

Set of Support, Demodulation, and Paramodulation

Fundamental Concepts in Theorem Proving
(In memory of Larry Wos)

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Introduction

The set of support strategy

History of demodulation

History of paramodulation/superposition

Three fundamental concepts in theorem proving

- ▶ The ability of distinguishing assumptions and conjecture
- ▶ The ability of replacing equals by equals, and
- ▶ The ability of generating equations from equations

Larry Wos (1930–2020)

- ▶ BS and MS U. Chicago, PhD UIUC
- ▶ MCS Division, [Argonne National Laboratory](#) since 1957
- ▶ Leader of the theorem-proving research group
- ▶ A founder of the [Conference on Automated Deduction](#)
- ▶ First Editor-in-Chief of the [Journal of Automated Reasoning](#)
- ▶ Founder of the [Association for Automated Reasoning](#)
- ▶ First [Automated Theorem Proving Prize](#) of the [American Mathematical Society](#) (with Steve Winker) in 1982
- ▶ First [Herbrand Award](#) in 1992

Why Argonne?

- ▶ (John) Alan Robinson alternated summer jobs at Argonne and Stanford in 1961-1966
- ▶ Initial task at Argonne: an implementation of the **Davis-Putnam procedure** (1960)
- ▶ At Argonne Robinson invented **first-order resolution** by combining propositional resolution (from the Davis-Putnam procedure) and **unification** (1962-1964)

Two major research problems

- ▶ How to control resolution?
 - ▶ Wos et al.: the **set of support strategy** (1965)
- ▶ How to build equality into resolution?
 - ▶ Wos et al: the **demodulation** inference rule (1967)
 - ▶ Wos et al: the **paramodulation** inference rule (1969)
- ▶ That opened six decades of research in theorem proving

The theorem-proving problem

- ▶ A set H of formulas viewed as **assumptions** or **hypotheses**
- ▶ A formula φ viewed as **conjecture**
- ▶ Theorem-proving problem: $H \models? \varphi$
- ▶ Equivalently: is $H \cup \{\neg\varphi\}$ unsatisfiable?
- ▶ **Refutation**: $H \cup \{\neg\varphi\} \vdash? \perp$
- ▶ If success, then φ is a **theorem** of H , or $H \supset \varphi$ is a **theorem**
- ▶ **Clausal form**: $H \cup \{\neg\varphi\} \rightsquigarrow S$ set of **clauses**
- ▶ Form of the problem: $S \vdash? \square$ (the empty clause)

At the foundations of computer science

- ▶ David Hilbert: Entscheidungsproblem (first-order validity)
- ▶ Kurt Gödel: **completeness of first-order logic**
(truth and theoremhood correspond)
Later: Leon Henkin (unsatisfiable iff inconsistent)
- ▶ Alan Turing: Turing machine, first undecidable problem
(halting), **reduction of the Entscheidungsproblem to halting**
- ▶ Jacques Herbrand: **semidecidability of first-order validity**

Martin Davis. The Universal Computer—The Road from Leibniz to Turing

What is resolution?

An example in propositional logic:

$$\frac{P \vee Q \quad \neg P \vee R}{Q \vee R}$$

One of the inference rule of the Davis-Putnam procedure

Resolution for first-order logic (FOL)

$$\frac{S \cup \{L_1 \vee C, L_2 \vee D\}}{S \cup \{L_1 \vee C, L_2 \vee D, (C \vee D)\sigma\}} \quad L_1\sigma = \neg L_2\sigma$$

- ▶ L_1 and L_2 have opposite sign
- ▶ σ is a **substitution**: it replaces variables with terms
- ▶ σ is a **unifier**: it makes the two sides identical
- ▶ σ is the **most general unifier** (mgu): least commitment
- ▶ Resolution is an **expansion** inference rule
- ▶ Expansion inference rules use unification

Example

$$\frac{P(g(z), g(y)) \vee \neg R(z, y) \quad \neg P(x, g(a)) \vee Q(x, g(x))}{\neg R(z, a) \vee Q(g(z), g(g(z)))}$$

where $\sigma = \{x \leftarrow g(z), y \leftarrow a\}$ is the mgu

$\sigma' = \{x \leftarrow g(b), y \leftarrow a, z \leftarrow b\}$ is not an mgu

Factoring

$$\frac{S \cup \{L_1 \vee \dots \vee L_k \vee C\}}{S \cup \{L_1 \vee \dots \vee L_k \vee C, (L_1 \vee C)\sigma\}} \quad L_1\sigma = L_2\sigma = \dots L_k\sigma$$

- ▶ σ is the mgu
- ▶ Factoring is an **expansion** inference rule
- ▶ Needed for the completeness of resolution:
consider $P(x) \vee P(y)$ and $\neg P(z) \vee \neg P(w)$

Subsumption

$$\frac{S \cup \{C, D\}}{S \cup \{C\}} \quad C\sigma \subseteq D$$

- ▶ σ is a **matching** substitution
- ▶ Clauses as **multisets** of literals (ex.: $\{P(a), P(a), Q(b)\}$)
- ▶ $P(x) \vee P(y)$ does not subsume $P(z)$
- ▶ Prevents a clause from subsuming its factors
- ▶ $C\sigma \subseteq D$ and $D\sigma \subseteq C$: **variants** (retain the oldest)
- ▶ Subsumption is a **contraction** inference rule
- ▶ Contraction inference rules use matching

Motivation for the set of support strategy

- ▶ Even with subsumption, resolution is too prolific
- ▶ Too many **irrelevant** inferences (do not appear in any proof)
- ▶ $H \cup \{\neg\varphi\} \rightsquigarrow S$: distinction between H and $\neg\varphi$ forgotten
- ▶ Larry Wos was interested in problems from mathematics
- ▶ In math problems $H \models^? \varphi$ the set H is known to be consistent (e.g., presentation of a theory)
- ▶ Then what is the point in expanding H ?
It won't give a contradiction!

The set of support strategy

- ▶ $H \rightsquigarrow A$: clausal form of H
- ▶ $\neg\varphi \rightsquigarrow SOS$: clausal form of $\neg\varphi$: **goal clauses**
- ▶ SOS is the input **set of support**
- ▶ If H is consistent, so is A : no point in expanding A
- ▶ A resolution step must have **at least one parent from SOS**
- ▶ All resolvents are added to SOS : only SOS grows
(the factors of clauses in A are added to A upfront)
- ▶ A **goal-sensitive** strategy

The given-clause algorithm

- ▶ Two lists `sos` and `axioms` initialized with SOS and A
- ▶ Loop until proof found or `sos` empty which means sat
- ▶ At every iteration: pick a **given-clause** C from `sos`
- ▶ The best according to an **evaluation function**
(weight, pick-given ratio)
- ▶ Perform all expansion steps between C and clauses in `axioms`
- ▶ Move C from `sos` to `axioms`
- ▶ Add all newly generated clauses to `sos`
- ▶ No inference whose premises are both in A

Motivation for demodulation

- ▶ Larry Wos was interested in applying theorem proving to mathematics: equality is everywhere
- ▶ Reasoning with equations:
Replacing equals by equals (Birkhoff theorem)
- ▶ Problem: **non-termination**

Example: non-termination due to a cycle

1. $f(a, b, x) \simeq f(x, x, x)$
2. $g(x, y) \simeq x$
3. $g(x, y) \simeq y$

Infinite reduction:

$$f(g(a, b), g(a, b), g(a, b)) \rightarrow$$

$$f(a, g(a, b), g(a, b)) \rightarrow$$

$$f(a, b, g(a, b)) \rightarrow$$

$$f(g(a, b), g(a, b), g(a, b)) \rightarrow \dots\dots\dots$$

Example: non-termination due to infinite growth

$$i(x + y) \simeq (i(i(x)) + y) + y$$

Infinite reduction:

$$i((i(i(0)) + 1) + 1) \rightarrow$$

with matching substitution $\{x \leftarrow i(i(0)) + 1, y \leftarrow 1\}$

$$(i(i(i(i(0)) + 1)) + 1) + 1 \rightarrow$$

with matching substitution $\{x \leftarrow i(i(0)), y \leftarrow 1\}$

$$(i(((i(i(i(i(0)))) + 1) + 1) + 1) + 1) + 1 \rightarrow$$

with matching substitution $\{x \leftarrow i(i(i(i(0)))) + 1, y \leftarrow 1\}$

$$(((i(i(i(i(i(i(0)))) + 1)) + 1) + 1) + 1) + 1 \rightarrow \dots\dots\dots$$

Solution: a well-founded ordering

- ▶ Replace s by t only if t is **smaller** in a **well-founded** ordering
- ▶ An ordering \succ is **well-founded** if there is no infinite descending chain
$$s_0 \succ s_1 \succ \dots s_i \succ s_{i+1} \succ \dots$$

Larry Wos' demodulation inference rule (1967)

$$\frac{S \cup \{l \simeq r, C[l\sigma]\}}{S \cup \{l \simeq r, C[r\sigma]\}} \quad \|C[l\sigma]\| > \|C[r\sigma]\|$$

- ▶ $l \simeq r$ is called **demodulant** or **demodulator**
- ▶ σ is a **matching substitution**
- ▶ $\|C\|$ is the **number of symbols** in C
- ▶ Decreasing the number of symbols is well-founded because the ordering on the natural numbers is well-founded

Problems opened by Larry Wos' demodulation

- ▶ What if the number of symbols does not change?
Ex.: $x + y \simeq y + x$
- ▶ What if we wanted to increase the number of symbols?
Ex.: $x * (y + z) \simeq x * y + x * z$
- ▶ Does resolution remain refutationally complete if we add demodulation?

Knuth-Bendix completion procedure (1970)

- ▶ Orient equations into **rewrite rules**:
 $l \simeq r$ becomes $l \rightarrow r$ if $l \succ r$ for \succ a well-founded ordering
- ▶ Apply $l \rightarrow r$ to **rewrite** or **reduce** $t[l\sigma]$ to $t[r\sigma]$
- ▶ **Knuth-Bendix ordering** (KBO): uses a **precedence** on symbols and a **weight** function that generalizes symbol count
- ▶ Knuth-Bendix completion takes a set of equations E and produces a **canonical** rewrite systems:
 $E \models \forall \bar{x}. s \simeq t$ iff there exists a u such that $\hat{s} \xrightarrow{*} u \xleftarrow{*} \hat{t}$
- ▶ If an equation in E can be neither simplified, nor deleted ($s \simeq s$), nor oriented, the procedure fails

Reduction ordering

- ▶ Well-founded
- ▶ **Stable**: $t \succ u$ implies $t\sigma \succ u\sigma$ for all substitutions σ
- ▶ **Monotonic**: $t \succ u$ implies $c[t] \succ c[u]$ for all contexts c
 - ▶ Knuth-Bendix orderings
 - ▶ Recursive path orderings [Dershowitz 1982]
 - ▶ Lexicographic path orderings [Kamin & Lévy 1980]
- ▶ In general these orderings are **partial**, not total!

Knuth-Bendix completion as theorem proving

- ▶ $E \models^? \forall \bar{x}. s \simeq t$
- ▶ Negating $\forall \bar{x}. s \simeq t$ yields $\exists \bar{x}. s \not\simeq t$ and hence $\hat{s} \not\simeq \hat{t}$ where \hat{s} is s with all vars replaced by Skolem constants
- ▶ Refutationally: $E \cup \{\hat{s} \not\simeq \hat{t}\} \vdash^? \square$
- ▶ Apply Knuth-Bendix completion to E and reduce \hat{s} and \hat{t} whenever possible
- ▶ Refutation found if $\hat{s} \xrightarrow{*} u$ and $\hat{t} \xrightarrow{*} u$ so that $u \not\simeq u$ contradicts $x \simeq x$
- ▶ Complete unless the procedure fails [Gérard Huet 1981]

Knuth-Bendix completion as inference rules

- ▶ State of the derivation: $(E; R)$ where E is a set of equations and R a set of rewrite rules
- ▶ A reduction ordering on equational proofs
- ▶ An inference rule deriving $(E'; R')$ from $(E; R)$ is **proof-reducing**
if for all theorems $s \simeq t$ of $E \cup R$ and
for all proofs π of $s \simeq t$ in $E \cup R$
there exists a proof π' of $s \simeq t$ in $E' \cup R'$ such that $\pi \geq \pi'$

[Leo Bachmair et al. 1986] [Leo Bachmair & Nachum Dershowitz 1994]

Inference rules for demodulation in KB completion

The **Simplify** rule reduces a side of an equation:

$$\frac{(E \cup \{p[l\sigma] \simeq q\}; R \cup \{l \rightarrow r\})}{(E \cup \{p[r\sigma] \simeq q\}; R \cup \{l \rightarrow r\})}$$

where \simeq is symmetric

Inference rules for demodulation in KB completion

The **Compose** rule reduces the right-hand side of a rewrite rule so that another rewrite rule is produced:

$$\frac{(E; R \cup \{p \rightarrow q[l\sigma], l \rightarrow r\})}{(E; R \cup \{p \rightarrow q[r\sigma], l \rightarrow r\})}$$

Inference rules for demodulation in KB completion

The **Collapse** rule reduces the left-hand side of a rewrite rule, so that an equation is produced:

$$\frac{(E; R \cup \{p[l\sigma] \rightarrow q, l \rightarrow r\})}{(E \cup \{p[r\sigma] \simeq q\}; R \cup \{l \rightarrow r\})} \quad p[l\sigma] \triangleright l$$

where \triangleright is the strict **encompassment ordering** on terms

The encompassment ordering

- ▶ Encompassment: $t \triangleright s$ if $t = c[s\vartheta]$
- ▶ ϑ is a substitution
- ▶ Strict: either c is not empty or ϑ is not a variable renaming
- ▶ Prevent $l \rightarrow r$ from reducing $p[l\sigma]$ if l and $p[l\sigma]$ are variants:
not proof-reducing
- ▶ Disallow applying $f(e, y) \simeq y$ to reduce $f(e, x) \simeq x$
Disallow applying $f(e, y) \simeq y$ to reduce $f(e, x) \simeq h(x)$

Still only a partial solution

- ▶ What about equations that cannot be oriented into rewrite rules?

Unfailing or ordered completion (1987)

- ▶ It is not necessary to orient equations into rewrite rules
- ▶ It suffices to orient the applied instances
- ▶ The procedure does not fail
- ▶ It produces only a **ground canonical** rewrite system, but ground canonicity is enough for theorem proving:
the target theorem $\hat{s} \neq \hat{t}$ is ground
- ▶ State of the derivation: $(E; \hat{s} \neq \hat{t})$
 E : set of equations

[Jieh Hsiang & Michaël Rusinowitch 1987] [Leo Bachmair et al. 1989]

Complete simplification ordering

- ▶ **Subterm property:** $c[t] \succ t$
- ▶ **Stable:** $t \succ u$ implies $t\sigma \succ u\sigma$ for all substitutions σ
- ▶ **Monotonic:** $t \succ u$ implies $c[t] \succ c[u]$ for all contexts c
- ▶ These three properties imply **well-founded**
- ▶ **Total** on **ground** terms
 - ▶ Knuth-Bendix orderings
 - ▶ Recursive path orderings (not all)
 - ▶ Lexicographic path orderings

Inference rules for demodulation in completion

Simplification of the target

$$\frac{(E \cup \{l \simeq r\}; \hat{s}[l\sigma] \neq \hat{t})}{(E \cup \{l \simeq r\}; \hat{s}[r\sigma] \neq \hat{t})} \quad l\sigma \succ r\sigma$$

Inference rules for demodulation in completion

Simplification of the presentation

$$\frac{(E \cup \{p[l\sigma] \simeq q, l \simeq r\}; \hat{s} \neq \hat{t})}{(E \cup \{p[r\sigma] \simeq q, l \simeq r\}; \hat{s} \neq \hat{t})}$$

- ▶ $l \simeq r$ is called a **simplifier**
- ▶ $l\sigma \succ r\sigma$
- ▶ $p[l\sigma] \triangleright l \vee q \succ p[r\sigma]$

The side condition for simplification of equations

- ▶ $p[l\sigma] \triangleright l \vee q \succ p[r\sigma]$
- ▶ It lets $l \simeq r$ simplify $p[l\sigma] \simeq q$ when $p[l\sigma]$ is a variant of l provided that $q \succ p[r\sigma]$
- ▶ Apply $f(e, y) \simeq y$ to simplify $f(e, x) \simeq h(x)$?
Yes because $h(x) \succ x$
- ▶ Apply $f(e, y) \simeq y$ to simplify $f(e, x) \simeq x$?
No because $x \not\succeq y$
- ▶ Apply $f(e, x) \simeq h(x)$ to simplify $f(e, y) \simeq y$?
No because $y \not\succeq h(y)$

Example of simplification

1. $f(x) \simeq g(x)$
2. $g(h(y)) \simeq k(y)$
3. $f(h(b)) \not\approx k(b)$ (target theorem)
 - ▶ Precedence: $f > g > h > k > b$
 - ▶ (1) simplifies the target to $g(h(b)) \not\approx k(b)$
with matching substitution $\sigma = \{x \leftarrow h(b)\}$
since $f(h(b)) \succ g(h(b))$
 - ▶ (2) simplifies $g(h(b)) \not\approx k(b)$ to $k(b) \not\approx k(b)$
with matching substitution $\vartheta = \{y \leftarrow b\}$
since $g(h(b)) \succ k(b)$

Still only a partial solution

- ▶ What about demodulation of clauses?
- ▶ A key step: from ordering terms to ordering literals

Multiset extension

- ▶ **Multisets**, e.g., $\{P(a), P(a), Q(b)\}$, $\{5, 4, 4, 4, 3, 1, 1\}$
- ▶ From \succ to \succ_{mul} :
 - ▶ $M \succ_{mul} \emptyset$ if $M \neq \emptyset$
 - ▶ $M \cup \{a\} \succ_{mul} N \cup \{a\}$ if $M \succ_{mul} N$
 - ▶ $M \cup \{a\} \succ_{mul} N \cup \{b\}$ if $a \succ b$ and $M \cup \{a\} \succ_{mul} N$
- ▶ $\{5\} \succ_{mul} \{4, 4, 4, 3, 1, 1\}$
- ▶ If \succ is well-founded then \succ_{mul} is well-founded

[Nachum Dershowitz & Zohar Manna 1979]

From ordering terms to ordering literals

- ▶ Complete or completable reduction ordering
(all KBO's, RPO's, LPO's)
- ▶ Read a positive literal L as $L \simeq \top$ and $\neg L$ as $L \not\simeq \top$
where \top is a new symbol such that $t \succ \top$ for all terms t
- ▶ Equality is the only predicate symbol
- ▶ Treat $p \simeq q$ as the multiset $\{p, q\}$ and
 $p \not\simeq q$ as the multiset $\{p, p, q, q\}$
- ▶ Apply the multiset extension of the ordering on terms

[Leo Bachmair & Harald Ganzinger 1994]

A simplification inference rule for clauses

$$\frac{S \cup \{C[l\sigma], l \simeq r\}}{S \cup \{C[r\sigma], l \simeq r\}} \quad l\sigma \succ r\sigma, \quad C[l\sigma] \succ (l\sigma \simeq r\sigma)$$

In the superposition calculus \mathcal{SP}

The above example revisited

1. $f(x) \simeq g(x)$
2. $g(h(y)) \simeq k(y)$
3. $f(h(b)) \not\simeq k(b)$ (target theorem)
 - ▶ Precedence: $f > g > h > k > b$
 - ▶ (1) simplifies the target to $g(h(b)) \not\simeq k(b)$
 with matching substitution $\sigma = \{x \leftarrow h(b)\}$
 since $\{f(h(b)), f(h(b)), k(b), k(b)\} \succ_{mul} \{f(h(b)), g(h(b))\}$
 - ▶ (2) simplifies $g(h(b)) \not\simeq k(b)$ to $k(b) \not\simeq k(b)$
 with matching substitution $\vartheta = \{y \leftarrow b\}$
 since $\{g(h(b)), g(h(b)), k(b), k(b)\} \succ_{mul} \{g(h(b)), k(b)\}$

Another example

1. $f(x) \simeq b$
 2. $f(b) \simeq c$
- ▶ Precedence: $b \succ c$
 - ▶ Simplification of completion allows (1) to simplify (2) to $b \simeq c$ with matching substitution $\sigma = \{x \leftarrow b\}$ because $f(b) \succ b$ and $f(b) \triangleright f(x)$
 - ▶ But $\{f(b), c\} \succ_{mul} \{f(b), b\}$ does not hold
 - ▶ Simplification of \mathcal{SP} does not apply
 - ▶ **Encompassment demodulation** for \mathcal{SP}
- [André Duarte and Konstantin Korovin at IJCAR 2022]

Motivation for paramodulation/superposition

- ▶ Once replacement of equals by equals is restricted to be well-founded, it does not suffice for completeness
- ▶ We need an inference rule that generates equations from equations

The equality axioms in clausal form

$$x \simeq x \quad (\text{Reflexivity})$$

$$x \not\simeq y \vee y \simeq x \quad (\text{Symmetry})$$

$$x \not\simeq y \vee y \not\simeq z \vee x \simeq z \quad (\text{Transitivity})$$

$$\bigvee_{i=1}^n x_i \not\simeq y_i \vee f(\bar{x}) \simeq f(\bar{y}) \quad (\text{Function Substitutivity})$$

$$\bigvee_{i=1}^n x_i \not\simeq y_i \vee \neg P(\bar{x}) \vee P(\bar{y}) \quad (\text{Predicate Substitutivity})$$

Added to the input for resolution: not practical!

Larry Wos' paramodulation inference rule (1969)

$$\frac{S \cup \{I \simeq r \vee C, M[t] \vee D\}}{S \cup \{I \simeq r \vee C, M[t] \vee D, (C \vee M[r] \vee D)\sigma\}} \quad l\sigma = t\sigma$$

- ▶ \simeq is symmetric and σ is the mgu of l and t
- ▶ C and D are disjunctions of literals
- ▶ $I \simeq r \vee C$ is the **para-from clause**
- ▶ $I \simeq r$ is the **para-from literal**
- ▶ $M[t] \vee D$ is the **para-into clause**
- ▶ $M[t]$ is the **para-into literal**
- ▶ $(C \vee M[r] \vee D)\sigma$ is called **paramodulant**

Problems opened by Larry Wos' paramodulation

- ▶ **Wos–Robinson conjecture:**
paramodulation is refutationally complete
without paramodulating into variables and
without functionally reflexive axioms
Functionally reflexive axioms: $f(\bar{x}) \simeq f(\bar{x})$ for all function symbols f
- ▶ Refutational completeness of resolution and paramodulation in the presence of demodulation and other contraction rules?

Knuth-Bendix completion procedure (1970)

Superposition of rewrite rules

$$\frac{(E; R \cup \{l \rightarrow r, p[t] \rightarrow q\})}{(E \cup \{p[r]\sigma \simeq q\sigma\}; R \cup \{l \rightarrow r, p[t] \rightarrow q\})} \quad t \notin X, l\sigma = t\sigma$$

- ▶ σ is the mgu of l and t
- ▶ t is **not** a variable (X is the set of variable symbols)
- ▶ $p[r]\sigma \simeq q\sigma$ is called a **critical pair**

Unfailing or ordered completion (1987)

Superposition of equations

$$\frac{E \cup \{l \simeq r, p[t] \simeq q\}}{E \cup \{l \simeq r, p[t] \simeq q, p[r]\sigma \simeq q\sigma\}} \quad t \notin X, l\sigma = t\sigma$$

- ▶ $l\sigma \not\leq r\sigma$
- ▶ $p[t]\sigma \not\leq q\sigma$
- ▶ $l \simeq r$ and $p[t] \simeq q$ superpose only if their instances by σ are either orientable ($l\sigma \succ r\sigma$) or uncomparable
- ▶ Equivalently: only if $l\sigma$ is **strictly maximal** in $\{l\sigma, r\sigma\}$ and $p[t]\sigma$ is **strictly maximal** in $\{p[t]\sigma, q\sigma\}$

Example

$$\frac{f(z, e) \simeq z \quad f(l(x, y), y) \simeq x}{l(x, e) \simeq x}$$

- ▶ $f(z, e)\sigma = f(l(x, y), y)\sigma$
- ▶ $\sigma = \{z \leftarrow l(x, e), y \leftarrow e\}$ most general unifier
- ▶ $f(l(x, e), e) \succ l(x, e)$ (by the subterm property)
- ▶ $f(l(x, e), e) \succ x$ (by the subterm property)
- ▶ Superposing two equations yields a **peak**:
 $l(x, e) \leftarrow f(l(x, e), e) \rightarrow x$

Another challenge

How to obtain an inference system for $FOL_{+=}$ that

- ▶ Avoids paramodulating or superposing into variables
- ▶ Is restricted by the ordering
- ▶ Is refutationally complete also in the presence of contraction (e.g., demodulation, subsumption, tautology deletion)
- ▶ Reduces to completion for an input of the form $E \cup \{\hat{s} \neq \hat{t}\}$

Maximal literals

- ▶ Clauses as multisets of literals
- ▶ Literal L is **maximal** in clause C if
 $\neg(\exists M \in C. M \succ L)$ or equivalently $\forall M \in C. L \not\prec M$
The other literals can only be smaller, equal, or uncomparable
- ▶ Literal L is **strictly maximal** in clause C if
 $\neg(\exists M \in C. M \succeq L)$ or equivalently $\forall M \in C. L \not\preceq M$
The other literals can only be smaller or uncomparable

(Ordered) Resolution

$$\frac{S \cup \{L_1 \vee C, L_2 \vee D\}}{S \cup \{L_1 \vee C, L_2 \vee D, (C \vee D)\sigma\}}$$

- ▶ $L_1\sigma = \neg L_2\sigma$ (σ mgu)
- ▶ $\forall M \in C. L_1\sigma \not\leq M\sigma$ (strictly maximal)
- ▶ $\forall M \in D. L_2\sigma \not\leq M\sigma$ (strictly maximal)

Example

$$\frac{P(g(z), g(y)) \vee \neg R(z, y), \neg P(x, g(a)) \vee Q(x, g(x))}{\neg R(z, a) \vee Q(g(z), g(g(z)))}$$

- ▶ $\sigma = \{x \leftarrow g(z), y \leftarrow a\}$
- ▶ Check that $P(g(z), g(a)) \not\stackrel{\sigma}{\vdash} \neg R(z, a)$
- ▶ Check that $P(g(z), g(a)) \not\stackrel{\sigma}{\vdash} Q(g(z), g(g(z)))$
- ▶ Allowed with precedence $P > R > Q > g$
- ▶ Not allowed with precedence $Q > R > P > g > a$

(Ordered) Factoring

$$\frac{S \cup \{L_1 \vee \dots \vee L_k \vee C\}}{S \cup \{L_1 \vee \dots \vee L_k \vee C, (L_1 \vee C)\sigma\}}$$

- ▶ $L_1\sigma = L_2\sigma = \dots L_k\sigma$ (σ mgu)
- ▶ $\forall M \in C. L_1\sigma \not\leq M\sigma$ (strictly maximal)

Toward (ordered) paramodulation / superposition

- ▶ Para-from clause: $l \simeq r \vee C$
- ▶ Para-into clause:
 - ▶ $M[t] \vee D$
 - ▶ $p[t] \simeq q \vee D$
 - ▶ $p[t] \not\simeq q \vee D$
- ▶ $l\sigma = t\sigma$ (mgu σ)
- ▶ The subterm t is **not** a variable ($t \notin X$)

Four ordering-based conditions

- (i) Para-from literal **strictly maximal**: $\forall Q \in C. (l \simeq r)\sigma \not\leq Q\sigma$
- (ii) Left-hand side of para-from literal **strictly maximal**: $l\sigma \not\leq r\sigma$
- (iii.a) Para-into literal **strictly maximal**: $\forall Q \in D. M[t]\sigma \not\leq Q\sigma$
 $\forall Q \in D. (p[t] \simeq q)\sigma \not\leq Q\sigma$
- (iii.b) Or **maximal** if it is a negated equation:
 $\forall Q \in D. (p[t] \not\simeq q)\sigma \not\leq Q\sigma$
- (iv) Left-hand side of positive equational para-into literal **strictly maximal**: $p[t]\sigma \not\leq q\sigma$

(Ordered) paramodulation

$$\frac{S \cup \{l \simeq r \vee C, M[t] \vee D\}}{S \cup \{l \simeq r \vee C, M[t] \vee D, (C \vee M[r] \vee D)\sigma\}} \quad (i) \quad (ii) \quad (iii.a)$$

The refutational completeness of the [Ordered Literal Inference System](#) with (ordered) resolution, (ordered) factoring, and (ordered) paramodulation settled the Wos–Robinson conjecture

[Jieh Hsiang & Michaël Rusinowitch 1991]

The superposition calculus \mathcal{SP}

Affords all four ordering-based conditions:

$$\frac{S \cup \{l \simeq r \vee C, p[t] \simeq q \vee D\}}{S \cup \{l \simeq r \vee C, p[t] \simeq q \vee D, (C \vee p[r] \simeq q \vee D)\sigma\}}$$

with (i), (ii), (iii.a), and (iv)

$$\frac{S \cup \{l \simeq r \vee C, p[t] \not\simeq q \vee D\}}{S \cup \{l \simeq r \vee C, p[t] \not\simeq q \vee D, (C \vee p[r] \not\simeq q \vee D)\sigma\}}$$

with (i), (ii), (iii.b), and (iv)

and solved also the problem of generalizing completion to $\text{FOL}_{+=}$
 [Leo Bachmair & Harald Ganzinger 1994]

Six decades of research

- ▶ From the **set of support strategy** to the **given-clause algorithm** (Bill McCune with OTTER and Stephan Schulz with EPROVER)
- ▶ From **demodulation** and **paramodulation** to the **superposition calculus SP** [Leo Bachmair & Harald Ganzinger 1994]
- ▶ Still at the heart of contemporary first-order theorem provers
- ▶ Extended to higher order theorem proving:
 λ -superposition [Alex Bentkamp et al. 2021]

References

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Thank you!