

# Deciding satisfiability problems by rewrite-based deduction: Experiments in the theory of arrays

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# Outline

- Introduction
- Background on satisfiability procedures and rewrite-based deduction
- Synthetic benchmarks in the theory of arrays
- Experimental results with E and CVC
- Discussion

# Motivation

- HW/SW verification requires reasoning with theories of data types, e.g., integer, real, arrays, lists, trees, tuples, sets.
- E.g., use arrays to model registers and memories in formalizing HW verification problems.
- Some of these theories are decidable.
- Built-in theories for verification tools and proof assistants.

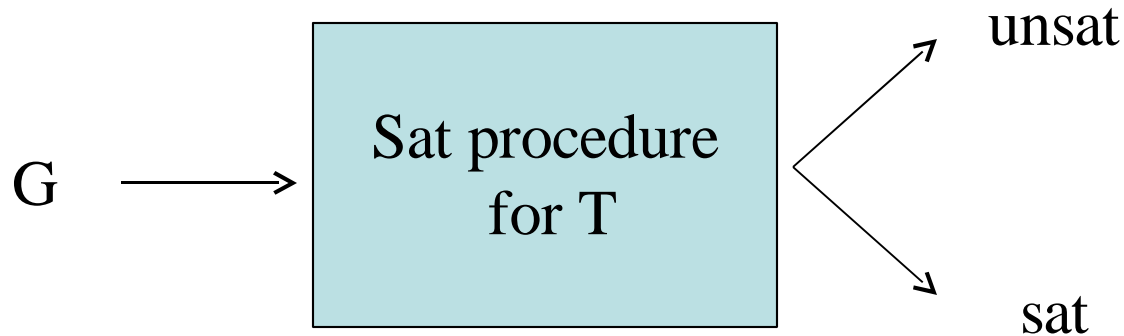
# Satisfiability procedures

$T$  : background theory, possibly with intended interpretation

$\varphi$  : quantifier-free formula

$\varphi'$  : DNF ( $\neg\varphi$ )

$G$  : conjunction ( set ) of ground literals from  $\varphi'$



# Common approach:

Design, prove sound and complete, and implement a satisfiability procedure for each decidable theory of interest.

Issues:

- Most problems involve multiple theories: combination of theories/procedures [ Nelson-Oppen, Shostak, ..]
- Abstract frameworks [ e.g., Tiwari ] or proofs for concrete procedures [ e.g., Shankar, Stump ]
- Implement from scratch data structures and algorithms for each procedure: correctness of implementation? SW reuse?

# Relation to term rewriting :

These theories involve equality:

- Ground completion and congruence closure to decide quantifier-free theory of equality
- Unification theory, reasoning “modulo” to work with a background theory
- Normalization: key notion in satisfiability procedures
- Completion-based, or, more generally, ordering-based theorem proving: can it help?

# Theorem proving would help:

- Combination of theories: give union of the axiomatizations in input to the prover
- No need of ad hoc proofs for each procedure
- Reuse code of existing provers

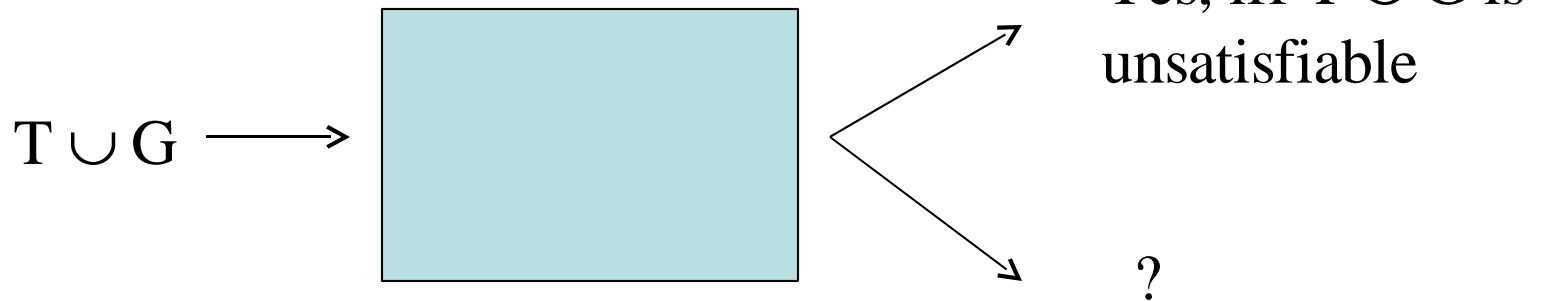
# Termination ?

$C = \langle I, \Sigma \rangle$  : theorem-proving strategy

$I$  : refutationally complete inference system with superposition/  
paramodulation, simplification, subsumption ...

$\Sigma$ : fair search plan

is a semi-decision procedure:

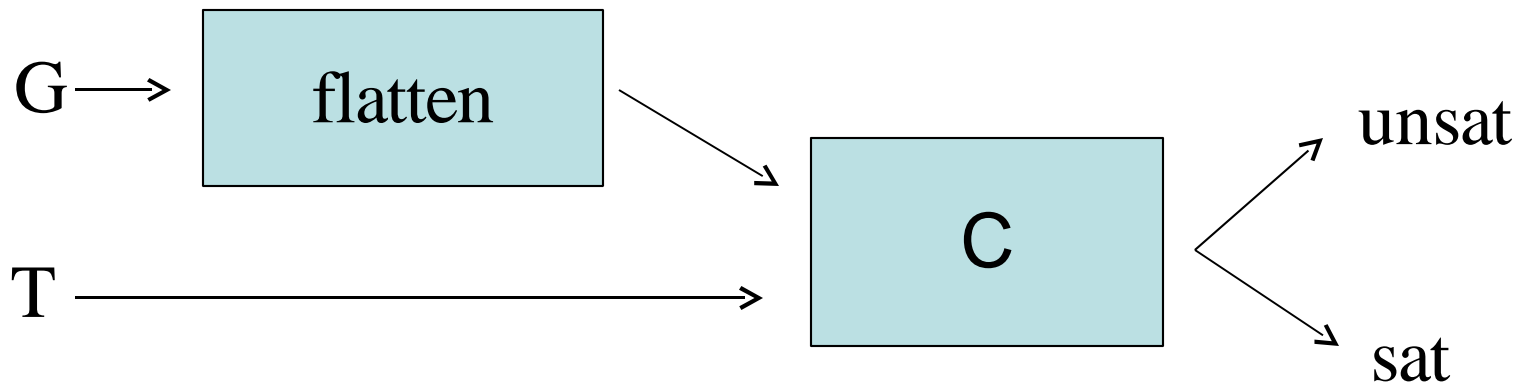




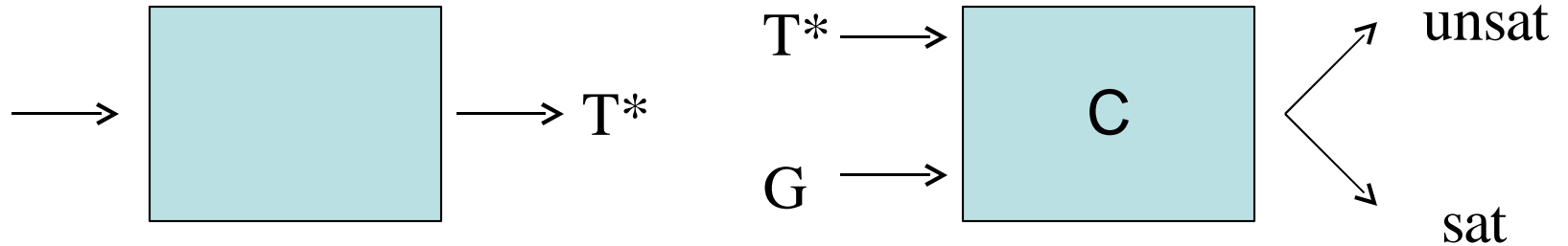
# Termination results :

Armando, Ranise, Rusinowitch [CSL 2001]:

T: theory of arrays, lists, sets and combinations thereof



# Another way to put it:



Pure equational:  $T^*$  canonical rewrite system

Horn equational:  $T^*$  saturated ground-preserving  
[Kounalis & Rusinowitch, CADE 1988]

FO special theories: e.g.,  $T = T^*$  for arrays [ARR, CSL 2001]

# How about efficiency ?

A satisfiability procedure with T built-in is expected to be always much faster than a theorem prover with T in input !

May not be obvious:

- theory of arrays
- synthetic benchmarks (allow to assess scalability by experimental asymptotic analysis)
- comparison of E prover and CVC validity checker with theory of arrays built-in

# Theory of arrays: the signature

store : array  $\times$  index  $\times$  element  $\rightarrow$  array

select : array  $\times$  index  $\rightarrow$  element

# Presentation T<sub>1</sub>

$$(1) \quad \forall A, I, E. \text{select} ( \text{store} ( A, I, E ), I ) = E$$

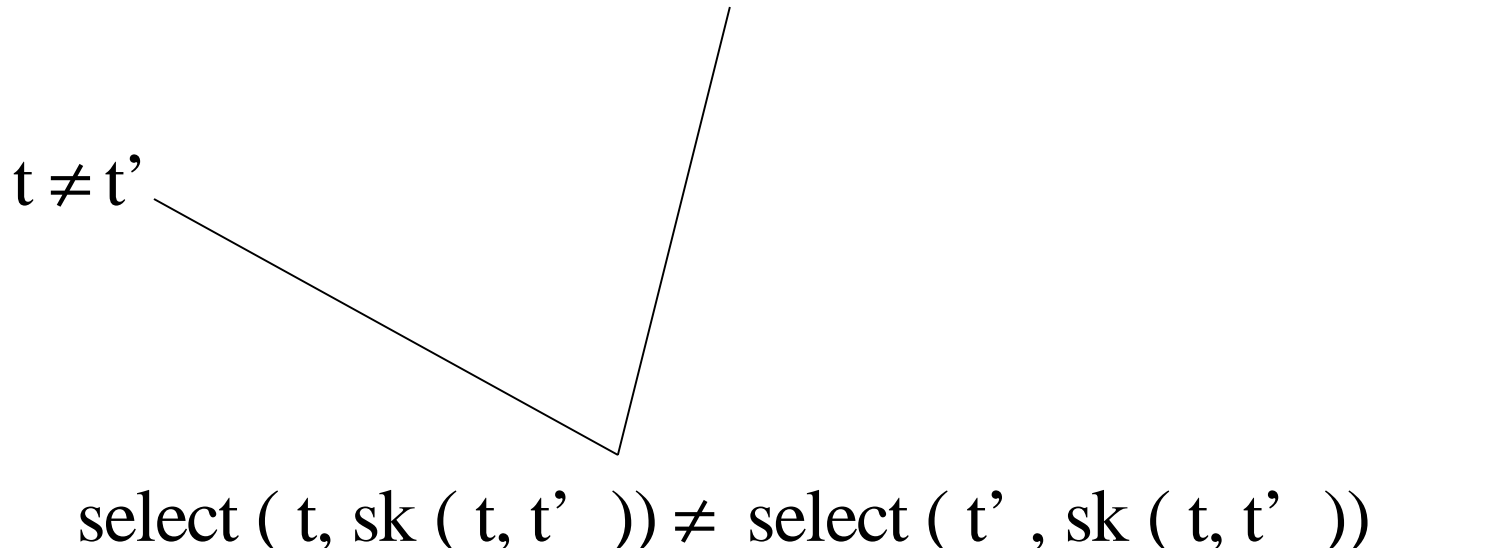
$$(2) \quad \forall A, I, J, E. I \neq J \Rightarrow \\ \text{select} ( \text{store} ( A, I, E ), J ) = \text{select} ( A, J )$$

$$(3) \text{ Extensionality: } \forall A, B. \\ \forall I. \text{select} ( A, I ) = \text{select} ( B, I ) \\ \Rightarrow \\ A = B$$

# Pre-processing extensionality

$\text{select} ( A, \text{sk} ( A, B ) ) \neq \text{select} ( B, \text{sk} ( A, B ) ) \vee A = B$

$t \neq t'$



$\text{select} ( t, \text{sk} ( t, t' ) ) \neq \text{select} ( t' , \text{sk} ( t, t' ) )$

# Presentation T2

Keep (1) and (2) and replace extensionality (3) by:

$$(4) \forall A, I. \text{store} ( A, I, \text{select} ( A, I ) ) = A$$

$$(5) \forall A, I, E, F.$$

$$\text{store} ( \text{store} ( A, I, E ), I, F ) = \text{store} ( A, I, F )$$

$$(6) \forall A, I, J, E. I \neq J \Rightarrow$$

$$\text{store} ( \text{store} ( A, I, E ), J, F ) = \text{store} ( \text{store} ( A, J, F ), I, E )$$

T1 entails (4) (5) (6)

# Use of presentations

- $T_1$  is saturated and application of  $C$  to  $T_1 \cup G$  is guaranteed to terminate [ARR2001]:  
 $C$  acts as decision procedure
- $T_2$  is not saturated (saturation does not halt):  
 $C$  applied to  $T_2 \cup G$  acts as semi-decision procedure



Two sets of synthetic benchmarks

# storecomm(N): intuition

Storing values at distinct places  
in an array is “commutative”

# storecomm(N) : definition

$k_1 \dots k_N$  : N indices

D : set of 2-combinations over  $\{ 1 \dots N \}$

Indices must be distinct:

$$\bigwedge_{(p, q) \in D} k_p \neq k_q$$

$i_1 \dots i_N, j_1 \dots j_N$  : two distinct permutations of  $1 \dots N$

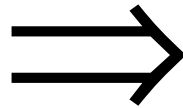
store (... ( store ( a,  $k_{i_1}$ ,  $e_{i_1}$  ), ...  $k_{i_N}$ ,  $e_{i_N}$  ) ...)

=

store (... ( store ( a,  $k_{j_1}$ ,  $e_{j_1}$  ), ...  $k_{j_N}$ ,  $e_{j_N}$  ) ...)

storecomm(N) : schema

$$\bigwedge_{(p, q) \in D} k_p \neq k_q$$



store (...( store ( a,  $k_{i1}$ ,  $e_{i1}$  ), ..  $k_{iN}$ ,  $e_{iN}$  ) ...)

=

store (...( store ( a,  $k_{j1}$ ,  $e_{j1}$  ), ..  $k_{jN}$ ,  $e_{jN}$  ) ...)

# storecomm(N) : instances

Each choice of permutations generates a different instance:

$N!$  permutations of the indices

The number of instances is the number of 2-combinations of  $N!$  permutations:

$$N! (N! - 1) / 2$$

Sample 10 permutations: 45 instances for each value of  $N$

# swap(N): intuition

Swapping pairs of elements in an array  
in two different orders yields the same array

# swap(N) : definition

Recursively:

Base case:  $N = 2$  elements:

$L_2 = \text{store} ( \text{store} ( a, i_1, \text{select} ( a, i_0 ) ), i_0, \text{select} ( a, i_1 ) )$

$R_2 = \text{store} ( \text{store} ( a, i_0, \text{select} ( a, i_1 ) ), i_1, \text{select} ( a, i_0 ) )$

$$L_2 = R_2$$

Recursive case:  $N = k+2$  elements:

$L_{k+2} = \text{store} ( \text{store} ( L_k, i_{k+1}, \text{select} ( L_k, i_k ) ), i_k, \text{select} ( L_k, i_{k+1} ) )$

$R_{k+2} = \text{store} ( \text{store} ( R_k, i_k, \text{select} ( R_k, i_{k+1} ) ), i_{k+1}, \text{select} ( R_k, i_k ) )$

$$L_{k+2} = R_{k+2}$$

# swap(N) : instances

N elements, N/2 pairs to exchange

N! permutations of the elements

$C_i$  : number of i-combinations over the set of N/2 pairs  
number of ways of picking i pairs for exchange

$$\sum_i C_i = 2^{(N/2)} - 1$$

Number of instances:  $1/2 \times N! \times (2^{(N/2)} - 1)$

Sample up to 16 permutations and 20 instances for each value of N.



# Experiments

# Set up of the experiments

- Two tools: CVC validity checker and E theorem prover
- E: auto mode and user-selected strategy
- Performance for  $N$  is average over all generated instances for value  $N$
- Comparison of asymptotic behavior of E and CVC as  $N$  grows

# The CVC validity checker

[Aaron Stump, David L. Dill et al., Stanford U.]

Combines procedures à la Nelson-Oppen  
(e.g., lists, arrays, records, real arithmetics ..)

Has SAT solver: first GRASP then Chaff

Theory of arrays: ad hoc algorithm based on congruence closure with pre-processing wrt. axioms of T1 and elimination of “store” via partial equations

# The E theorem prover

[Stephan Schulz, TU-Muenchen]

Inference system I : o-superposition/paramodulation, reflection, o-factoring, simplification, subsumption

Search plans  $\Sigma$  :

- given-clause loop with clause selection functions and only “already-selected” list inter-reduced
- term orderings: KBO and LPO
- literal selection functions

# Strategies in experiments

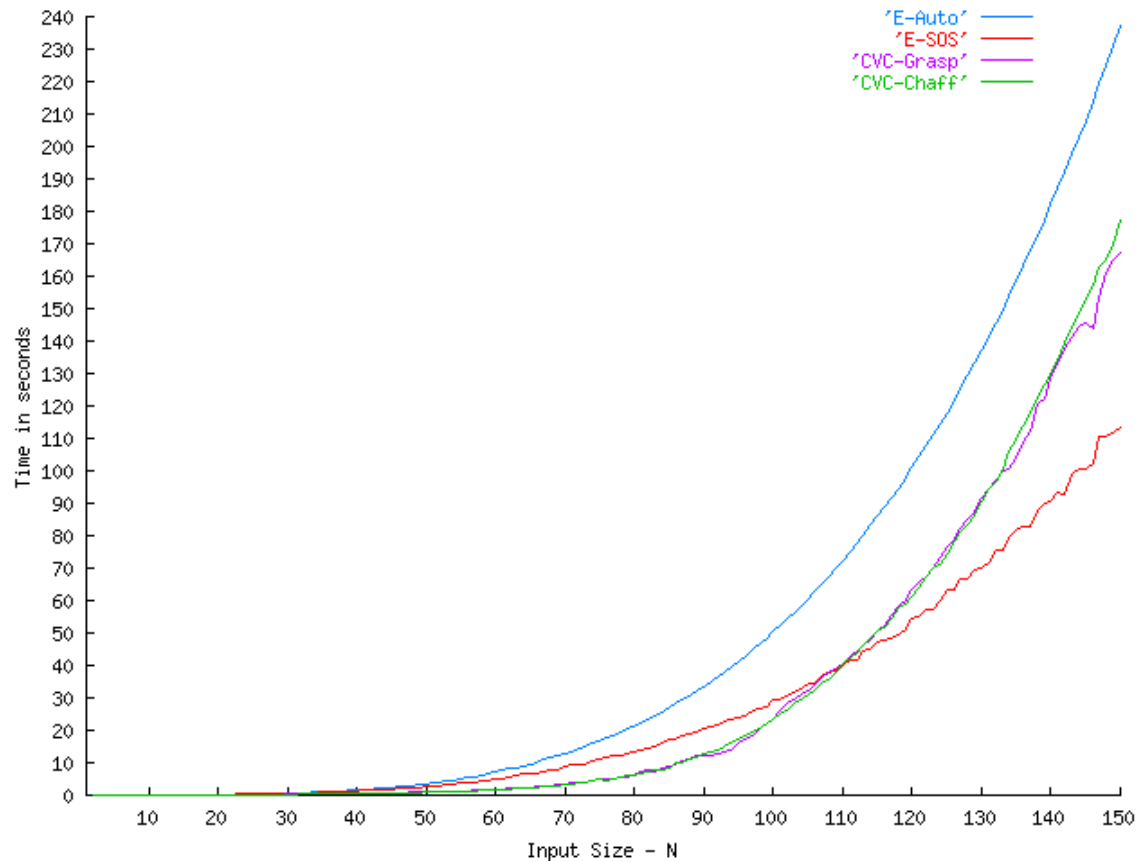
- E-auto: automatic mode
- E-SOS: { problem in form  $T \cup G$  }  
Clause selection:  
(SimulateSOS, RefinedWeight)  
Term ordering: LPO
- Precedence: select > store > sk > constants

# Running CVC and E on storecomm(N)

N ranges from 2 to 150

E takes presentation T1 in input

# Behavior on storecomm(N)



# Running CVC and E on swap(N)

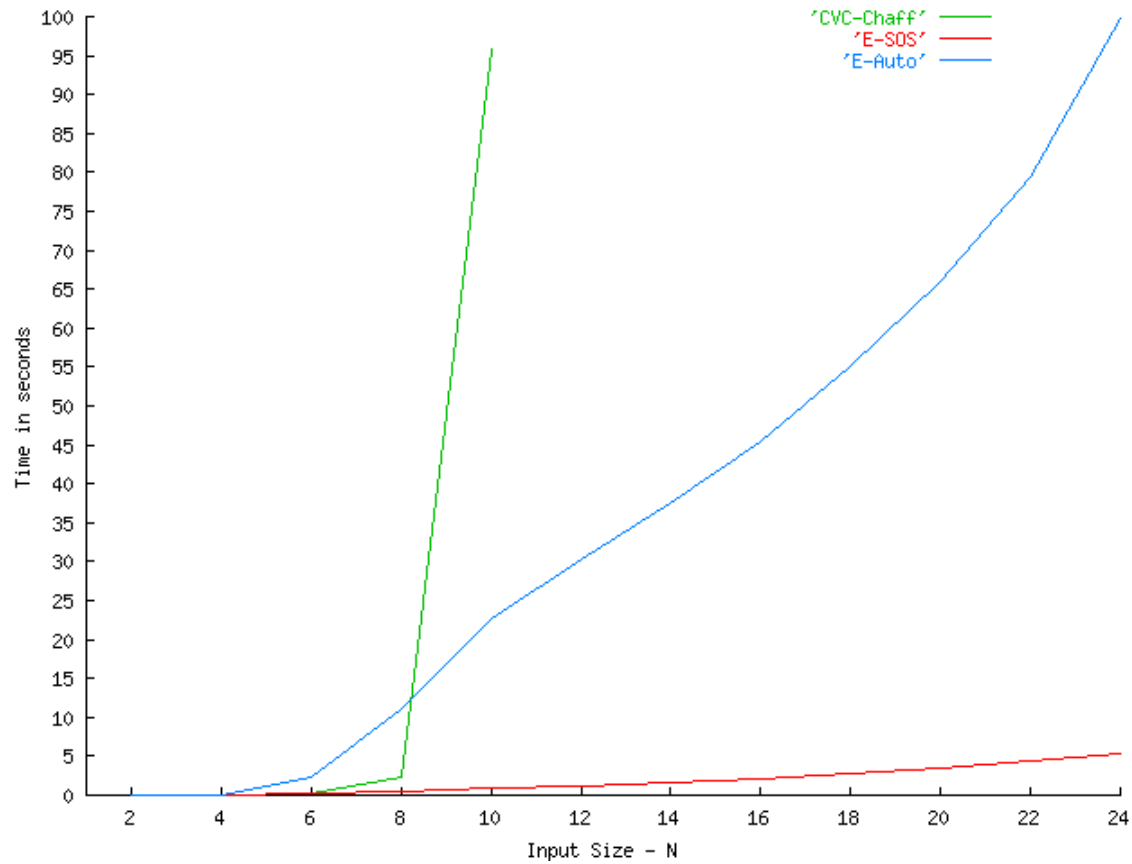
CVC: does up to  $N = 10$ , runs out of memory on any instance of swap(12)

E with presentation T<sub>1</sub>: same as above and slower

E with presentation T<sub>2</sub>: succeeds also for  $N \geq 12$



# Behavior on swap(N)



# Discussion

- Need more experiments: other synthetic benchmarks, other theories, combination of theories, real-world problems
- Understand role of flattening better
- Other provers, e.g., w. more inter-reduction
- Termination results for other theories?
- Complexity of concrete strategies on specific theories

# Discussion

- Theorem proving may help build better satisfiability procedures
- Theorem proving needs more work on auto mode and search plans (search, not blind saturation)
- Proof assistants incorporate satisfiability procedures: integration of automated theorem proving in proof assistants