.e., there will be many MR-clusters that runs in parallel, increasing the average turnaround time. Instead, in our approach we try to minimize the number of jobs that runs in parallel. In [52], the authors propose a solution that needs a complete knowledge of the resource requirements (including the processing time), while our scheduler has a central view and decides from which job take the resources and to which one give them.

Finally, many works address the problem of low-level task scheduling. Such schedulers are designed to support a specific “data-flow” programming model, but many of their design choices can also be used at a higher level. For example, Tyrex [20] and HFSP [53], [54] are a sample of size-based schedulers, which is a family of policies known to drastically improve turnaround times, as we also have verified with our experiments. Similarly, Quincy [17] and DRF [55] study max-min fair, task-level resource allocation, specifically working on multi-dimensional resources. Although our system currently consider a one-dimensional packing problem, due to the characteristics of the back-end we use, which does not yet support CPU-level partitioning, ideas presented in [55] can be extended to our work, considering alternative back-ends supporting multi-resource partitioning. Recently, schedulers supporting complex directed acyclic graphs representing low-level, parallel computations have also appeared: Graphene [56], for example, addresses the problem of complex dependencies and heterogeneous demands among the various stages of the computational graph. The work in [57] indicate substantial improvements in terms of resource utilization (and not only allocation) thanks to worker queues, that independently schedule tasks. Bistro [11] employs a novel hierarchical model of data and computational resources, which enable efficient scheduling of data-parallel workloads. Firmament [58] is a centralized scheduler that has been shown to scale to over ten thousand machines at sub-second task placement latency, using a min-cost max-flow optimization approach. Issues related to scheduling scalability, due to the sheer number of low-level tasks that are typically required by analytic jobs, have been addressed through a distributed design, such as in Sparrow [18] and in Condor [12]. Although working at the application-level as we do in our work imposes a low toll on the scheduler, distributed designs are interesting also from the failure tolerance point of view, which is why they represent a valid option for our future work.

8 Conclusions

Efficient resource management of computer clusters has been a long-lasting area of research, with peaks of attention happening in conjunction to improvements in computing machinery, e.g. lately with cloud computing and big data. A new breed of cluster management systems, aiming at becoming “data-center operating systems”, are currently been confronted with problems of efficiency and performance at scale.

Despite recent advances, there exists a gap between the goal of low-level resource management, and that of manipulating high-level, heterogeneous, distributed (analytic) applications running in such cluster environments. In this
paper we presented a first possible step to fill this gap, in the form of a new application scheduler that interacts with a cluster management back-end, to schedule and allocate resources to applications defined with a simple language and semantics. In addition to careful engineering, required to design and implement our system we call Zoe, our research identified a more fundamental problem, that calls for a novel scheduling heuristic capable of manipulating composite applications, while contributing to system responsiveness.

We validated our algorithm to address our scheduling problem along two lines. We used a numerical approach to simulate large-scale deployments and workloads. We showed our scheduling algorithm to be highly effective in reducing turnaround times, in particular by reducing applications queuing times. Consequently, cluster resources were better allocated. In addition, we reported an overview of the evaluation of Zoe, that indicates superior performance and efficiency related to our flexible scheduling heuristic.

Our road-map includes the development of a method to redeem untapped resources from idle but running applications, which calls for a substantial rethinking of the resource reservation paradigm; the design and implementation of application fault tolerance mechanisms; and a long list of pending “tickets” stemming from our open-source Zoe project.

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