

SGGS: A CDCL-like first-order theorem-proving method¹

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Motivation

SGGS: model representation

SGGS: inferences

Completeness

Discussion

Big picture: Model-based reasoning

- ▶ Derivation state includes **candidate partial model**
- ▶ **Inference** and **search for model guide** each other
- ▶ **Inference** as **model transformation**
- ▶ E.g., SAT-solving, SMT-solving, MBTC, MCsat, Model-based projections

Example: the CDCL procedure for PL

- ▶ Propositional logic
- ▶ A set of clauses S to be satisfied or refuted
- ▶ Model representation: trail of literals
- ▶ **Search for model**: decision, backjumping
- ▶ **Inference**: clausal propagation, explanation, learning

Semantics in first-order reasoning

- ▶ Semantic resolution
- ▶ Hyperresolution
- ▶ Resolution with set of support
- ▶ Model elimination and tableaux-based methods: an open branch is a candidate model
- ▶ Instance-based methods where instance generation is model driven
- ▶

SGGS: Semantically-Guided Goal-Sensitive reasoning

- ▶ **Model-based**: It lifts CDCL to first-order logic
- ▶ Also **semantically guided**, **goal sensitive**, **proof confluent**
- ▶ Refutationally complete: S unsatisfiable, SGGS gets \perp
- ▶ Explicit model construction: S is satisfiable, the **limit** of the derivation is a model

Model representation in PL

- ▶ Propositional logic
- ▶ Propositional variable P is either true or false
- ▶ 2^n interpretations for n propositional variables
- ▶ Guess P (or $\neg P$)

Model representation in FOL

- ▶ First-order logic
- ▶ Clausal form, Herbrand interpretations
- ▶ $P(x)$ has infinitely many ground instances: $P(a)$, $P(f(a))$, $P(f(f(a)))$... infinite Herbrand base
- ▶ Infinitely many interpretations where each ground instance is either true or false: powerset of the Herbrand base
- ▶ What do we guess?! How do we get started?!
- ▶ Answer: **Semantic guidance**

Semantic guidance

- ▶ Take \mathcal{I} with all positive ground literals true
- ▶ S : set of clauses to be satisfied or refuted
- ▶ $\mathcal{I} \models S$: done! $\mathcal{I} \not\models S$: modify \mathcal{I} to satisfy S
- ▶ How? Flipping literals from positive to negative
- ▶ SGGS discovers which negative literals are needed
- ▶ **Initial** interpretation \mathcal{I} : starting point in the search for a model and **default** interpretation

SGGS basics

- ▶ Set S of clauses to refute or satisfy
- ▶ Initial **fixed** Herbrand interpretation \mathcal{I} , e.g.:
 - ▶ All negative (as in positive hyperresolution)
 - ▶ All positive (as in negative hyperresolution)
 - ▶ $\mathcal{I} \not\models SOS, \mathcal{I} \models T$ (as in resolution with set of support)
 - ▶ Other (e.g., \mathcal{I} satisfies the axioms of a theory \mathcal{T} and we have a model constructing \mathcal{T} -solver acting as oracle)
- ▶ $\mathcal{I} \models S$: problem solved
- ▶ Otherwise: modify \mathcal{I} to satisfy S
- ▶ How to represent this modified interpretation?

Uniform falsity

- ▶ Propositional logic: if P is true (e.g., it is in the trail), $\neg P$ is false; if P is false, $\neg P$ is true
- ▶ First-order logic: if $P(x)$ is true, $\neg P(x)$ is false, but if $P(x)$ is false, we only know that there is a ground instance $P(t)$ such that $P(t)$ is false and $\neg P(t)$ is true
- ▶ **Uniform falsity**: Literal L is **uniformly false** in an interpretation \mathcal{J} if all ground instances of L are false in \mathcal{J}
- ▶ If $P(x)$ is true in \mathcal{J} , $\neg P(x)$ is uniformly false in \mathcal{J}
If $P(x)$ is uniformly false in \mathcal{J} , $\neg P(x)$ is true in \mathcal{J}

Truth and uniform falsity in the initial interpretation

- ▶ \mathcal{I} -true: true in \mathcal{I}
- ▶ \mathcal{I} -false: uniformly false in \mathcal{I}
- ▶ If L is \mathcal{I} -true, $\neg L$ is \mathcal{I} -false
if L is \mathcal{I} -false, $\neg L$ is \mathcal{I} -true

SGGS clause sequence

- ▶ Γ : sequence of clauses
where every literal is either \mathcal{I} -true or \mathcal{I} -false (**invariant**)
- ▶ In every clause in Γ a literal is **selected**:
 $C = L_1 \vee L_2 \vee \dots \vee L \vee \dots \vee L_n$ denoted $C[L]$
- ▶ \mathcal{I} -false literals are preferred for selection (to change \mathcal{I})
- ▶ An \mathcal{I} -true literal is selected only in a clause whose literals are all \mathcal{I} -true: \mathcal{I} -all-true clause

Examples

- ▶ \mathcal{I} : all negative
- ▶ A sequence of unit clauses:
 $[P(a, x)], [P(b, y)], [\neg P(z, z)], [P(u, v)]$
- ▶ A sequence of non-unit clauses:
 $[P(x)], \neg P(f(y)) \vee [Q(y)], \neg P(f(z)) \vee \neg Q(g(z)) \vee [R(f(z), g(z))]$
- ▶ A sequence of **constrained** clauses:
 $[P(x)], \text{top}(y) \neq g \triangleright [Q(y)], z \neq c \triangleright [Q(g(z))]$

Candidate partial model represented by Γ

- ▶ Get a partial model $\mathcal{I}^P(\Gamma)$ by consulting Γ from left to right
- ▶ Have each clause $C_i[L_i]$ contribute the ground instances of L_i that satisfy ground instances of C_i not satisfied thus far
- ▶ Such ground instances are called **proper**
- ▶ Literal selection in SGGS corresponds to decision in CDCL

Candidate partial model represented by Γ

- ▶ If Γ is empty, $\mathcal{I}^P(\Gamma)$ is empty
 - ▶ If $\Gamma = C_1[L_1], \dots, C_i[L_i]$, and $\mathcal{I}^P(\Gamma|_{i-1})$ is the partial model represented by $C_1[L_1], \dots, C_{i-1}[L_{i-1}]$, then $\mathcal{I}^P(\Gamma)$ is $\mathcal{I}^P(\Gamma|_{i-1})$ plus the ground instances $L_i\sigma$ such that
 - ▶ $C_i\sigma$ is ground
 - ▶ $\mathcal{I}^P(\Gamma|_{i-1}) \not\models C_i\sigma$
 - ▶ $\neg L_i\sigma \notin \mathcal{I}^P(\Gamma|_{i-1})$
- $L_i\sigma$ is a **proper** ground instance

Example

- ▶ Sequence Γ : $[P(a, x)], [P(b, y)], [\neg P(z, z)], [P(u, v)]$
- ▶ Partial model $\mathcal{I}^P(\Gamma)$:
 - $\mathcal{I}^P(\Gamma) \models P(a, t)$ for all ground terms t
 - $\mathcal{I}^P(\Gamma) \models P(b, t)$ for all ground terms t
 - $\mathcal{I}^P(\Gamma) \models \neg P(t, t)$ for t other than a and b
 - $\mathcal{I}^P(\Gamma) \models P(s, t)$ for all distinct ground terms s and t

Model represented by Γ

Consult first $\mathcal{I}^P(\Gamma)$ then \mathcal{I} :

- ▶ Ground literal L
- ▶ Determine whether $\mathcal{I}[\Gamma] \models L$:
 - ▶ If $\mathcal{I}^P(\Gamma)$ determines the truth value of L :
 $\mathcal{I}[\Gamma] \models L$ iff $\mathcal{I}^P(\Gamma) \models L$
 - ▶ Otherwise: $\mathcal{I}[\Gamma] \models L$ iff $\mathcal{I} \models L$
- ▶ $\mathcal{I}[\Gamma]$ is \mathcal{I} modified to try to satisfy the clauses in Γ by satisfying the proper ground instances of their selected literals
- ▶ \mathcal{I} -false selected literals makes the difference

Example

- ▶ \mathcal{I} : all negative
- ▶ Sequence Γ : $[P(a, x)], [P(b, y)], [\neg P(z, z)], [P(u, v)]$
- ▶ Represented model $\mathcal{I}[\Gamma]$:
 - $\mathcal{I}[\Gamma] \models P(a, t)$ for all ground terms t
 - $\mathcal{I}[\Gamma] \models P(b, t)$ for all ground terms t
 - $\mathcal{I}[\Gamma] \models \neg P(t, t)$ for t other than a and b
 - $\mathcal{I}[\Gamma] \models P(s, t)$ for all distinct ground terms s and t
 - $\mathcal{I}[\Gamma] \not\models L$ for all other positive literals L

Disjoint prefix

The **disjoint prefix** $dp(\Gamma)$ of Γ is

- ▶ The longest prefix of Γ where every selected literal contributes to $\mathcal{I}[\Gamma]$ **all** its ground instances
- ▶ That is, where **all** ground instances are **proper**
- ▶ No two selected literals in the disjoint prefix **intersect**
- ▶ Intuitively, a polished portion of Γ

Examples

$[P(a, x)], [P(b, y)], [\neg P(z, z)], [P(u, v)]$:

the disjoint prefix is $[P(a, x)], [P(b, y)]$

$[P(x)], \neg P(f(y)) \vee [Q(y)], \neg P(f(z)) \vee \neg Q(g(z)) \vee [R(f(z), g(z))]$:

the disjoint prefix is the whole sequence

$[P(x)], \text{top}(y) \neq g \triangleright [Q(y)], z \neq c \triangleright [Q(g(z))]$:

the disjoint prefix is the whole sequence

Propositional clausal propagation

- ▶ **Conflict** clause:

$$L_1 \vee L_2 \vee \dots \vee L_n$$

for all literals the complement is in the trail

- ▶ **Unit** clause:

$$C = L_1 \vee L_2 \vee \dots \vee L_j \vee \dots \vee L_n$$

for all literals but one (L_j) the complement is in the trail

- ▶ **Implied** literal: add L_j to trail with C as **justification**

First-order clausal propagation

- ▶ Consider a literal M selected in clause C_j in Γ , and a literal L in C_i , $i > j$:
 $\dots, \dots \vee [M] \vee \dots, \dots, \dots \vee L \vee \dots, \dots$
If all ground instances of L appear **negated** among the **proper** ground instances of M , L is **uniformly false** in $\mathcal{I}[\Gamma]$
- ▶ L **depends** on M , like $\neg L$ **depends** on L in propositional clausal propagation when L is in the trail
- ▶ Since every literal in Γ is either \mathcal{I} -true or \mathcal{I} -false, M will be one and L the other

Example

- ▶ \mathcal{I} : all negative
- ▶ Sequence Γ :
 $[P(x)], \neg P(f(y)) \vee [Q(y)], \neg P(f(z)) \vee \neg Q(g(z)) \vee [R(f(z), g(z))]$
- ▶ $\neg P(f(y))$ depends on $[P(x)]$
- ▶ $\neg P(f(z))$ depends on $[P(x)]$
- ▶ $\neg Q(g(z))$ depends on $[Q(y)]$

First-order clausal propagation

- ▶ **Conflict** clause:
 $L_1 \vee L_2 \vee \dots \vee L_n$
all literals are **uniformly false** in $\mathcal{I}[\Gamma]$
- ▶ **Unit** clause:
 $C = L_1 \vee L_2 \vee \dots \vee L_j \vee \dots \vee L_n$
all literals but one (L_j) are **uniformly false** in $\mathcal{I}[\Gamma]$
- ▶ **Implied** literal: L_j with $C[L_j]$ as **justification**

Semantically-guided first-order clausal propagation

- ▶ SGGS employs **assignments** to keep track of the **dependences** of \mathcal{I} -**true** literals on selected \mathcal{I} -**false** literals
- ▶ Non-selected \mathcal{I} -**true** literals are assigned (**invariant**)
- ▶ Selected \mathcal{I} -**true** literals are assigned if possible
- ▶ \mathcal{I} -**all-true** clauses in Γ are either **conflict** clauses or **justifications** with their selected literal as **implied** literal
- ▶ All **justifications** are in the **disjoint prefix**

SGGS-derivation

- ▶ Set S of clauses to refute or satisfy
- ▶ Initial interpretation \mathcal{I}
- ▶ $(S; \mathcal{I}; \Gamma_0) \vdash (S; \mathcal{I}; \Gamma_1) \vdash \dots (S; \mathcal{I}; \Gamma_i) \vdash (S; \mathcal{I}; \Gamma_{i+1}) \vdash \dots$
- ▶ $\Gamma_0 \vdash \Gamma_1 \vdash \dots \Gamma_i \vdash \Gamma_{i+1} \vdash \dots$

How does SGGS build clause sequences?

- ▶ Main inference rule: **SGGS-extension**
 - ▶ $\mathcal{I}[\Gamma] \not\models C$ for some clause $C \in S$
 - ▶ $\mathcal{I}[\Gamma] \not\models C'$ for some ground instance C' of C
 - ▶ Then SGGS-extension uses Γ and C to generate a (possibly constrained) clause $A \triangleright E$ such that
 - ▶ E is an instance of C
 - ▶ C' is a ground instance of $A \triangleright E$
- and adds it to Γ to get Γ'

How can a ground clause be false I

$$\mathcal{I}[\Gamma] \not\models C'$$

For each literal L of C' :

- ▶ Either L is \mathcal{I} -true and it depends on an \mathcal{I} -false selected literal in Γ
- ▶ Or L is \mathcal{I} -false and it depends on an \mathcal{I} -true selected literal in Γ
- ▶ Or L is \mathcal{I} -false and not interpreted by $\mathcal{I}^P(\Gamma)$

The SGGS-extension inference scheme

- ▶ Clause $C \in S$: main premise
- ▶ Unify literals L_1, \dots, L_n ($n \geq 1$) of C with \mathcal{I} -false selected literals M_1, \dots, M_n of opposite sign in $dp(\Gamma)$:
most general unifier α
- ▶ Clauses where the M_1, \dots, M_n are selected: side premises
- ▶ Generate instance $C\alpha$

The SGGS-extension inference scheme

- ▶ The $L_1\alpha, \dots, L_n\alpha$ are \mathcal{I} -true
- ▶ The M_1, \dots, M_n are those that make the \mathcal{I} -true literals of C' false in $\mathcal{I}[\Gamma]$
- ▶ The M_1, \dots, M_n are \mathcal{I} -false but true in $\mathcal{I}[\Gamma]$:
instance generation is **guided** by the current model $\mathcal{I}[\Gamma]$

Semantic falsifier

- ▶ ϑ semantic falsifier for C : all literals in $C\vartheta$ are \mathcal{I} -false
- ▶ Most general semantic falsifier

The SGGS-extension inference scheme

- ▶ β most general semantic falsifier of $(C \setminus \{L_1, \dots, L_n\})\alpha$
- ▶ Generate instance $C\alpha\beta$ where the $L_1\alpha\beta, \dots, L_n\alpha\beta$ are \mathcal{I} -true and all other literals are \mathcal{I} -false
- ▶ Assign the \mathcal{I} -true literals of $C\alpha\beta$ to the side premises
- ▶ $C\alpha\beta$ is called **extension clause**

β not-empty only for \mathcal{I} not based on sign

Examples

- ▶ S contains $\{P(a), \neg P(x) \vee Q(f(y)), \neg P(x) \vee \neg Q(z)\}$
- ▶ \mathcal{I} : all negative
- ▶ Γ_0 is empty
 $\mathcal{I}[\Gamma_0] = \mathcal{I} \not\models P(a)$
- ▶ $\Gamma_1 = [P(a)]$ with α and β empty
- ▶ $\mathcal{I}[\Gamma_1] \not\models \neg P(x) \vee Q(f(y))$
- ▶ $\Gamma_2 = [P(a), \neg P(a) \vee [Q(f(y))]]$
with $\alpha = \{x \leftarrow a\}$ and β empty

How can a ground clause be false II

$\mathcal{I}[\Gamma] \not\models C'$:

- ▶ Either C' is \mathcal{I} -all-true: all its literals are assigned and **depend** on selected \mathcal{I} -false literals in Γ ;
 C' is instance of an \mathcal{I} -all-true conflict clause
- ▶ Or C' has \mathcal{I} -false literals and all of them **depend** on selected \mathcal{I} -true literals in Γ ;
 C' is instance of a non- \mathcal{I} -all-true conflict clause
- ▶ Or C' has \mathcal{I} -false literals and at least one of them is not interpreted by $\mathcal{I}^P(\Gamma)$: C' is a proper ground instance of some clause

Three kinds of SGGS-extension

The extension clause is

- ▶ Either an \mathcal{I} -all-true conflict clause
- ▶ Or a non- \mathcal{I} -all-true conflict clause
- ▶ Or a clause that is not in conflict and extends $\mathcal{I}[\Gamma]$ into $\mathcal{I}[\Gamma']$ by adding the proper ground instances of its selected literal

SGGS-extension with \mathcal{I} -all-true conflict clause

The **extension clause** $E = C\alpha\beta$ is an **\mathcal{I} -all-true conflict** clause:

$$\frac{\Gamma}{\Gamma A \triangleright E[L]}$$

- ▶ Constraints may be inherited from the side premises
- ▶ L is the literal assigned to the side premise of largest index: the selected literal in an **\mathcal{I} -all-true conflict** clause is assigned rightmost

SGGS-extension with non- \mathcal{I} -all-true conflict clause

All I -false literals in the **extension clause** $E = C_{\alpha\beta}$ **intersect** \mathcal{I} -true selected literals in $dp(\Gamma)$:

$$\frac{\Gamma}{\Gamma A\lambda \triangleright E[L]\lambda}$$

- ▶ **Extension substitution** λ : most general substitution that let the I -false literals in the **extension clause** depend on I -true selected literals in $dp(\Gamma)$; in practice: most general unifier
- ▶ L is an arbitrary \mathcal{I} -false literal: heuristic choice

Non-conflicting SGGS-extension

The **extension clause** $E = C\alpha\beta$ has \mathcal{I} -false literals with proper ground instances w.r.t. Γ :

$$\frac{\Gamma}{\Gamma A \triangleright E[L]}$$

- ▶ L is an arbitrary \mathcal{I} -false literal with proper ground instances: heuristic choice

Non-conflicting SGGS-extension

The **extension clause** $E = C\alpha\beta$ has an I -false literal L with proper ground instances w.r.t. a prefix Γ^1 of Γ :

$$\frac{\Gamma^1 J \triangleright N[O] \Gamma^2}{\Gamma^1 A \triangleright E[L] \Gamma^2}$$

- ▶ $A \triangleright E[L]$ has smaller proper ground instances than $J \triangleright N[O]$ in a total well-founded ordering on ground literals that extends the size ordering
- ▶ All side premises are in Γ^1
- ▶ Γ^1 is the shortest such prefix

Lifting theorem for SGGS-extension

If $\mathcal{I}[\Gamma] \not\models C$ for some clause $C \in S$

($\mathcal{I}[\Gamma] \not\models C'$ for C' ground instance of C)

then there is a (possibly constrained) clause $A \triangleright E$ such that

- ▶ E is an instance of C
- ▶ C' is a ground instance of $A \triangleright E$
- ▶ $A \triangleright E$ can be added to Γ by SGGS-extension to get Γ'

Example

- ▶ S contains $\{P(a), \neg P(x) \vee Q(f(y)), \neg P(x) \vee \neg Q(z)\}$
- ▶ \mathcal{I} : all negative
- ▶ After two non-conflicting SGGS-extensions:
 $\Gamma_2 = [P(a), \neg P(a) \vee [Q(f(y))]]$
- ▶ $\mathcal{I}[\Gamma_2] \not\models \neg P(x) \vee \neg Q(z)$
- ▶ $\Gamma_3 = [P(a), \neg P(a) \vee [Q(f(y))], \neg P(a) \vee [\neg Q(f(w))]]$ with
 $\alpha = \{x \leftarrow a, z \leftarrow f(y)\}$ plus renaming
- ▶ **Conflict!** with \mathcal{I} -all-true conflict clause

CDCL

- ▶ **Conflict-driven clause learning**
- ▶ **Explanation:** conflict clause $A \vee B \vee C$ and $\neg A$ in the trail with justification $\neg A \vee D$: resolve them
- ▶ Resolvent $D \vee B \vee C$ is new conflict clause
- ▶ Any resolvent is a logical consequence and can be kept: how many? Heuristic
- ▶ **Backjump:** undoes at least a guess, jumps back as far as possible to state where learnt resolvent can be satisfied

Conflict handling in SGGS

The conflict clause is

- ▶ \mathcal{I} -all-true: **solve** the conflict
- ▶ Non- \mathcal{I} -all-true: **explain** and **solve** the conflict

First-order conflict explanation: SGGS-resolution

- ▶ It resolves a **non- \mathcal{I} -all-true conflict** clause E with a **justification** $D[M]$
- ▶ The literals resolved upon are an **\mathcal{I} -false** literal L of E and the **\mathcal{I} -true** selected literal M that L **depends** on

First-order conflict explanation: SGGS-resolution

- ▶ Each resolvent is still a conflict clause and it replaces the previous conflict clause in Γ
- ▶ It continues until **all \mathcal{I} -false** literals in the **conflict** clause have been resolved away (thanks to extension substitution) and it gets either \square or an **\mathcal{I} -all-true conflict** clause
- ▶ If \square arises, S is unsatisfiable

First-order conflict-solving: SGGS-move

- ▶ It moves the \mathcal{I} -all-true conflict clause $E[L]$ to the left of the clause $D[M]$ such that L depends on M
- ▶ It does not bother other assignments because L was assigned rightmost
- ▶ It flips at once from false to true the truth value in $\mathcal{I}[\Gamma]$ of all ground instances of L
- ▶ The conflict is solved, L is implied, $E[L]$ is satisfied, it becomes the justification of L and it enters the disjoint prefix

Example (continued)

- ▶ S contains $\{P(a), \neg P(x) \vee Q(f(y)), \neg P(x) \vee \neg Q(z)\}$
- ▶ $\Gamma_3 = [P(a), \neg P(a) \vee [Q(f(y))], \neg P(a) \vee [\neg Q(f(w))]]$
- ▶ $\Gamma_4 = [P(a), \neg P(a) \vee [\neg Q(f(w))], \neg P(a) \vee [Q(f(y))]]$
- ▶ $\Gamma_5 = [P(a), \neg P(a) \vee [\neg Q(f(w))], [\neg P(a)]]$
- ▶ $\Gamma_6 = [\neg P(a), [P(a)], \neg P(a) \vee [\neg Q(f(w))]]$
- ▶ $\Gamma_7 = [\neg P(a), \square, \neg P(a) \vee [\neg Q(f(w))]]$
- ▶ **Refutation!**

Bundled derivation

All conflicting SGGS-extension are followed by (bundled with) explanation by SGGS-resolution and conflict solving by SGGS-move

Further elements

- ▶ There's more to SGGS: first-order literals may **intersect** having ground instances with the same atom
- ▶ SGGS uses **splitting** inference rules to **partition** clauses and isolate intersections that can then be removed by SGGS-resolution (different sign) or SGGS-deletion (same sign)
- ▶ Splitting introduces **constraints** that are a kind of Herbrand constraints (e.g., $x \neq y \triangleright P(x, y)$, $top(y) \neq g \triangleright Q(y)$)
- ▶ SGGS works with **constrained** clauses

SGGS makes progress

For all states $(S, I; \Gamma)$:

- ▶ If $\mathcal{I}[\Gamma] \not\models C$ for some clause $C \in S$ and $\Gamma = dp(\Gamma)$,
SGGS-extension applies to Γ
- ▶ If $\Gamma \neq dp(\Gamma)$, an SGGS inference rule other than
SGGS-extension applies to Γ

Refutational completeness and goal-sensitivity

SGGS is

- ▶ **Refutationally complete**, regardless of the choice of \mathcal{I}
- ▶ **Goal sensitive** if $\mathcal{I} \not\models SOS$ and $\mathcal{I} \models T$ for $S = T \uplus SOS$

Refutational completeness

- ▶ S : input set of clauses
- ▶ S **unsatisfiable**: any **fair** SGGS-derivation terminates with **refutation**
- ▶ S **satisfiable**: derivation may be infinite; its **limiting sequence** represents a **model**

Proof of refutational completeness: building blocks

- ▶ A **convergence ordering** $>^c$ on clause sequences: ensures that there is no infinite descending chain of sequences of bounded length
- ▶ A notion of **fairness** for SGGS-derivations: ensures that the procedure does not ignore inferences on shorter prefixes to work on longer ones
- ▶ A notion of **limiting sequence** for SGGS-derivations: every prefix stabilizes eventually

Convergence ordering I

- ▶ Quasi-orderings \geq_i and equivalence relations \approx_i on clause sequences of length up to i
- ▶ **Convergence ordering** $>^c$: lexicographic combination of $>_i$'s
- ▶ **Equivalence relation** \approx^c : same length and all prefixes in the \approx_i 's

Convergence ordering II

Theorem:

$>_i$ is **well-founded** on clause sequences of length at least i

Corollary:

Descending chain $\Gamma^1 >^c \Gamma^2 >^c \dots \Gamma^j >^c \Gamma^{j+1} >^c \dots$
of sequences of **bounded length** (for all j , $|\Gamma^j| \leq n$) is **finite**

No infinite descending chain of sequences of bounded length

Fairness I

- ▶ **Index** of inference $\Gamma \vdash \Gamma'$:
the shortest prefix that gets reduced
the smallest i such that $\Gamma|_i >^c \Gamma'|_i$
- ▶ **Index**(Γ): minimum index of any inference applicable to Γ

Fairness II

Fair derivation $\Gamma_0 \vdash \Gamma_1 \vdash \dots \Gamma_j \vdash \dots$:

$\forall i, i > 0$, if for infinitely many Γ_j 's $index(\Gamma_j) \leq i$

for infinitely many Γ_j 's the applied inference has index $\leq i$

Any SGGS-inference that is infinitely often possible is eventually done

The **minimal index SGGS-strategy** that always selects an inference of minimal index is fair

Limiting sequence

- ▶ Derivation $\Gamma_0 \vdash \Gamma_1 \vdash \dots \vdash \Gamma_j \vdash \dots$ **admits limit** if there exists a Γ (**limit**) such that for all lengths i , $i \leq |\Gamma|$ there is an integer n_i such that for all indices $j \geq n_i$ in the derivation if $|\Gamma_j| \geq i$ then $\Gamma_j|_i \approx^c \Gamma|_i$
- ▶ Every prefix stabilizes eventually
- ▶ The **longest** such sequence Γ_∞ is the **limiting sequence**
- ▶ Both derivation and Γ_∞ may be finite or infinite

Convergence and descending chain theorems

- ▶ **Convergence theorem:**
A derivation that is a **non-ascending chain admits limiting sequence**
- ▶ **Descending chain theorem:**
A bundled derivation forms a **descending chain**

Completeness theorem

Theorem:

For all initial interpretations \mathcal{I} and sets S of first-order clauses, if S is unsatisfiable, any **fair bundled** SGGS-derivation is a refutation

Idea of proof:

If not, infinitely many SGGS-extensions apply;
infinite derivation with infinite limiting sequence Γ_∞ ;
 Γ_j gets reduced in $>^c$ in a finite prefix $(\Gamma_j)|_n$ that had already converged ($(\Gamma_j)|_n = (\Gamma_\infty)|_n$): contradiction

Summary

SGGS is possibly unique in being **simultaneously**

- ▶ First order
- ▶ Model based à la CDCL
- ▶ Semantically guided
- ▶ Refutationally complete
- ▶ Goal sensitive (when deemed desirable)
- ▶ Proof confluent

References on SGGS

- ▶ Semantically-guided goal-sensitive reasoning: model representation. *Journal of Automated Reasoning* 56(2):113–141, February 2016.
- ▶ Semantically-guided goal-sensitive reasoning: inference system and completeness. Submitted, 58 pages.
- ▶ SGGS theorem proving: an exposition. 4th Workshop on Practical Aspects in Automated Reasoning (PAAR), Vienna, July 2014. EPiC 31:25-38, July 2015.
- ▶ Constraint manipulation in SGGS. 28th Workshop on Unification (UNIF), Vienna, July 2014. TR 14-06, RISC, 47–54, 2014.

Future work on SGGS

- ▶ **Implementation**: algorithms and strategies
- ▶ Heuristic choices: literal selection, assignments
- ▶ Simpler SGGS?
- ▶ **Initial interpretations** not based on sign
- ▶ Extension to **equality**?
- ▶ SGGS for **model building**?
- ▶ SGGS for **decision procedures** for decidable fragments?

Towards a **semantically-oriented** style of theorem proving that may pay off for hard problems or new domains