

Interpolation systems for ground proofs

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Motivation

Interpolation for propositional resolution

Interpolation and equality

Interpolation for equality sharing and DPLL(T)

Interpolation for ground superposition

What is interpolation?

- ▶ Formulæ A and B such that $A \vdash B$
- ▶ An **interpolant** I is a formula that lies **between** A and B :
 - ▶ **Derivability**: $A \vdash I$ and $I \vdash B$
 - ▶ **Signature**: I made of symbols **common** to A and B
where symbol means predicate, function, constant symbol

Trivial cases

- ▶ All symbols of A appear in B : then A itself is the interpolant
- ▶ All symbols of B appear in A : then B itself is the interpolant
- ▶ Assume that at least one has at least one symbol that does not appear in the other

Craig's Interpolation Theorem (1957)

Closed formula: all variables are quantified (aka: sentence)

- ▶ A and B closed formulæ with at least one predicate symbol in common
- ▶ Interpolant I **exists** and it is also a closed formula
- ▶ No predicate symbol in common: either A is unsatisfiable and I is \perp or B is valid and I is \top

Clausal theorem proving: A and B are sets of clauses

Proofs by refutation: reverse interpolant

- ▶ A and B inconsistent: $A, B \vdash \perp$
- ▶ Then $A \vdash I$ and $B, I \vdash \perp$
- ▶ All symbols in I common to A and B

Reverse interpolant of (A, B) : interpolant of $(A, \neg B)$

because $A, B \vdash \perp$ means $A \vdash \neg B$ and $B, I \vdash \perp$ means $I \vdash \neg B$

In refutational settings we say interpolant for reverse interpolant

Reasoning modulo theory \mathcal{T}

- ▶ $\vdash_{\mathcal{T}}$ in place of \vdash
- ▶ All uninterpreted symbols in I common to A and B
- ▶ No restrictions on interpreted symbols

Example in propositional logic

$$A = \{a \vee e, \neg a \vee b, \neg a \vee c\} \quad B = \{\neg b \vee \neg c \vee d, \neg d, \neg e\}$$

1. $a \vee e$ resolves with $\neg e$ to yield a
2. a resolves with $\neg a \vee c$ to yield c
3. a resolves with $\neg a \vee b$ to yield b
4. b resolves with $\neg b \vee \neg c \vee d$ to yield $\neg c \vee d$
5. c resolves with $\neg c \vee d$ to yield d
6. d resolves with $\neg d$ to yield \square

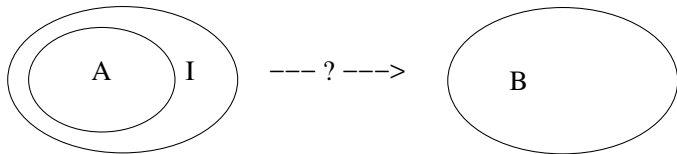
$$\text{Interpolant } I: (e \vee b) \wedge (e \vee c) \equiv e \vee (b \wedge c)$$

Why interpolation?

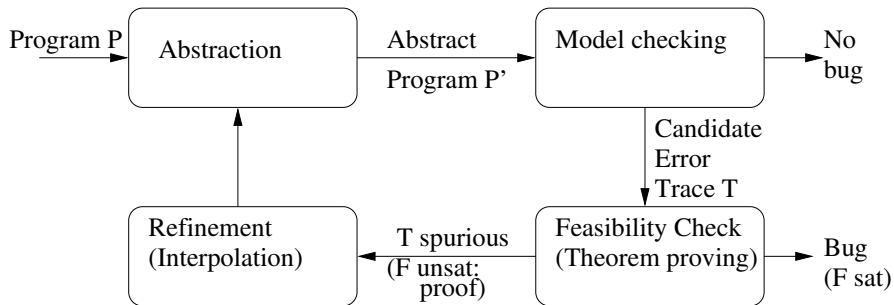
- ▶ Interpolant is a formula **in between** formulæ
- ▶ Formulæ represent **states** that satisfy them
- ▶ States of an automaton, of a transition system, of a program
- ▶ Interpolant may give information on **intermediate** states

Image computation in model checking

- ▶ Transition system with transition relation
- ▶ Forward reachability: computing **images**
- ▶ Backward reachability: computing **pre-images**
- ▶ Interpolant: **over-approximation** of an image/pre-image
- ▶ Interpolation to accelerate convergence towards fixed point



Abstraction refinement in software model checking



$F = A \cup B$; add predicates from interpolant I of (A, B) : exclude T

Automated invariant generation

- ▶ Loop: *pre* while C do T *post*
 - ▶ $\forall s. \text{pre}[s] \supset I(s)$
 - ▶ $\forall s, s'. I(s) \wedge C[s] \wedge T[s, s'] \supset I(s')$
 - ▶ $\forall s. I(s) \wedge \neg C[s] \supset \text{post}(s)$
- ▶ Invariant I made of symbols common to *pre* and *post*; no symbols local to the loop body T
- ▶ A : k -unfolding of loop; B : post-condition violated
- ▶ $A, B \vdash \perp$
- ▶ Interpolant of (A, B) : candidate invariant

Several approaches to interpolation

- ▶ Building interpolation into satisfiability procedures (e.g., congruence closure) [Fuchs, Goel, Grundy, Krstić, Tinelli 2012]
- ▶ Locality based [Sofronie-Stokkermans 2008]
- ▶ Via Horn clause reasoning [Gupta, Popeea, Rybalchenko 2011], [Rümmer, Hojjat, Kuncak 2013]
- ▶ Meta-rules based approach [Bruttomesso, Ghilardi, Ranise 2012], [Bruttomesso, Ghilardi, Ranise 2014]
- ▶ **Inductive approach**: by structural induction on the refutation

Terminology for interpolation: Colors

Uninterpreted symbol:

- ▶ **A-colored**: occurs in A and not in B
- ▶ **B-colored**: occurs in B and not in A
- ▶ **Transparent**: occurs in both

Alternative terminology: **A-local**, **B-local**, **global**

Terminology for interpolation: Colors

Ground term/literal/clause:

- ▶ All transparent symbols: **transparent**
- ▶ A -colored (at least one) and transparent symbols: **A -colored**
- ▶ B -colored (at least one) and transparent symbols: **B -colored**
- ▶ Otherwise: **AB -mixed**

Interpolation system

- ▶ A and B sets of clauses
- ▶ Given: a refutation of $A \cup B$
- ▶ **Interpolation system**: extracts interpolant of (A, B)
- ▶ How? Computing a **partial interpolant** $PI(C)$ for each clause C in refutation
- ▶ Defined in such a way that $PI(\square)$ is interpolant of (A, B)

Partial interpolant

- ▶ Clause C in refutation of $A \cup B$
- ▶ $A \wedge B \vdash C$
- ▶ $A \wedge B \vdash C \vee \bar{C}$
- ▶ $A \wedge \bar{C} \vdash \bar{B} \vee C$
- ▶ Interpolant of $A \wedge \bar{C}$ and $\bar{B} \vee C$
- ▶ Reverse interpolant of $A \wedge \bar{C}$ and $B \wedge \bar{C}$
- ▶ The signatures of $A \wedge \bar{C}$ and $B \wedge \bar{C}$ are not necessarily those of A and B unless C is transparent
- ▶ Use **projections**

Symmetric projections

C : disjunction (conjunction) of literals

- ▶ $C|_A$: A -colored and transparent literals
- ▶ $C|_B$: B -colored and transparent literals
- ▶ $C|_{A,B}$: transparent literals
- ▶ \perp (\top) if empty

If C has no AB -mixed literals: $C = C|_A \vee C|_B$

Asymmetric projections

C : disjunction (conjunction) of literals

- ▶ $C \setminus_B = C|_A \setminus C|_{A,B}$ (A -colored only)
- ▶ $C \downarrow_B = C|_B$ (transparent go with B -colored)

If C has no AB -mixed literals: $C = C \setminus_B \vee C \downarrow_B$

Partial interpolant

- ▶ Clause C in refutation of $A \cup B$
- ▶ **Partial interpolant** $PI(C)$: interpolant of $A \wedge \neg(C|_A)$ and $B \wedge \neg(C|_B)$
- ▶ If C is \square : $PI(C)$ interpolant of (A, B)
- ▶ Requirements:
 - ▶ $A \wedge \neg(C|_A) \vdash PI(C)$
 - ▶ $B \wedge \neg(C|_B) \wedge PI(C) \vdash \perp$
 - ▶ $PI(C)$ transparent
- ▶ Or as above with asymmetric projections

Complete interpolation system

An interpolation system is **complete** for an inference system if

- ▶ For all sets of clauses A and B such that $A \cup B$ is unsatisfiable
- ▶ For all refutations of $A \cup B$ by the inference system

It generates **an** interpolant of (A, B)

There may be more than one

Inductive approach to interpolation

- ▶ The interpolation system is defined **inductively**
- ▶ By defining the partial interpolant of the consequence given the partial interpolants of the premises
- ▶ For all **generative** inference rules (e.g., superposition, simplification, not subsumption)
- ▶ Prove **complete**:
show that its partial interpolants are indeed such

Interpolation for propositional resolution

- ▶ DPLL-CDCL
- ▶ Inference system Γ with resolution, superposition, simplification, subsumption ...
- ▶ If given a problem in propositional logic
- ▶ Both generate proof by resolution

Propositional interpolation systems

- ▶ Literals in proof are input literals
- ▶ Input literals are either A -colored or B -colored or transparent
- ▶ No AB -mixed literals

The HKPYM interpolation system

C clause in refutation of $A \cup B$ by propositional resolution:

- ▶ $C \in A$: $PI(C) = \perp$
- ▶ $C \in B$: $PI(C) = \top$
- ▶ $C \vee D$ propositional resolvent of $p_1: C \vee L$ and $p_2: D \vee \neg L$:
 - ▶ L **A-colored**: $PI(C \vee D) = PI(p_1) \vee PI(p_2)$
 - ▶ L **B-colored**: $PI(C \vee D) = PI(p_1) \wedge PI(p_2)$
 - ▶ L **transparent**: $PI(C \vee D) = (L \vee PI(p_1)) \wedge (\neg L \vee PI(p_2))$

Symmetric projections

[Huang 1995] [Krajíček 1997] [Pudlák 1997] [Yorsh, Musuvathi 2005]

Example with HKPYM

$$A = \{a \vee e, \neg a \vee b, \neg a \vee c\} \quad B = \{\neg b \vee \neg c \vee d, \neg d, \neg e\}$$

1. $a \vee e [\perp]$ resolves with $\neg e [\top]$ to yield $a [e]$:
 $PI(a) = (e \vee \perp) \wedge (\neg e \vee \top) = e$
2. $a [e]$ resolves with $\neg a \vee c [\perp]$ to yield $c [e]$: $PI(c) = e \vee \perp = e$
3. $a [e]$ resolves with $\neg a \vee b [\perp]$ to yield $b [e]$: $PI(b) = e \vee \perp = e$
4. $b [e]$ resolves with $\neg b \vee \neg c \vee d [\top]$ to yield $\neg c \vee d [b \vee e]$:
 $PI(\neg c \vee d) = (b \vee e) \wedge (\neg b \vee \top) = b \vee e$
5. $c [e]$ resolves with $\neg c \vee d [b \vee e]$ to yield $d [e \vee (c \wedge b)]$:
 $PI(d) = (c \vee e) \wedge (\neg c \vee b \vee e) = e \vee (c \wedge b)$
6. $d [e \vee (c \wedge b)]$ resolves with $\neg d [\top]$ to yield $\square [e \vee (c \wedge b)]$:
 $PI(\square) = (e \vee (c \wedge b)) \wedge \top = e \vee (c \wedge b)$

The MM interpolation system

C clause in refutation of $A \cup B$ by propositional resolution:

- ▶ $C \in A$: $PI(C) = C|_{A,B}$
- ▶ $C \in B$: $PI(C) = \top$
- ▶ $C \vee D$ propositional resolvent of $p_1: C \vee L$ and $p_2: D \vee \neg L$:
 - ▶ L **A-colored**: $PI(C \vee D) = PI(p_1) \vee PI(p_2)$
 - ▶ L **B-colored** or **transparent**: $PI(C \vee D) = PI(p_1) \wedge PI(p_2)$

Asymmetric projections

[McMillan 2003]

Example with MM

$$A = \{a \vee e, \neg a \vee b, \neg a \vee c\} \quad B = \{\neg b \vee \neg c \vee d, \neg d, \neg e\}$$

1. $a \vee e$ [e] resolves with $\neg e$ [\top] to yield a [e]: $PI(a) = e \wedge \top = e$
2. a [e] resolves with $\neg a \vee c$ [c] to yield c [$e \vee c$]: $PI(c) = e \vee c$
3. a [e] resolves with $\neg a \vee b$ [b] to yield b [$e \vee b$]: $PI(b) = e \vee b$
4. b [$e \vee b$] resolves with $\neg b \vee \neg c \vee d$ [\top] to yield $\neg c \vee d$ [$e \vee b$]:
 $PI(\neg c \vee d) = (e \vee b) \wedge \top = e \vee b$
5. c [$e \vee c$] resolves with $\neg c \vee d$ [$e \vee b$] to yield d [$e \vee (c \wedge b)$]:
 $PI(d) = (e \vee c) \wedge (e \vee b) = e \vee (c \wedge b)$
6. d [$e \vee (c \wedge b)$] resolves with $\neg d$ [\top] to yield \square [$e \vee (c \wedge b)$]:
 $PI(\square) = (e \vee (c \wedge b)) \wedge \top = e \vee (c \wedge b)$

Comparison of HKPYM and MM

- ▶ In this example the final interpolant is the same, although at each step the HKPYM partial interpolant implies the MM partial interpolant
- ▶ In general: MM interpolants imply HKPYM interpolants [D'Silva, Kroening, Purandare, Weissenbacher 2010]
- ▶ But there is no general result as to whether weaker or stronger is preferable

Equality changes the picture ...

- ▶ Propositional logic: no AB -mixed literals and colors are **stable**
- ▶ Equality: what if **AB -mixed equality** $t_a \simeq t_b$ is derived?
 t_a : **A -colored** ground term; t_b : **B -colored** ground term
- ▶ Congruence closure: t_a and t_b representatives of singly-colored classes: merge: one of them should become transparent
- ▶ Rewriting: t_a and t_b in normal form, $t_a \succ t_b$:
rewrite t_a as t_b ; t_b should become transparent
- ▶ **A -colored**/ **B -colored**/**transparent** cannot change dynamically!

Equality-interpolating theory

- ▶ \mathcal{T} : convex theory
- ▶ (A, B) : there exist **transparent** ground terms
- ▶ If $A \wedge B \models_{\mathcal{T}} t_a \simeq t_b$
 t_a : **A-colored** ground term and t_b : **B-colored** ground term
- ▶ Then $A \wedge B \models_{\mathcal{T}} t_a \simeq t \wedge t_b \simeq t$ for some **transparent** ground term t called **equality-interpolating term**

Congruence closure: t representative of the new congruence class

[Yorsh, Musuvathi 2005]

Separating ordering

Ordering \succ on terms and literals:

separating if $s \succ r$ whenever r is **transparent** and s is not

Rewriting: t_a and t_b rewritten to t

[McMillan 2008], [Kovács, Voronkov 2009]

Separating implies no AB -mixed literals

- ▶ Γ : inference system with resolution, superposition, simplification, subsumption ...
- ▶ Lemma: If the ordering \succ is separating, ground Γ -refutations contain **no AB -mixed literals**
 - ▶ $s \simeq r$ and $I[s]$ not AB -mixed, and $s \succ r$
 - ▶ either s and r same color or r transparent
 - ▶ $I[r]$ not AB -mixed

EUF is equality-interpolating

- ▶ Theorem: The quantifier-free fragment of the theory of equality is equality-interpolating
 - ▶ Γ with \succ separating ordering
 - ▶ (A, B) : there exist **transparent** ground terms
 - ▶ If $A \wedge B \models t_a \simeq t_b$
 - ▶ $A \cup B \cup \{t_a \not\approx t_b\} \vdash_{\Gamma} \perp$ by refutational completeness of Γ
 - ▶ **No AB -mixed equalities** as \succ is separating
 - ▶ Valley proof $t_a \xrightarrow{*} t \xleftarrow{*} t_b$ contains at least a **transparent** term
 - ▶ t must be **transparent**

Other convex equality-interpolating theories

- ▶ Non-empty lists
- ▶ Linear rational arithmetic:
 - ▶ $A \wedge B \supset a \simeq b$
 - ▶ $A \wedge B \supset a \leq b \wedge b \leq a$
 - ▶ $\exists t_1$ such that $A \wedge B \supset a \leq t_1 \leq b$
 - ▶ $\exists t_2$ such that $A \wedge B \supset b \leq t_2 \leq a$
 - ▶ $A \wedge B \supset a \simeq t_1 \simeq t_2 \simeq b$

[Yorsh, Musuvathi 2005]

Equality sharing aka Nelson-Oppen method

\mathcal{T} -satisfiability procedure for $\mathcal{T} = \bigcup_{i=1}^n \mathcal{T}_i$

- ▶ Disjoint, convex, equality-interpolating theories
- ▶ Equipped with \mathcal{T}_i -satisfiability procedure Q_i that generate equality-interpolating terms, proofs, and \mathcal{T}_i -interpolants
- ▶ S input set of ground \mathcal{T} -literals
- ▶ Partition $S = A \cup B$ and separation S_1, \dots, S_n are orthogonal: new free constants inherit the color of the term they replace, since there are no AB -mixed input terms

Interpolation in equality sharing

- ▶ Each Q_i takes as input $S_i = A_i \cup B_i$ and deals with $A_i \cup B_i \cup K$ where K contains the propagated equalities
- ▶ Equality-interpolating: K contains **no AB -mixed equalities**
- ▶ The proof by equality sharing contains **no AB -mixed literals**
- ▶ What is the partial interpolant for a propagated equality?
- ▶ **Theory-specific partial interpolant**

Theory-specific partial interpolant

- ▶ Propagated literal: $A_i \cup B_i \cup K \vdash_{\mathcal{T}_i} L$
where L is either an equality or \square
- ▶ Interpolation wrt partition (A', B') of $A_i \cup B_i \cup K$
 $A' = A_i \cup K \setminus_B$
 $B' = B_i \cup K \downarrow_B$
- ▶ $PI_{(A', B')}^i(L)$ is the \mathcal{T}_i -interpolant of
 $(A' \wedge \neg(L \setminus_B), B' \wedge \neg(L \downarrow_B))$

[Yorsh, Musuvathi 2005]

The YM interpolation system

C unit clause in refutation of $A \cup B$ by equality sharing

▶ $C \in A$: $PI(C) = \perp$ $C \in B$: $PI(C) = \top$

▶ C derived as $A_i \cup B_i \cup K \vdash_{\mathcal{T}_i} C$:

$$PI(C) = (PI_{(A',B')}^i(C) \vee \bigvee_{L \in A'} PI(L)) \wedge \bigwedge_{L \in B'} PI(L)$$

If $K = \emptyset$ (only one theory or C does not depend on propagated equalities): $PI(C) = PI_{(A',B')}^i(C)$

Example in theory combination

$$A = \{f(x_1) + x_2 \simeq x_3, \quad f(y_1) + y_2 \simeq y_3, \quad y_1 \leq x_1\}$$

$$B = \{x_2 \simeq g(b), \quad y_2 \simeq g(b), \quad x_1 \leq y_1, \quad x_3 < y_3\}$$

Let EUF be \mathcal{T}_1 with procedure Q_1 and
LRA be \mathcal{T}_2 with procedure Q_2

[Yorsh, Musuvathi 2005]

Example after separation

$$A_1 = \{a_1 \simeq f(x_1), \quad a_2 \simeq f(y_1)\}$$

$$A_2 = \{a_1 + x_2 \simeq x_3, \quad a_2 + y_2 \simeq y_3, \quad y_1 \leq x_1\}$$

$$B_1 = \{x_2 \simeq g(b), \quad y_2 \simeq g(b)\}$$

$$B_2 = \{x_1 \leq y_1, \quad x_3 < y_3\}$$

Shared constants: $V = \{a_1, x_1, a_2, y_1, x_2, y_2\}$

$\{f, a_1, a_2\}$ are **A-colored**

$\{g, b\}$ are **B-colored**

$\{x_1, y_1, x_2, y_2, x_3, y_3\}$ are **transparent**

Example: first proof step

- ▶ Q_2 deduces $x_1 \simeq y_1$ from $y_1 \leq x_1 [\perp]$ and $x_1 \leq y_1 [\top]$
- ▶ $x_1, y_1 \in V$: $x_1 \simeq y_1$ is **propagated**
- ▶ $A' = A_2$ and $B' = B_2$ since $K = \emptyset$
- ▶ $A' \wedge \neg((x_1 \simeq y_1) \setminus_B) = A_2 \wedge \top = A_2$
 $B' \wedge \neg((x_1 \simeq y_1) \downarrow_B) = B_2 \cup \{x_1 \neq y_1\}$
- ▶ $PI_{(A', B')}^2(x_1 \simeq y_1) = y_1 \leq x_1$
which follows from $y_1 \leq x_1 \in A_2$ and is \mathcal{T}_2 -inconsistent with $\{x_1 \leq y_1, x_1 \neq y_1\}$ where $x_1 \leq y_1 \in B_2$
- ▶ $PI(x_1 \simeq y_1) = y_1 \leq x_1$

Example: second proof step

- ▶ Q_1 deduces $a_1 \simeq a_2$ from $a_1 \simeq f(x_1) [\perp]$, $a_2 \simeq f(y_1) [\perp]$ and $x_1 \simeq y_1 [y_1 \leq x_1]$
- ▶ $a_1, a_2 \in V$: $a_1 \simeq a_2$ is **propagated**
- ▶ $A' = A_1$ and $B' = B_1 \cup \{x_1 \simeq y_1\}$ since $K = \{x_1 \simeq y_1\}$
- ▶ $A' \wedge \neg((a_1 \simeq a_2) \setminus_B) = A_1 \cup \{a_1 \not\simeq a_2\}$
 $B' \wedge \neg((a_1 \simeq a_2) \downarrow_B) = B_1 \cup \{x_1 \simeq y_1\}$
- ▶ $PI_{(A', B')}^1(a_1 \simeq a_2) = x_1 \not\simeq y_1$
 which follows from $\{a_1 \simeq f(x_1), a_2 \simeq f(y_1), a_1 \not\simeq a_2\}$ and is inconsistent with $\{x_1 \simeq y_1\}$
- ▶ $PI(a_1 \simeq a_2) = (x_1 \not\simeq y_1 \vee \perp) \wedge y_1 \leq x_1 = y_1 < x_1$

Example: third proof step

- ▶ Q_1 deduces $x_2 \simeq y_2$ from $x_2 \simeq g(b)$ [T] and $y_2 \simeq g(b)$ [T]
- ▶ $x_2, y_2 \in V$: $x_2 \simeq y_2$ is **propagated**
- ▶ $A' = A_1$ and $B' = B_1$ since $K = \emptyset$
- ▶ $A' \wedge \neg((x_2 \simeq y_2) \setminus_B) = A_1 \wedge \top = A_1$
 $B' \wedge \neg((x_2 \simeq y_2) \downarrow_B) = B_1 \cup \{x_2 \not\simeq y_2\}$
- ▶ $PI_{(A', B')}^1(x_2 \simeq y_2) = \top$
because $B_1 \cup \{x_2 \not\simeq y_2\}$ is \mathcal{T}_1 -inconsistent
- ▶ $PI(x_2 \simeq y_2) = \top$

Example: fourth proof step

- ▶ Q_2 deduces \square from $a_1 + x_2 \simeq x_3$ [\perp], $a_2 + y_2 \simeq y_3$ [\perp], $x_3 < y_3$ [\top], $a_1 \simeq a_2$ [$y_1 < x_1$] and $x_2 \simeq y_2$ [\top]
- ▶ $A' = A_2 \cup \{a_1 \simeq a_2\}$ and $B' = B_2 \cup \{x_2 \simeq y_2\}$ as
 $K = \{a_1 \simeq a_2, x_2 \simeq y_2\}$
- ▶ $A' \wedge \neg((\square) \setminus_B) = A_2 \cup \{a_1 \simeq a_2\} \wedge \top = A_2 \cup \{a_1 \simeq a_2\}$
 $B' \wedge \neg((\square) \downarrow_B) = B_2 \cup \{x_2 \simeq y_2\} \wedge \top = B_2 \cup \{x_2 \simeq y_2\}$
- ▶ $PI_{(A', B')}^2(\square) = x_3 - x_2 \simeq y_3 - y_2$
 because $\{a_1 + x_2 \simeq x_3, a_2 + y_2 \simeq y_3, a_1 \simeq a_2\}$ entail
 $x_3 - x_2 \simeq y_3 - y_2$ which is \mathcal{T}_2 -inconsistent with $\{x_3 < y_3, x_2 \simeq y_2\}$
 where $x_3 < y_3 \in B_2$
- ▶ $PI(\square) = (x_3 - x_2 \simeq y_3 - y_2 \vee y_1 < x_1) \wedge \top = x_3 - x_2 \simeq y_3 - y_2 \vee y_1 < x_1$

Interpolation in DPLL(\mathcal{T})

- ▶ $A \cup B$ set of ground \mathcal{T} -clauses
- ▶ DPLL(\mathcal{T})-refutation of $A \cup B$: propositional resolution + \mathcal{T} -lemmas (\mathcal{T} -conflict clauses are \mathcal{T} -lemmas)
- ▶ If clause C is a \mathcal{T} -lemma, $\neg C$ is a \mathcal{T} -unsatisfiable set of ground \mathcal{T} -literals
- ▶ **No AB -mixed literals:** $\neg C = (\neg C) \setminus_B \wedge (\neg C) \downarrow_B$
- ▶ The \mathcal{T} -interpolant of $((\neg C) \setminus_B, (\neg C) \downarrow_B)$ computed by YM provides partial interpolant of C in DPLL(\mathcal{T})-refutation

HKPYM-T and MM-T interpolation systems

Add one case to either HKPYM or MM:

- ▶ C is a \mathcal{T} -lemma:

$PI(C)$ is \mathcal{T} -interpolant of $((\neg C) \setminus_B, (\neg C) \downarrow_B)$ extracted by YM from $\neg C \vdash_{\mathcal{T}} \perp$

Completeness: from that of HKPYM or MM and YM

[Yorsh and Musuvathi 2005]

Why interpolation for superposition?

- ▶ Superposition-based decision procedures
- ▶ $\text{DPLL}(\Gamma + \mathcal{T})$: $\text{DPLL}(\mathcal{T})$ with superposition (Γ) integrated for a fully automated treatment of quantifiers

Interpolation system $G\Gamma$

C clause in ground Γ -refutation of $A \cup B$:

- ▶ Base cases and resolution: same as in HKPYM
- ▶ $c: C \vee I[r] \vee D$ generated from $p_1: C \vee s \simeq r$ and $p_2: I[s] \vee D$
 - ▶ $s \simeq r$ **A-colored**: $PI(c) = PI(p_1) \vee PI(p_2)$
 - ▶ $s \simeq r$ **B-colored**: $PI(c) = PI(p_1) \wedge PI(p_2)$
 - ▶ $s \simeq r$ **transparent**: $PI(c) = (s \simeq r \vee PI(p_1)) \wedge (s \not\simeq r \vee PI(p_2))$
- ▶ Superposition into equational literal and Simplification: same

Example with superposition

$$A = \{P(c), \neg P(e)\} \quad B = \{c \simeq e\} \quad c \succ e$$

P is A -colored, c and e are transparent

- $c \simeq e [\top]$ simplifies $P(c) [\perp]$ into $P(e) [c \neq e]$
 $PI(P(e)) = (c \simeq e \vee \top) \wedge (c \neq e \vee \perp) = c \neq e$
- $\neg P(e) [\perp]$ resolves with $P(e) [c \neq e]$ to yield $\square [c \neq e]$
 $PI(\square) = \perp \vee c \neq e = c \neq e$

Another example with superposition

$$A = \{Q(f(a)), f(a) \simeq c\} \quad B = \{\neg Q(f(b)), f(b) \simeq c\}$$

a is A -colored, b is B -colored, all other symbols are transparent

1. $f(a) \simeq c$ [\perp] simplifies $Q(f(a))$ [\perp] into $Q(c)$ [\perp]
 where $f(a) \succ c$ in any separating ordering
 $PI(Q(c)) = \perp \vee \perp = \perp$
2. $f(b) \simeq c$ [\top] simplifies $\neg Q(f(b))$ [\top] into $\neg Q(c)$ [\top]
 where $f(b) \succ c$ in any separating ordering
 $PI(\neg Q(c)) = \top \wedge \top = \top$
3. $Q(c)$ [\perp] resolves with $\neg Q(c)$ [\top] to yield \square [$Q(c)$]
 $PI(\square) = (Q(c) \vee \perp) \wedge (\neg Q(c) \vee \top) = Q(c)$

Completeness

- ▶ Theorem: If the ordering is separating, GFI is a **complete** interpolation system for ground Γ -refutations
- ▶ The proof shows that the partial interpolants built by GFI satisfy the requirements for partial interpolants.

Summary

- ▶ Survey of interpolation systems for ground refutations:
 - ▶ Unified framework of definitions for interpolation
 - ▶ Interpolation systems for propositional resolution
 - ▶ Interpolation and equality: connecting **equality-interpolating theory** and **separating ordering**
 - ▶ Interpolation system for equality sharing
 - ▶ Interpolation systems for DPLL(\mathcal{T})
- ▶ A **complete** interpolation system for ground refutations by superposition

References

- ▶ Maria Paola Bonacina and Moa Johansson. Interpolation systems for ground proofs in automated deduction: a survey. *Journal of Automated Reasoning*, 54(4):353-390, 2015 [providing 89 references]
- ▶ Maria Paola Bonacina and Moa Johansson. Towards interpolation in an SMT solver with integrated superposition. 9th SMT Workshop, Snowbird, Utah, USA, July 2011; TR UCB/EECS-2011-80, 9-18, 2011
- ▶ Maria Paola Bonacina and Moa Johansson. On interpolation in decision procedures. In *Proc. of the 20th TABLEAUX Conference*, Bern, Switzerland, July 2011; Springer, LNAI 6793, 1-16, 2011

Discussion

- ▶ Generality: interpolants for more logics, theories, inference systems
- ▶ Quality: better interpolants; stronger? weaker? shorter?
- ▶ Non-ground proofs, non-convex theories?

Two-stage approach:

Maria Paola Bonacina and Moa Johansson. On interpolation in automated theorem proving. *Journal of Automated Reasoning*, 54(1):69-97, 2015